

PSID Technical Report

Construction and Evaluation of the 2009 Longitudinal Individual and Family Weights

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This document describes the construction of the 2009 Panel Study of Income Dynamics (PSID) longitudinal individual and family sample weights. This technical report is organized in five sections. Section I provides an overview of the PSID sample, defines PSID sample and non-sample persons and explains the longitudinal following rules. Section II outlines the methodology for computing the 2009 longitudinal individual and family weights. Section III summarizes the 2007 panel status of the 24,385 sample and non-sample person respondents in the 2009 PSID data set, identifying the transitions that have occurred in the panel composition between the 2007 and 2009 waves of data collection. The report concludes in Sections IV and V with a descriptive analysis of the weights and comparisons of distributions of U.S. socioeconomic characteristics using weighted estimates from the 2009 PSID and the Current Population Survey (CPS).

I. The PSID Sample and Following Strategy in 2009

The 2009 PSID panel is based on the dynamic, longitudinal follow-up of individuals and their families originally identified in a combination of three probability samples of U.S. households: the Survey Research Center 1960 National Sample (SRC), a subsample of families interviewed in 1967 by the Bureau of the Census for the Office of Economic Opportunity (SEO) (McGonagle and Schoeni, 2006) and the 1997 PSID Immigrant Supplement (Heeringa and Connor, 1998). Sample persons and their descendents identified in the baseline SRC and SEO samples (termed the PSID “Core” in many publications) have been interviewed since 1968. In 1997 and 1999 the baseline sample of the post-1968 immigrants was added and these new immigrant sample persons have been followed continuously since the late 90s. More detailed information on the PSID 1968 and 1997/1999 immigrant samples is available from the PSID website, (psid.org/).

Under the “dynamic” sample follow-up design, PSID interviewed 8,690 families in 2009. Included in these families are 24,385 individuals: 17,471 PSID “sample persons” (see Table 1) and 6,914 “non-sample” spouses and family members.

PSID traditionally categorizes persons into one of two groups: sample persons and non-sample persons. The definition of these categories has changed slightly over the years. From 1968 to 1993, a sample person was defined as someone who was either an original sample person; i.e., resident of a PSID sample family in 1968, or an offspring born to or adopted by a sample individual who was actively participating in the study at the time. A newborn child had to appear in the study at the wave immediately following their birth to be considered a sample person. In 1994, the definition of a sample person was expanded to include children born to or adopted by a sample person when the sample person was not participating in the study; i.e., the child need not be residing with a responding panel family at birth or adoption. The same current PSID definition of sample persons (implemented in 1994) applies to the immigrant sample.

All of the 8,690 PSID families interviewed in 2009 are members of the Core or the Immigrant samples. In 2009, the rules for following sample persons and interviewing their existing or newly formed families were the same as in the prior 2007 wave¹. Specifically, sample persons who participated in the previous wave survey were followed. In addition to following sample persons who were respondents in 2007, the PSID attempted to obtain an interview with sample individuals who did not respond in the prior wave (2007 survey year), but responded in the 2005 survey year.

Each sample person successfully interviewed for 2009 receives a positive value for their 2009 longitudinal individual weight. Nonsample persons receive a 2009 PSID individual longitudinal weight equal to zero (0).

II. Methodological Approach to the 2009 PSID Longitudinal Weight Construction

The methodology for the calculation of PSID longitudinal weights follows a four year (two wave) cycle. At the beginning of each cycle, the calculation of weights incorporates an explicit adjustment for panel attrition due to nonresponse that has occurred over the past four years. The current cycle began in 2007 and a full nonresponse adjustment was incorporated in the 2007 longitudinal weights for individuals and families (see Gouskova, et al., 2008). At the second wave of each four year weight development cycle, a simpler procedure is used to carry forward the individuals' weights from the previous wave and to update the weights for new births and sample panel members who "reappear" and are interviewed again after one or more waves of nonresponse. Family weights are also updated to reflect changes in family composition due to marriage, divorce, death, and other

¹ For more detail on the following rules in 1993-2007 survey years see Table 1 in Gouskova et al. (2008), (<http://psidonline.isr.umich.edu/>)

additions of new members. No explicit nonresponse adjustment is incorporated in the weight computations for the second wave of each of the 4-year weight updating cycles.

The 2009 weights are “carry-over” weights. The last attrition adjustment of the PSID longitudinal individual weights was done in 2007 and thus, the construction of the 2009 individual weights starts with the 2007 longitudinal weight as the basis. For sample persons who were interviewed in both 2007 and 2009, the 2009 individual weights were assigned by carrying forward the 2007 longitudinal weight. For sample persons who were interviewed in 2009 but not in 2007, the most recent non-zero individual weight or “reference weight” for the case was carried forward as the 2009 individual weight. All “nonsample” individuals in the panel receive a zero (0) value for their longitudinal weight. The PSID provides an optional cross-sectional weight that is designed for single wave analysis of all cases in the PSID individual data. The PSID cross-sectional weight is a positive weight for all sample and nonsample members of interviewed PSID families (see Heeringa, et al., 2011).

For sample newborns under 2 years of age in 2009, the 2009 individual longitudinal weight was calculated as the average of head's and spouse's individual weight in 2009. If a PSID sample person moved into a PSID family during the period between 2007 and 2009 and they had no existing reference weight, that sample individual was assigned a new individual weight equal to the average of all positive 2009 individual weights in the family unit.

Once individual longitudinal weights had been constructed for each sample person interviewed in 2009, the 2009 longitudinal family weight was computed as the average of the positive individual weights for sample persons and the zero-value weights for the nonsample persons in the family. For example, consider a 2009 PSID family that consisted of a young married couple in which the female spouse was a PSID sample person and had an individual longitudinal weight of 60. Her new spouse was PSID “nonsample” and therefore is assigned a “0” value for his longitudinal individual weight. The 2009 family weight for this two-person family is $(60+0)/2=30$. Figure 1 is a simple schematic that illustrates the dynamic process of family level weighting for four waves of data collection. At the baseline wave, families “A” and “B” are chosen to the sample. Families “C” and “D” were eligible for probability sample selection at baseline but were not chosen. Over the next three waves, there are “split-offs” from sample families, marriage or new family formation by members of original sample and non-sample family members. At each wave's change in family composition the family weights, $W_{t,FAM}$, are recomputed as the average of the current individual weights for the sample and nonsample persons that comprise the family unit. Note from the final column that the sum of all family weights constructed in this fashion remains consistent with the total number of all family units in the hypothetical

dynamic population.

Unlike the 2009 PSID individual weight which is available in both the longitudinal form (sample persons only) and cross-sectional analysis form (sample and nonsample persons have non-zero weights), there is only one version of the 2009 PSID family weight (Heeringa, et al., 2011). The longitudinal family weight can be used for point-in-time or repeated cross-sectional analysis of PSID family data. Note that the family units do change from year to year. See the PSID family level data set documentation and codebooks for more information. See also Duncan and Hill (1985) for a discussion of the issues involved in longitudinal analysis of family units.

III. 2009 PSID Individual Respondents: Transition from 2007 Status

As indicated above, the 2009 PSID completed interviews with 24,385 individuals. The columns of Table 1 show the 2009 status of each individual respondent by the sample/nonsample status and selected PSID special classifications for persons in these two major subpopulations. In this table, all respondents from 2009 are cross-classified against 2007 status using 6 sample status categories. The top row of the body of the table contains information about those that responded in 2009 but not in 2007. Of these, the key sample status categories are highlighted in dark blue and represent 2009 sample person members: original sample from 1968, born-in sample person consisting of newborns aged 1 or 2 years and others born into a sample family, and those that moved into a sample family during this two year period.

In the "original sample person" group, all 149 individuals who did not participate in 2007 have a reference weight that was carried forward as the 2009 individual longitudinal weight. The "born in" sample person group (1028 people) consists mainly of newborns with 806 of the 1028 assigned a 2009 weight as the average of the head and wife's weight. The remaining individuals in this group were assigned the average of all positive 2009 individual weights within the family unit. Finally, of the "move in" sample group, 33 of the 141 were newborns and 108 were non-newborn individuals who received the average of the 2009 weights for their family members. In addition, there were 4 individuals that were non-sample in 2007 but moved to sample person status in 2009 and were assigned the average of individual weights for all family members with positive 2009 weights. All other sample persons who were interviewed in both years, (highlighted in light blue) were assigned the "carry-forward" value of their 2007 individual longitudinal weight.

IV. Descriptive Statistics for the 2009 PSID Longitudinal Weights

Tables 2 through 6 provide descriptive information on the 2009 PSID longitudinal weights. To enable comparison of the longitudinal weights across years, the same set of descriptors is reported for the longitudinal weights from the four prior waves (2001-2007).

Tables 2 and 3 summarize the total number of cases with positive, zero, and missing values for individual and family weights and the total numbers of sample and non-sample individuals (families with and without sample members). For individual weights, the number of weights with a positive value is equal to the number of sample persons, and the number of the zero-valued individual weights is the same as the number of non-sample persons (Table 2). As with the 2007 survey, in 2009 all families had at least one sample member (Table 3). As a result all PSID families in 2009 carry a non-zero, positive longitudinal family weight.

Tables 4 and 5 report summary statistics for the longitudinal individual and family weights. Based on the summary statistics, the distributions of the 2009 longitudinal weights are similar to those in the four most recent survey waves. Across years, the measures of dispersion indicate that there is an increasing trend in variability of the distribution in the individual and family weights. This year over year increase in the variability of the PSID longitudinal weights can be attributed to the periodic nonresponse adjustment (every four years) and for family weights, the reweighting that is required to reflect changes in family composition (e.g. new family formations).

Table 6 provides a key to the PSID variables names for longitudinal individual and family weight variables.

V. Evaluation of the PSID Cross-sectional Weights: Comparisons with the CPS.

Tables 7 through 9 compare PSID and CPS weighted estimates for selected demographic statistics based on characteristics including age, gender and race of household head. Each table reports the unweighted PSID estimates, PSID estimates weighted (as applicable) by the PSID family or individual longitudinal weight and the CPS weighted estimate. For age (Table 7) and race (Table 9), the first panel of the table compares weighted estimates for family (household) head and the second panel of the table provides estimates of mean or percent values for individuals. The statistics in the right most columns of each table are simple ratios of the weighted PSID and CPS estimates. These tables are useful for examining three features of the PSID data: comparison of un-weighted and weighted estimates across years, the effect of the longitudinal weights on the distributions of estimates of family and individual population characteristics, and, finally, the consistency of the PSID weighted

estimates with those obtained from the CPS data².

The tables show that consistency across years of the weighted distributions is comparable to the consistency of the unweighted distributions. Comparison of the unweighted and weighted PSID distributions with the CPS distributions reveals that in a majority of cases the weighted estimates are closer to CPS estimates than are the estimates obtained without weights. This is to be expected since due to the SEO oversample, the baseline inclusion probabilities for African American and lower income PSID families and individuals were substantially greater than for other domains of the U.S. household population.

With some noticeable difference in the weighted distribution by race of household head and race of individuals the weighted PSID and CPS estimates align fairly closely for these three demographic characteristics. However, caution is advised in placing too much emphasis on minor differences between the PSID and CPS weighted distributions. Analysts should keep in mind that for any given wave, the simple comparison of weighted demographic distributions does not explicitly take into account PSID non-coverage of immigrant populations after 1997. Immigrants arriving after 1997 when the immigrant sample was added to the PSID are not fully represented in the PSID. Another limitation of this comparison is that the CPS does not cover the institutionalized population while PSID due to the dynamic nature of the sample may include institutionalized persons. There are differences in the definitions that PSID and CPS use to code household composition and disaggregate households into family and non-family units. Finally, the PSID longitudinal weights for families and individuals do not include any recent adjustment to external population controls (e.g. 2000 Census or annual CPS or American Community Survey (ACS) population totals). The question of whether to introduce explicit post-stratification controls to the PSID weights is a topic of ongoing research and evaluation for the PSID weight development program.

² Note, that some characteristics are not strictly comparable between the two surveys. For example, in the PSID, race is not asked of all individuals while in the CPS data all individuals are asked to provide detailed race information. To calculate proportions of black and non-black individuals in the PSID data, individual race was approximated using the race of the family head.

VI. References

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Table 1. Table of 2007 Sample Status for 2009 PSID Individual Respondents.

| Sample status counts in 2007, among 2009 responders | Sample Status Counts in 2009 | | | | | | Total |
|---|---|---------------------------|--------------------------|--------------------------|---------------------------------|---|--------------|
| | “Non-sample persons”, not part of elderly group | Original “sample persons” | Born in “sample persons” | Move in “sample persons” | Followable “non-sample parents” | “Non-sample persons”, part of elderly group | |
| No response in 2007 | 1201 | 149 | 1028 | 141 | 61 | 0 | 2580 |
| “Non-sample persons”, not part of elderly group | 3385 | 0 | 0 | 4 | 0 | 0 | 3389 |
| Original “sample persons” | 0 | 5813 | 0 | 0 | 0 | 0 | 5813 |
| Born in “sample persons” | 0 | 0 | 9264 | 0 | 0 | 0 | 9264 |
| Move in “sample persons” | 6 | 0 | 0 | 1072 | 0 | 0 | 1078 |
| Followable “non-sample parents” | 0 | 0 | 0 | 0 | 2223 | 0 | 2223 |
| “Non-sample persons”, part of elderly group | 0 | 0 | 0 | 0 | 0 | 38 | 38 |
| Total | 4592 | 5962 | 10292 | 1217 | 2284 | 38 | 24385 |

Table 2. PSID Longitudinal Individual Weights, 2001-2009

| Year | Core sample (SRC, SEO) and Immigrant sample | | | | | |
|------|---|----------------------------------|--------------------------------------|---|---|--|
| | Total number of individuals in the study | Total number of "sample persons" | Total number of "non-sample persons" | Number of cases with positive individual weight | Number of cases with zero individual weight | Number of cases with missing individual weight |
| 2001 | 21400 | 15646 | 5754 | 15646 | 5754 | 0 |
| 2003 | 22290 | 16012 | 6278 | 16012 | 6278 | 0 |
| 2005 | 22918 | 16620 | 6298 | 16620 | 6298 | 0 |
| 2007 | 23508 | 16906 | 6602 | 16906 | 6602 | 0 |
| 2009 | 24385 | 17471 | 6914 | 17471 | 6814 | 0 |

Table 3. PSID Longitudinal Family Weights, 2001-2009

| Year | Core sample (SRC, SEO) and Immigrant sample | | | | |
|------|---|--|---|-------------------------------------|--|
| | Total number of families in the study | Number of families with no "sample person" | Number of families with positive weight | Number of families with zero weight | Number of families with missing weight |
| 2001 | 7406 | 211 | 7195 | 211 | 0 |
| 2003 | 7822 | 257 | 7565 | 257 | 0 |
| 2005 | 8002 | 0 | 8002 | 0 | 0 |
| 2007 | 8289 | 0 | 8289 | 0 | 0 |
| 2009 | 8690 | 0 | 8690 | 0 | 0 |

**Table 4. Summary Statistics of the PSID Longitudinal Individual Weights, 2001-2009
(Sample Persons Only)**

| Year | N | Mean | Standard Deviation | Min | Max | Coefficient of Variation |
|------|-------|-------|--------------------|------|--------|--------------------------|
| 2001 | 15646 | 25.07 | 18.97 | 0.25 | 167.68 | 0.76 |
| 2003 | 16012 | 25.62 | 19.54 | 0.25 | 173.56 | 0.76 |
| 2005 | 16620 | 24.81 | 19.33 | 0.23 | 173.56 | 0.78 |
| 2007 | 16906 | 25.38 | 20.09 | 0.20 | 181.45 | 0.79 |
| 2009 | 17471 | 24.57 | 19.90 | 0.23 | 181.45 | 0.81 |

**Table 5. Summary Statistics for the PSID Longitudinal Family Weights, 2001-2009
(With 2001 and 2003 Based on Families with Positive Weights Only)**

| Year | N | Mean | Standard Deviation | Min | Max | Coefficient of Variation |
|------|------|-------|--------------------|------|--------|--------------------------|
| 2001 | 7195 | 22.03 | 16.74 | 0.06 | 167.68 | 0.76 |
| 2003 | 7565 | 22.06 | 17.06 | 0.12 | 132.64 | 0.77 |
| 2005 | 8002 | 21.04 | 16.82 | 0.12 | 136.03 | 0.80 |
| 2007 | 8289 | 21.32 | 17.40 | 0.10 | 139.34 | 0.82 |
| 2009 | 8690 | 20.66 | 17.28 | 0.10 | 139.34 | 0.84 |

Table 6. Names of the PSID Longitudinal Weight Variables, 1993-2009

| Year | Core Longitudinal Weight | |
|------|------------------------------------|---------|
| | Individual | Family |
| 1993 | ER30864 | V23361 |
| 1994 | ER33119 | ER4160 |
| 1995 | ER33275 | ER7000 |
| 1996 | ER33318 | ER9251 |
| | Core/Immigrant Longitudinal Weight | |
| | Individual | Family |
| 1997 | ER33430 | ER12084 |
| 1999 | ER33546 | ER16518 |
| 2001 | ER33637 | ER20394 |
| 2003 | ER33740 | ER24179 |
| 2005 | ER33848 | ER28078 |
| 2007 | ER33950 | ER41069 |
| 2009 | ER34045 | ER47014 |

Table 7. Comparison of PSID and CPS Weighted Estimates of Mean and Median Age, 2001-2009

| A. Family Level Data (age of head) | | | | | | | | |
|---|------------------------|-------------------------|-----------------------|-------------------------|-----------------------|-------------------------|---------------------|-----------------------|
| Year | PSID unweighted | | PSID weighted | | CPS weighted | | Ratio | |
| | Mean years [1] | Median years [2] | Mean years [3] | Median years [4] | Mean years [5] | Median years [6] | Mean [3]/[5] | Median [4]/[6] |
| 2001 | 44.91 | 43.00 | 49.39 | 47.00 | 48.72 | 46.00 | 1.01 | 1.02 |
| 2003 | 44.98 | 43.00 | 49.60 | 48.00 | 48.69 | 47.00 | 1.02 | 1.02 |
| 2005 | 45.08 | 44.00 | 49.96 | 48.00 | 49.04 | 47.00 | 1.02 | 1.02 |
| 2007 | 45.04 | 44.00 | 50.13 | 49.00 | 49.30 | 48.00 | 1.02 | 1.02 |
| 2009 | 45.79 | 44.00 | 49.82 | 49.00 | 47.60 | 47.00 | 1.05 | 1.04 |

| B. Individual Level Data | | | | | | | | |
|---------------------------------|------------------------|-------------------------|-----------------------|-------------------------|-----------------------|-------------------------|---------------------|-----------------------|
| Year | PSID unweighted | | PSID weighted | | CPS weighted | | Ratio | |
| | Mean years [1] | Median years [2] | Mean years [3] | Median years [4] | Mean years [5] | Median years [6] | Mean [3]/[5] | Median [4]/[6] |
| 2001 | 30.86 | 29.00 | 36.30 | 36.00 | 35.65 | 35.00 | 1.02 | 1.03 |
| 2003 | 31.25 | 29.00 | 36.53 | 36.00 | 35.82 | 35.00 | 1.02 | 1.03 |
| 2005 | 31.41 | 29.00 | 36.93 | 36.00 | 36.17 | 36.00 | 1.02 | 1.00 |
| 2007 | 31.61 | 29.00 | 37.35 | 37.00 | 36.44 | 36.00 | 1.02 | 1.03 |
| 2009 | 32.30 | 29.00 | 37.90 | 37.00 | 36.80 | 36.00 | 1.03 | 1.03 |

Table 8. Comparison of PSID and CPS Weighted Estimates of % Population by Gender, 2001-2009

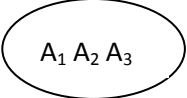
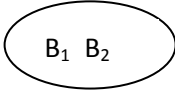
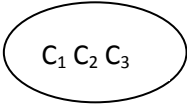

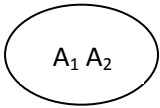
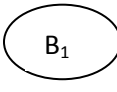
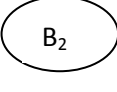
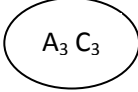
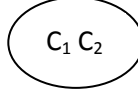
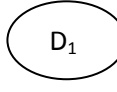
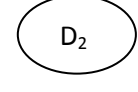
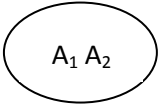
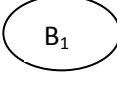
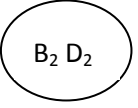
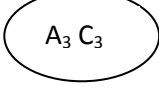
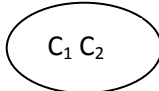
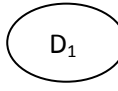
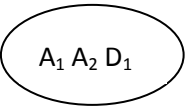
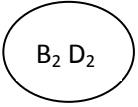
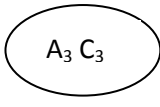
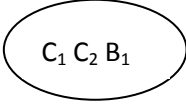
| Year | PSID unweighted | | PSID weighted | | CPS weighted | | Ratio | |
|-------------|------------------------|-------------------|----------------------|-------------------|---------------------|-------------------|---------------------|-----------------------|
| | Male [1] | Female [2] | Male [3] | Female [4] | Male [5] | Female [6] | Male [3]/[5] | Female [4]/[6] |
| 2001 | 47.93 | 52.07 | 48.08 | 51.92 | 48.86 | 51.14 | 0.98 | 1.02 |
| 2003 | 47.98 | 52.02 | 48.17 | 51.83 | 48.92 | 51.08 | 0.98 | 1.01 |
| 2005 | 47.88 | 52.12 | 48.23 | 51.77 | 49.03 | 50.97 | 0.98 | 1.02 |
| 2007 | 47.88 | 52.12 | 48.58 | 51.42 | 49.08 | 50.92 | 0.99 | 1.01 |
| 2009 | 47.48 | 52.52 | 48.40 | 51.60 | 49.10 | 50.90 | 0.99 | 1.01 |

Table 9. Comparison of PSID and CPS Weighted Estimates of %Population by Race, 2001-2009

| A. Family Level Data (race of head) | | | | | | | | |
|--|--------------------------|----------------------|--------------------------|----------------------|--------------------------|----------------------|------------------------------|--------------------------|
| Year | PSID unweighted | | PSID weighted | | CPS weighted | | Ratio | |
| | Non-black [1] | Black [2] | Non-black [3] | Black [4] | Non-black [5] | Black [6] | Non-black [3]/[5] | Black [4]/[6] |
| 2001 | 69.60 | 30.40 | 87.40 | 12.60 | 87.80 | 12.20 | 1.00 | 1.03 |
| 2003 | 68.40 | 31.60 | 87.20 | 12.80 | 87.90 | 12.10 | 0.99 | 1.06 |
| 2005 | 66.70 | 33.30 | 86.10 | 13.90 | 87.80 | 12.20 | 0.98 | 1.14 |
| 2007 | 65.70 | 34.30 | 85.90 | 14.10 | 87.60 | 12.40 | 0.98 | 1.14 |
| 2009 | 64.60 | 35.40 | 84.40 | 15.60 | 87.50 | 12.50 | 0.96 | 1.25 |

| B. Individual Level Data (individual race is proxied by the race of head in PSID data) | | | | | | | | |
|---|--------------------------|----------------------|--------------------------|----------------------|--------------------------|----------------------|------------------------------|--------------------------|
| Year | PSID unweighted | | PSID weighted | | CPS weighted | | Ratio | |
| | Non-black [1] | Black [2] | Non-black [3] | Black [4] | Non-black [5] | Black [6] | Non-black [3]/[5] | Black [4]/[6] |
| 2001 | 67.00 | 33.00 | 86.90 | 13.10 | 87.30 | 12.70 | 1.00 | 1.03 |
| 2003 | 66.10 | 33.90 | 86.60 | 13.40 | 87.50 | 12.50 | 0.99 | 1.07 |
| 2005 | 64.60 | 35.40 | 86.00 | 14.00 | 87.40 | 12.60 | 0.98 | 1.11 |
| 2007 | 64.20 | 35.80 | 85.90 | 14.10 | 87.40 | 12.60 | 0.98 | 1.12 |
| 2009 | 63.70 | 36.30 | 85.20 | 14.80 | 86.70 | 13.30 | 0.98 | 1.11 |

Figure 1: Illustration of Dynamic Weighting for PSID Families

| Wave | | | | | | | | Total |
|-------------|---|---|---|---|---|---|---|-------|
| t_0 |  |  |  |  | | | | |
| $W_{0,IND}$ | 2, 2, 2 | 2, 2 | 0, 0, 0 | 0, 0 | | | | 10 |
| $W_{0,FAM}$ | 2 | 2 | 0 | 0 | | | | 4 |
| t_1 |  |  |  |  |  |  |  | |
| $W_{1,FAM}$ | 2 | 2 | 2 | 1 | 0 | 0 | 0 | 7 |
| t_2 |  |  |  |  |  |  | | |
| $W_{2,FAM}$ | 2 | 2 | 1 | 1 | 0 | 0 | | 6 |
| t_3 |  |  |  |  | | | | |
| $W_{3,FAM}$ | 1.33 | 1 | 1 | 0.67 | | | | 4 |