SECTION V: QUESTIONNAIRE OBJECTIVES (Q-X-Qs)

The following pages describe each section of the questionnaire and relate the study concepts from Section II to specific questions and sequences. In addition, we introduce section-specific concepts, such as work and income.

Some questions in these question-by-question objectives have no explanatory instructions. Straightforward or self-explanatory questions, or those with sufficient instructions for you in the questionnaire itself (IN CAPITAL LETTERS), are not listed in the Q-x-Qs.
1994 THE STUDY OF FAMILY ECONOMICS

For Office Use Only

1. INTERVIEWER'S LABEL
OR
TF INTERVIEWER ID:  ____  ____  ____

2. Your Iw. No.  ____  ____  ____

3. Iw. Date  _______________________

4. Iw. Length  ________________ MINUTES

5. Post-edit Length  ________________ MINUTES

6. SAMPLE ID#  ____  ____  ____  ____  ____
IF SPLITOFF: PERSON NUMBER  ____  ____  ____

7. Who was your Respondent?  ____________________

8. Total number of calls required to obtain interview (see item 19 of Coversheet):

9. Language of interview was [CHECK ALL THAT APPLY]:

1. ENGLISH
2. SPANISH
7. OTHER (SPECIFY):

BEFORE YOU BEGIN:

For REINTERVIEW (ivory) and RECONTACT (ro
Coversheets, affix 1993 OFUM label to G71 grid, p. 89, if there is such a label stapled
to p. 2 of the coversheet.

REVIEWED:

☐ BY SUPERVISOR  ________________ (DATE)

☐ BY FIELD OFFICE  ________________ (DATE)

For Office Use Only
Part 2: Face Sheet of Questionnaire

Items 2-5. The interview information should match comparable dates, times and numbers on the coversheet.

Item 6. The top line of the Respondent label, item 3, p. 1 of the Reinterview (Ivory) Coversheet contains this information. Splitoff (Tan) and Recontact (Rose) Coversheets which have been transferred to the Field from the TelFac also have this information at the top of the Respondent Label. However, Splitoff and Recontact cases generated in the Field may not yet have a Sample ID assigned when you are taking the interview. For these cases, enter the first four digits of the Sample ID recorded at item 9a, p. 3 of the Coversheet in the first four boxes here. Leave the three boxes after the hyphen blank. For all Splitoff cases, and for Recontact cases generated in the Field, you need to copy person number from item 9d, p. 3, of the Coversheet.

Please copy this information accurately! It is our most important link in case coversheets and questionnaires are separated before their time!

OFUM LABEL Note the box labelled "BEFORE YOU BEGIN". If you have a Reinterview or Recontact Coversheet, and a pre-printed 1993 OFUM label is attached to it, remove the backing and stick the OFUM label to the OFUM grid on page 89 of the questionnaire. You must do this before you begin the interview. See Section V, Part 9 for instructions on updating the OFUM label.

Item 7. We ask that you try to interview the Head of the FU, but it is possible that circumstances result in your interviewing someone else. Indicate here the identity of your Respondent (e.g., Head, Wife, "Wife", Head's son). Do not use the word "Self" here. If the interview was conducted with a proxy respondent, indicate that along with their relationship.

Item 8. The information we need here is the total number of calls required to obtain and complete the interview. The number of calls documented in the Call Record should correspond with this amount, and both should agree with the number you have indicated at coversheet item 19.

Item 9. Complete this item for all interviews. The use of an English-language questionnaire doesn't mean that you and the R didn't speak Spanish during the interview, for instance. Check all that apply to any part of the interview and use "3. OTHER" to specify any languages other than English or Spanish used in the Interview.
THUMBNAI L SKETCH

TN1. Are there people living in this household unit (HU) who are not included in this family unit (FU)?

[YES] [NO] → GO TO TN2

Explain situation: ________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

TN2. Please provide a few words about this FU which might help editors and coders understand any potentially confusing family situations or relationships (such as the fact that parents of grandchildren do not live in HU, who the actual parents of stepchildren and grandchildren are, etc.):

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

TN3. Elaborate on any ambiguous or conflicting information in this interview that you want editors and coders to know about:

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

TN4. How would you describe the interview situation? Please add any other clarifying remarks that will be helpful to editors and coders when this interview is processed. If this FU should be recontacted for missing information from Ann Arbor, is there something else we should be aware of?

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

TN5. Is this FU currently in the military, in jail, in a health care facility, in college or other type of institution?

[1. YES] [5. NO]

TN5a. Which type of facility is that? [1. MILITARY] [2. JAIL] [3. HEALTH CARE] [4. COLLEGE] [7. OTHER (SPECIFY): ________________________________]
Part 3: Thumbnail Sketch

Completion of this section is required!

The Thumbnail sketch should be completed immediately after you finish the Interview. Indicate your answer to all items (TN1-TN5a) for all Interviews. Note that TN1-TN5 each have a different emphasis.

TN1. Answer YES if the family listing on p. 4 of the Coversheet contains anyone with an HU designation at Item 23c. If you answer YES to TN1, please explain the living situation in the space provided.

TN2. Please include information here at TN2 that will help us better understand the FU, especially those FUs with potentially confusing family situations. It is acceptable to refer to family members by name, as well as by relationship to Head.

TN3. Please detail here any ambiguous or conflicting responses that editors should know about. Note the question numbers and your probes.

TN4. Write here any general impressions about the interview situation or Respondent that might have a bearing on our understanding the interview or recontacting the R. Such things as R’s difficulty in speaking or hearing, best times to catch R at home, or an uncooperative or difficult R can be very important.

TN5. The response here should be YES only when the FU is a one-person FU, and the Head is living in some sort of institution.
SECTION A: HOUSING


A. ALL HU MEMBERS ARE FU MEMBERS

B. ONE OR MORE HU MEMBERS ARE NOT FU MEMBER(S) BECAUSE:
   a. HAVE OWN COVERSHEET(S)
   b. LIVING WITH THIS FU ONLY TEMPORARILY
   c. OTHER (SPECIFY): ________________________________

INFORM R THAT THESE PERSONS ARE NOT TO BE INCLUDED IN INTERVIEW

A2. TIME START: ______________

A4. Do you live in a one-family house, a two-family house, an apartment, a mobile home, or what?
   [IF R ANSWERS "CONDO," PROBE FOR TYPE OF DWELLING]

1. ONE-FAMILY
2. TWO-FAMILY; DUTPLEX
3. APARTMENT; PROJECT
4. MOBILE HOME; TRAILER
6. ROW HOUSE; TOWN HOUSE

7. OTHER (SPECIFY): ________________________________
Part 4: Section A—Marital Status and Housing

A1. This checkpoint helps editors interpret the interview. Use the information recorded at Coversheet items 22 and 23, p. 4, to complete the checkpoint.

The use of "you (HEAD)" is a convention in Family Economics. The (HEAD) is a parenthetical remark to remind you that the question is about the HEAD. Do not read these words to the R. If a Wife is answering for her husband, say "your husband."

A4. Note the separate code for ROW HOUSE; TOWN HOUSE. Also, do not accept "CONDOMINIUM" or "CONDO" as an answer. "Condominium" is a form of ownership, not a type of dwelling. There are condominium apartments, condominium town houses, etc. Re-ask the question with the emphasis on type of structure.
A5. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

EITHER HEAD OR WIFE/"WIFE"
IS AGE 55 OR OLDER

ALL OTHERS

NEXT PAGE, A15

A6. Do you live in a retirement community, senior citizens' housing complex, nursing home, home for the aged, or adult foster care facility?

1. YES

5. NO

NEXT PAGE, A15

A7. Which kind is that?

1. RETIREMENT COMMUNITY

2. SENIOR CITIZENS' HOUSING COMPLEX

3. NURSING HOME

4. HOME FOR AGED

5. ADULT FOSTER CARE FACILITY

A8. We are interested in personal services you might get in addition to housing. At your (TYPE OF RESIDENCE FROM A7), do you get medication or injections from a registered nurse?

1. YES

5. NO

A9. (At your [TYPE OF RESIDENCE FROM A7], do you get) meals?

1. YES

5. NO

A10. (At your [TYPE OF RESIDENCE FROM A7], do you get) maid service or cleaning?

1. YES

5. NO

A11. Anything else?

1. YES

5. NO

NEXT PAGE, A13

A12. What is that? [CHECK ALL THAT APPLY]

1. TRANSPORTATION

2. LAUNDRY

3. RECREATION

7. OTHER (SPECIFY):
A5. If either HEAD, WIFE, or "WIFE" is age 55 or older, continue with A6-A14. These questions target older people’s housing and services.

A6. A retirement community or a senior citizens’ housing complex is one which generally has some type of formal requirement (usually age, but also minimum health requirements) for residence. Do not consider a person to be residing in a retirement community just because the majority of people in the city, neighborhood, building, or complex are retired.

The distinction between a retirement community and a senior citizens’ housing complex is not always clear. The retirement community/building/complex generally has full-time nursing care available in a separate area or facility, common areas for dining and recreation, and many of the services listed in A8-A10.

Nursing homes are primarily for people who need constant nursing supervision or are incapable of living independently.

A8-A11. The service does not have to be used by the person to answer "Yes" for these items. It does not have to be contained within the same building as they reside, provided it is within the same community or complex. For example, if the response is "There’s a dining hall in the next building", consider this as a "Yes" response.
A13. INTERVIEWER CHECKPOINT

SEE A8-A11, (P. 2)--SERVICES PROVIDED

1. "YES" TO A8 OR A9 OR A10 OR A11

5. "NO" TO A8 AND A9 AND A10 AND A11

GO TO A15

A14. Are these services included as part of the cost of housing or do you pay for them separately?

1. ALL INCLUDED
2. SOME INCLUDED, SOME SEPARATE
3. ALL SEPARATELY

A15. How is your (home/apartment) heated—with gas, electricity, oil or what? [CHECK ALL THAT APPLY]

01. GAS
02. ELECTRICITY
03. OIL
04. WOOD
05. COAL
06. SOLAR

10. BOTTLED GAS; PROPANE
11. KEROSENE
97. OTHER (SPECIFY): 98. DK

A16. How many rooms do you have (for your family) not counting bathrooms?

____________________ ROOMS FOR FU ONLY OR

00. FU SHARES A ONE-ROOM HU WITH NON-FU PERSONS

A17. There are government programs that give money to people to help them pay for heating their homes. Did you receive help with heating bills from any government program last winter (1993-1994)?

1. YES
5. NO → NEXT PAGE, A19

A18. About how much did that amount to altogether? $_____________________
A13-14. Note that a "Yes" to any question in the series A8-A11 means that A14 should be asked.

A15. We want the fuel used—answers such as "hot air" or "steam heat" are inadequate. What fuel heats the steam or air? If more than one fuel is used, mark all kinds used.

A16. Include only whole rooms (e.g., kitchens, bedrooms, dining rooms, living rooms, permanently enclosed sun porches, finished basement/attic rooms, or other rooms suitable for living purposes). Exclude rooms used only by non-FU persons. Please write in the margin that you did so. If this FU is living with another FU, tell us how many rooms are for the exclusive use of this FU (e.g., their own bedrooms) and make a marginal note of this number. If, in addition, they have use of the other common rooms, note this in the margin as well.

If some rooms are used for business only, tell us how many and exclude them from the total at A16.

If you are interviewing a Respondent in Spanish, be sure that he/she understands that "cuartos" includes a living room (sala), kitchen (cocina), dining room (comedor), etc., not just bedrooms (habitaciones).

A17-18. These programs may be provided by state or local government agencies. Get both amounts paid to utility companies on FU’s behalf and money given to any FU member by the government to help pay for heat. If R doesn’t know the exact amount, get an estimate. If R gives an amount and frequency with which they received it, such as "$20 per month for three months," probe for a total altogether using /RR/. Please give enough information so that we can calculate a total—such as, $20 per month for 3 months. Do not include savings resulting from Senior Citizens’ rates, rebates, or help from non-government agencies (church, Salvation Army).
A19. Do you (or anyone else in your family living there) own the (home/apartment), pay rent, or what?

1. ANY FU MEMBER OWNS OR IS BUYING (FULLY OR JOINTLY)
5. FU PAYS RENT
8. FU NEITHER OWNS NOR RENTS

A20. Could you tell me what the present value of your (house/apartment) is—I mean about how much would it bring if you sold it today?

$__________________________________ HOUSE VALUE

A21. About how much are your total yearly property taxes, including city, county, and school taxes?

$__________________________ PROPERTY TAX PER YEAR

A22. How much is your total yearly homeowner’s insurance premium?

$__________________________ INSURANCE PER YEAR

A23. Do you have a mortgage on this property?

1. YES: MORTGAGE, LAND CONTRACT, HOME EQUITY LOAN, ETC.
5. NO → TURN TO P. 7, A40

A24. About how much is the remaining principal on this mortgage?

$__________________________ 1st MORTGAGE
$__________________________ 2nd MORTGAGE

A25. How much are your monthly mortgage payments?

$__________________________

A26. How many years have you been paying on it?

_____________ YEARS

A27. About how many more years will you have to pay on it?

_____________ YEARS

GO TO A29

A28. Do you also have a second mortgage?

1. YES
5. NO

ASK A24-A27 AGAIN FOR SECOND MORTGAGE

A29. Do your mortgage payments include property taxes?

1. YES
5. NO

A30. Do your payments include insurance premiums?

1. YES
5. NO

TURN TO P. 7, A40
NOTE: The "you" in this question refers to anyone in the FU.

1. OWNS OR IS BUYING:
   
   (a) If anyone in the FU OWNS the HU, then we count it as owning/buying. If an HU member who is not in the FU owns, find out what the FU does. They may be renting rooms from the owner, in which case the FU PAYS RENT box should be marked.

   (b) If anyone in the FU IS BUYING the home by making payments on a mortgage, land contract, or deed of trust, mark "OWNS OR IS BUYING."

   (c) If FU "both owns and rents" (e.g., owns trailer but rents lot, or owns a condo but pays monthly fees for services), mark "OWNS OR IS BUYING."

5. PAYS RENT: We want to know only about this FU! If the FU pays an amount which includes room and board or other services, mark FU PAYS RENT and try to get separate amount for rent. FUs in nursing homes or other care facilities and people buying into retirement communities may have complicated situations, so get full details and record them in a marginal note.

8. NEITHER OWNS NOR RENTS. Some examples are:

   (a) janitors, maids, farm laborers, etc., who get living quarters as part of their pay;

   (b) people who live in houses or rooms provided rent-free as a gift from someone;

   (c) military or government employees living in government provided housing.

   (d) people who have sold their house but have not yet moved at the time of the interview.

   (e) people who pay no rent because a government housing or welfare program pays all of the rent, but the dwelling must cost nothing, not just have reduced rent.

Note: Be aware of the importance of the FU. For example, if the interview is taken with a former Splitoff child who has returned to the parental home, the parents and the child are members of two separate family units (FUs). Thus, if the parents own the home and this FU pays them rent, we say this FU PAYS RENT.
A19. Do you (or anyone else in your family living there) own the (home/apartment), pay rent, or what?

1. ANY FU MEMBER OWNS OR IS BUYING (FULLY OR JOINTLY)  
5. FU PAYS RENT  
8. FU NEITHER OWNS NOR RENTS

NEXT PAGE, A31  
TURN TO P. 6, A36

A20. Could you tell me what the present value of your (house/apartment) is--I mean about how much would it bring if you sold it today?

$_________________________________ HOUSE VALUE

A21. About how much are your total yearly property taxes, including city, county, and school taxes?

$_________________________ PROPERTY TAX PER YEAR

A22. How much is your total yearly homeowner's insurance premium?

$_________________________ INSURANCE PER YEAR

A23. Do you have a mortgage on this property?

1. YES: MORTGAGE, LAND CONTRACT, HOME EQUITY LOAN, ETC.  
5. NO  

→ TURN TO P. 7, A40

A24. About how much is the remaining principal on this mortgage?

$_____________  

A25. How much are your monthly mortgage payments?

$_____________  

A26. How many years have you been paying on it?

____________________ YEARS

A27. About how many more years will you have to pay on it?

___________________  

→ GO TO A29

A28. Do you also have a second mortgage?

1. YES  
5. NO

ASK A24-A27 AGAIN FOR SECOND MORTGAGE

A29. Do your mortgage payments include property taxes?

1. YES  
5. NO

A30. Do your payments include insurance premiums?

1. YES  
5. NO

TURN TO P. 7, A40
A20. We want an estimate of what the sale price would be if the house were sold today, but not under forced-sale conditions. Include the value of the land/lot on which the home sits (if this also is owned by the FU; it usually is).

For farmers or people with a home business, we need to separate the value of the living quarters from the value of the farm/business. For farmers, this means you should include the value of the lot/yard the home sits on, but not the buildings, equipment, or farmed land. For home businesses, ask R to exclude the value of the business property. If R cannot separate these amounts, make a marginal note to that effect.

A21. We want the FU's tax liability, whether the taxes have been paid or not. Basically, we are asking, "What do the taxes run on your place?" If taxes are included in mortgage or house payments, R may not know the exact answer. An approximate figure is acceptable. We want taxes paid on the FU's home and lot only, not on other properties owned.

A22. We want only the cost of insurance on the FU's home.

A23. Mortgages, land contracts, deeds of trust, and home equity loans all get a YES answer here. However, include only loans of money secured by the home (i.e., borrowed against its equity). If in doubt, collect all details and record in a marginal note.

A24. Get the amount still owed on the principal (i.e., how much of the original amount borrowed is still owed). The monthly payment amount times the number of remaining payments does not give us the principal since payments include interest, and sometimes property taxes and insurance. For farmers and others whose living quarters and business property are mortgaged together, we'd like the portion of the principal that is just for the house (i.e., living quarters), excluding the farmland, rooms or buildings, equipment, etc. used for the farm or business. We realize this is difficult for some Rs, but ask them to estimate the fraction or percentage of the total and record both the fraction and the total.

A25. The figure here should be the monthly amount paid to the bank or mortgage company. If taxes and/or insurance are part of the payment, be sure A21-A22 and A29-A30 are consistent with this.

A26-27. This information is asked of all FUs with a mortgage, but is especially helpful when A24 or A25 is missing. Note that A27 asks the number of years from now.

A28. A second mortgage may also be a land contract, deed of trust, home equity loan, home improvement loan, etc. Again, it must be a loan secured by the property.

A29-30. Sometimes the payment mentioned at A25 includes taxes and/or insurance amounts. These questions tell us whether this is the case, and so those who care can adjust A25.
IF FU PAYS RENT

A31. About how much rent do you pay a month? [IF FU LIVES WITH NON-FU MEMBERS, PROBE: Is that only for your (FU's) share of the rent?]

$ ____________________  FU'S SHARE OF RENT PER:

1. MONTH  2. TWO WEEKS  3. WEEK  4. OTHER (SPECIFY):

A32. Is this (house/apartment) rented fully furnished?

1. YES  5. NO

A33. Is heating included in your monthly rent?

1. YES  5. NO

A34. Is this (house/apartment) in a public housing project, that is, is it owned by a local housing authority or other public agency?

1 YES ★TURN TO P. 7, A40 5. NO

A35. Are you paying lower rent because the Federal, State, or local government is paying part of the cost? [COST OF RENT]

1. YES  5. NO

TURN TO P. 7, A40
A31. If rent is paid irregularly on a "pay as I can" basis, obtain R's best estimate of total annual amount paid in rent. For whatever amount given, be sure you check the appropriate time period. If rent is free, A31=0, then this "FU NEITHER OWNS NOR RENTS." Go back and change A19 and then proceed to A36.

A32. We mean completely furnished—chairs, tables, beds, etc.—not just stove and refrigerator. "Completely furnished" need not include bed linens, dishes, etc. If the HU is only partially furnished—a table or a couple of chairs—the answer is NO.

A34. We want to know if this dwelling unit is part of a low-income housing project or subdivision where the local, state or federal government owns or subsidizes the properties to keep housing costs down. Do not include "student housing" (i.e., dormitory or family housing owned by a state university or college). Our focus here is government housing assistance for low-income families.

A35. "Lower rent" here means a government program is paying only part of the rent, either to the landlord or to the family. We just want government rent assistance, not that from church or charity. Government help with heating costs only doesn’t go here, it belongs in A18. If the government helps with rent and rent includes heat, mark the YES box here.

If the government pays all of the rent, the "FU NEITHER OWNS NOR RENTS" should be checked at A19. Go back and change A19 and proceed to A36.
IF FU NEITHER OWNS NOR RENTS

A36. How is that?

A37. How much would it rent for if it were rented? [IF FU LIVES WITH NON-FU MEMBERS, PROBE: Would that be only for your (FU’s) share of the rent?]

$________________ PER:

1. MONTH 2. TWO WEEKS 3. WEEK 4. OTHER (SPECIFY):

A38. Is this (house/apartment) in a public housing project, that is, is it owned by a local housing authority or other public agency?

1. YES → NEXT PAGE, A40  5. NO

A39. Are you paying no rent because the Federal, State, or local government is paying all of it?

1. YES  5. NO
A36. Listen very carefully to R’s answer and keep the idea of the FU in mind. If you discover that R lives with someone else (relative or friend) who owns the house and is an FU Member, go back and fix A19 and ask the OWNS OR IS BUYING sequence. If the person with whom R lives PAYS RENT and is an FU member, go back and fix A19 and ask A31-A35.

A37. The amount here should be rent for a comparable room, house or apartment, including whatever furnishings and utilities the landlord provides. Military and government employees living in government provided housing often give us the amount of their housing allowance in answer to this question. We do not want that. Ask what comparable non-government housing would rent for in the R’s area.

A38. See A34.

A39. Check the response to A36 to make sure that FU pays no rent because a government housing program pays it all. Exclude "student housing," as at A34 above.

If this FU is in prison or jail, R may think the answer here is YES, since the "Federal, State, or local government is paying all of it". Sorry, we are after government housing assistance here. A39 is NO for these people.
A40. Do you have air conditioning?

1. YES

5. NO → GO TO A42

A41. Do you have it in all your rooms, or only in some of them?

1. ALL

2. SOME

A42. Have you (HEAD) moved any time since the spring of 1993?

1. YES

5. NO → NEXT PAGE, SECTION B

A43. What month and year was that? [MOST RECENT MOVE] MONTH YEAR

A44. Why did you (HEAD) move? [CHECK ALL THAT APPLY]

1. TO TAKE ANOTHER JOB; STOPPED GOING TO SCHOOL

2. TO LIVE NEARER TO WORK

3. MORE SPACE; BETTER PLACE

4. LESS SPACE/LESS EXPENSE (RENT, UPKEEP, ETC.)

5. OWN A HOME; TO GET PLACE OF MY OWN; TO GET MARRIED

6. BETTER NEIGHBORHOOD; CLOSER TO FAMILY/ FRIENDS

7. OUTSIDE EVENTS (EVICTED/ DIVORCED/ JOB TRANSFER)

8. OTHER (SPECIFY):
A40-41. We accept any kind of central or room air conditioners powered by conventional means. We are interested in whether utilities expenses include costs of air conditioning, so if R explicitly tells you they have it but never use it, the answer here is "NO." Likewise, if the "air conditioning" is by unconventional means and requires no power (e.g., certain kinds of "swamp cooler", evaporation), A40 is also "NO".

A42. This question refers only to the Head, and here we mean an actual move from one housing unit to another. If Head moved into this HU since the 1993 interview—regardless of whether or not the rest of the family did—the reply should be YES. Be careful also with the opposite situation in which the rest of the FU Members move into the same HU with a New Head. Head moved into the FU for coversheet purposes, but the proper answer to A42 is NO.

A44. We have tried to include all of the most common answers in the response categories for why Head moved. Please take some time to familiarize yourself with the different choices. If R's response doesn't seem to fit any of the choices, record R's response verbatim at 8. OTHER (SPECIFY).

A45. This question may seem awkward to the R, since it refers only to the Head. But once again, we are interested only in Head's plans to move.

A46. It is very important to us to know if plans to move are definite or vague.

A47. See A44. If R gives more than one reason, check all that apply.
SECTION B: EMPLOYMENT OF HEAD

B1. We would like to know about what you do—are you (HEAD) working now, looking for work, retired, keeping house, a student, or what? [CHECK AS MANY AS APPLY. IF BOX 1 OR 2 IS CHECKED, THEN FOLLOW SKIP TO B4.]

1. WORKING NOW

2. ONLY TEMPORARILY LAID OFF, SICK LEAVE OR MATERNITY LEAVE

NEXT PAGE, B4

3. LOOKING FOR WORK, UNEMPLOYED

4. RETIRED

B2. In what year did you (HEAD) retire?

5. DISABLED, PERMANENTLY OR TEMPORARILY

6. KEEPING HOUSE

7. STUDENT

8. OTHER (SPECIFY):

B3. Are you (HEAD) doing any work for money now at all?

1. YES

5. NO

NEXT PAGE, TURN TO B4 P. 26, SECTION C
Part 5: Section B—Employment of Head

SECTIONS B AND C APPLY TO THE CURRENT HEAD OF THE FU EVEN IF YOUR RESPONDENT IS NOT THE HEAD.

All the instructions for Sections B and C will crop up again in Sections D and E (Wife’s/"Wife’s" employment history) so be sure you are familiar with them.

B1-3 It is crucial that you get an accurate reply to B1-B3 since this will determine whether you ask Section B or skip to Section C.

Mark as many choices as the R mentions at B1. If R’s answer include 1. WORKING NOW or 2. TEMPORARILY OFF from work, ask B4 and continue with Section B. If only CODES 3-8 are checked and B3 is YES, ask B4 and continue with Section B; if B3 is NO, GO TO Section C.

CODE 1. WORKING NOW: Head has an employer (or is self-employed) and is currently working (i.e., Head worked in the last week). Includes those temporarily at home because they are sick (not on leave), on vacation or sabbatical.

CODE 2. ONLY TEMPORARILY LAID OFF: Heads is employed (but off temporarily) and expects to return to their job in the near future. For example: a construction worker off because of bad weather; a factory worker who has been temporarily laid off or is on strike; a female Head on official maternity leave; a self-employed businessman closed for repairs.

CODE 3. LOOKING FOR WORK, UNEMPLOYED: Head is not working now and does not have a job to which they expect to return. He/she is now actively seeking one. Some examples: permanently laid-off factory workers; people who have lost their jobs because of illness, injury or disability.

CODES4-8. NOT WORKING/NOT LOOKING: R may mention these codes even though follow-up questions reveal that they are working now also. Examples are full-time students without jobs; homemakers; women who quit work (rather than took maternity leave) to have a baby; retired people.
B4. On your main job, are you (HEAD) self-employed, are you employed by someone else, or what?

1. SOMEONE ELSE ONLY
2. BOTH SOMEONE ELSE AND SELF
3. SELF-EMPLOYED ONLY

B4a. By that do you mean you have one job, and are employed by both someone else and yourself? Or two separate jobs, one for someone else and another which is self-employment?

1. ONE JOB  
2. TWO JOBS
GO TO B5

B4b. So that we can get clear descriptions of these separate jobs, I would like to ask you first about one of them as a main job. Later, I will ask you about the other one as an extra job. Which one do you consider to be your main job? [IWER: ENTER A SHORT JOB TITLE DESCRIBING MAIN JOB]

B4c. On that main job (TITLE FROM B4b) are you self-employed, are you employed by someone else, or what?

3. SELF-EMPLOYED ONLY
1. SOMEONE ELSE ONLY
GO TO B5  GO TO B6

B5. Is that an unincorporated business or a corporation?

1. UNINCORPORATED
2. CORPORATION
NEXT PAGE, B9

B6. Do you (HEAD) work for the federal, state, or local government, a private company, or what?

1. FEDERAL GOV'T
2. STATE GOV'T
3. LOCAL GOV'T
4. PRIVATE NON-GOV'T
7. OTHER (SPECIFY):

B7. Is your current job covered by a union contract?

1. YES
5. NO
NEXT PAGE, B9

B8. Do you belong to that labor union?

1. YES
5. NO
Note: B4-B59 refer to Head’s main job or consecutive main jobs. When R cannot decide which is the main job, the main job is the one on which Head spends the most hrs/wk. If Head spends an equal amount of time on two jobs, then select the one from which Head earns more money for the main job. For more information on main vs. extra jobs, see B82 Q-x-Qs.

B4. Be careful with the following situations and record as many details as possible in the margins:

Farmers/farm workers and ranchers/ranch workers who work for a larger operation are not self-employed. They’re employed by someone else. Incorporated family farm owners, however, are SELF-EMPLOYED or BOTH SOMEONE ELSE AND SELF-employed.

Professional people, such as lawyers, doctors and engineers who are employees of a corporation of which they’re also president, are probably not self-employed. Don’t change the R’s answer, just ask B5 and give details in the margins.

Full-time salaried professional people who also have separate private practices or clients should divide these two roles into main and extra jobs, respectively. If they can’t, record them as employed by BOTH someone else and self, ask B5 and give us details. Similarly, any other case in which employment by others and self-employment can’t be separated by the R should be recorded as BOTH. Ask B5 and provide details in B9-B11.

B5. Many self-employed people and professionals do not consider what they do a "business" and don’t think B5 applies to them. Mark them UNINCORPORATED and make a marginal note. If R is very clear that some other category applies (e.g., partnership), note it in the margin.

B8. We are not asking whether R belongs to any labor unions, but only the one covering his/her current main job.
B9. What is your (HEAD’S) main occupation? What sort of work do you do? 

B9a. What are your most important activities or duties? 

B10. What kind of business or industry is that in? 

B11. What is the name of your (HEAD’S) employer? [IF NECESSARY: This information will help us to process employment information you gave us. The name itself will never be released as part of data from the study.] [IWER: IF NO EMPLOYER NAME IS GIVEN BY R, RECORD A SHORT JOB TITLE FROM INFORMATION AT B9-B10.]
B9-9a. Follow the guidelines below to get complete information on Head’s main job and main job duties/activities.

1. Probe for clear complete answers. We want to be able to distinguish among unskilled workers (such as laborers), semi-skilled workers (such as operators), and skilled workers (such as plumbers or electricians), as well as among various white-collar occupations. You may use focused probes (/PO/) to get the factual information that you need.

2. The type of place at which Head works is usually insufficient in response to the occupation questions (e.g., if Head "works in a bank," he/she may be the manager, a teller, or a janitor).

3. Job titles at the lower end of the occupational scale are likely to be less descriptive than they are for professionals, so probe for specifics of what Head does on the job (repeat B10 if necessary). For example, if someone says "engineer," that may mean Head: (a) designs bridges or airplanes, (b) operates a railroad locomotive, (c) tends an engine in a power plant or (d) shovels coal into a furnace. We need information more specific than "engineer" here, so that distinctions between skilled, semi-skilled and unskilled workers can be made. If Head is a road construction worker, she or he will be classified as an: (a) foreman if he or she supervises a road gang; (b) operative, if she or he operates a bulldozer; or (c) laborer, if he or she provides labor only.

4. Examples of specifics necessary at the other end of the occupational scale are: (a) whether a "nurse" is a registered nurse or practical nurse; (b) whether a "teacher" teaches in an elementary school, secondary school, or college, and the type of school or college in which he/she teaches.

5. Particularly unacceptable answers are: factory worker; construction worker; driver (of what?); teacher (type of school: level/grade?); engineer (what does Head do?); nurse (RN? LPN?); sailor (officer? enlisted man?); manager/supervisor (what kind of group does Head supervise?); sales (what does Head sell? retail or wholesale?); clerk (what does Head do?); mechanic/repair (what does Head repair?); apprentice (to what trade?); inspector (of what?). In most cases one of the probes listed here will elicit sufficient information. If Head works both for him/herself and for someone else, here are some examples where we want a full description: (a) Head works for a firm of which she/he owns a substantial portion; (b) Head sells on commission (e.g., selling insurance, real estate).
B9. What is your (HEAD'S) main occupation? What sort of work do you do?

__________________________________________________________________________

B9a. What are your most important activities or duties? ______________________________________

__________________________________________________________________________

B10. What kind of business or industry is that in? ______________________________________

__________________________________________________________________________

B11. What is the name of your (HEAD'S) employer? [IF NECESSARY: This information will help us to process employment information you gave us. The name itself will never be released as part of data from the study.] [IWER: IF NO EMPLOYER NAME IS GIVEN BY R, RECORD A SHORT JOB TITLE FROM INFORMATION AT B9-B10.]
The type of business or industry is fitted into an industrial code and is sometimes vital in determining which code a particular occupation should have. For instance, a laborer or warehouse worker does different tasks on the job, according to the industry type. We need to know what’s done at the company for which Head works—whether the company manufactures or sells, what product is manufactured or sold, and whether a sales company sells retail or wholesale. Responses such as "oil business" or "shoe business" are not acceptable without further explanation. For sales especially, please find out whether Head is engaged in wholesale or retail trade, and what is sold.

The following list of questions should help you know what probes to use to get codable information about occupation and industry.

1. When something is constructed, is it: buildings? bridges? highways? etc.
3. If Head is employed by the government, specify the department: Parks and Recreation, Sanitation, Bureau of Land Management, Department of Labor, etc., and the level: federal, state or local.
5. Nurses: Registered or licensed practical nurse (LPN)?
6. If Head operates a machine, please specify the kind of machine.
7. "Machinist" is a specialized occupation and is not the same as a machine operator. Be aware of this, as many people use the terms interchangeably.
8. School level: specify grade for elementary and secondary teachers, subject if college level, and type of school: vocational? private?
9. Organizations: profit? nonprofit?
10. Foundries (material produced): brass? iron? steel?
12. Babysitter: is the babysitting done in the Respondent’s own home or in someone else’s home?
16. When Head’s main job is in the Armed Forces, try to get Head’s rank and what he/she does. If this is not possible, please try to find out whether the person is or was commissioned, non-commissioned or enlisted. Keep in mind that civilians are also employed by the Armed Forces.
17. Mining: ask the type of material mined: copper ore? borax? aluminum?
gravel? crude oil? tin? petroleum? natural gas? etc. (Mining industries can also have wholesale and retail trade categories.)

18. Oil: ask R to specify the type of oil business: oil field company (extraction)? oil lease buyers? oil distributor? oil station (retail)? oil royalty dealer? oil storage tanks? etc.

19. Heavy equipment or heavy machinery: farm? construction?


22. If occupation is manager or supervisor, ask what the job duties of the people Head supervises or manages are: sales? data processing? etc.
B9. What is your (HEAD'S) main occupation? What sort of work do you do?

________________________________________________________________________

________________________________________________________________________

B9a. What are your most important activities or duties?

________________________________________________________________________

________________________________________________________________________

B10. What kind of business or industry is that in?

________________________________________________________________________

________________________________________________________________________

B11. What is the name of your (HEAD'S) employer? [IF NECESSARY: This information will help us to process employment information you gave us. The name itself will never be released as part of data from the study.] [IWER: IF NO EMPLOYER NAME IS GIVEN BY R, RECORD A SHORT JOB TITLE FROM INFORMATION AT B9-B10.]
B11. You will be asking employer’s name for every employer, main and extra jobs, that Head has or had last year. This may elicit concerns about confidentiality from some Rs. Tread softly here, using minimal persuasion only. Use standard procedures for assurance of confidentiality which applies to all survey information. If the R does not give you an actual employer name, use the information at B9-10 to construct a short job name or title for referencing in succeeding questions.
B12. (On your main job [TITLE FROM B11],) are you (HEAD) salaried, paid by the hour, or what?

1. SALARIED
2. SALARY+
3. PAID BY HOUR
4. HOURLY+
5. HOURLY+
6. HOURLY+
7. OTHER

NEXr PAGE, B16

B18. How is that?

1. PIECEWORK, HOURLY + PIECEWORK/PRODUCTION
2. COMMISSION
3. SELF-EMPLOYED, FARMER,
   "PROFITS"--DRAW ON ACCOUNT
4. BY THE JOB/DAY/MILE
5. OTHER (SPECIFY): ______________

B19. If you worked an extra hour, how much would you earn for that hour?

$______________ PER HOUR

TURn TO PAGE 13, B20

B13. How much is your salary?

$______________ PER: 1. YEAR 2. MONTH 3. 2 WEEKS 4. WEEK

B14. If you were to work more hours than usual during some week, would you get paid for those extra hours of work?

1. YES
5. NO

NEXt PAGE, B17a

B15. About how much would you make per hour for those extra hours?

1. TIME AND A HALF
2. DOUBLE TIME
3. STRAIGHT TIME
4. COMP TIME

5. EXACT AMOUNT (SPECIFY):

7. OTHER (SPECIFY):

NEXt PAGE, B17a
B12-19. Questions B12, B13, B16, and B18 refer to Head's regular pay. B15, B17 and B19 refer to rates of pay for overtime or extra hours. We want current (1994) salary/wage and overtime rates, not 1993 rates. Probe for specific dollar amounts as much as possible, and be sure to check the appropriate time period for the amount given.

B12. The OTHER category is for everything that is not salary, hourly, or combinations of salary or hourly with tips or commissions (Code 1-4). Just check the "OTHER" box and select from the B18 choices.

B14. This should be NO if Head's income is a fixed weekly/monthly/annual amount which won't be increased no matter how many hours are worked in a week/month/year. If Head is paid a fixed salary plus additional pay for overtime hours, the reply should be YES.

B15. Check all that R mentions. Use 5. EXACT AMOUNT when R answers an amount. Accept an answer of "straight time," but probe: "By straight time you mean you (HEAD) are paid the same rate for overtime as you are for regular hours?" An answer of "comp time" or "compensation time" should also be probed: "Do you mean you (HEAD) receive additional time off instead of overtime pay?"

Use OTHER box when overtime is paid in some inconsistent or nonstandard way. For example: half-time, shift differentials, etc.

B18 OTHER ways Head is paid for regular work time. Mark only one of 1,2,5 or 6 or 7 OTHER to describe other forms of payment.

B19. We know that B19 may be difficult for some situations, but try to get an estimate from the Respondent.
B16. What is your hourly wage rate for your regular work time?

$ _______________ PER HOUR

B17. What is your hourly wage rate for overtime? [CHECK ALL THAT APPLY]

1. TIME AND A HALF  2. DOUBLE TIME  3. STRAIGHT TIME  4. COMP TIME

5. EXACT AMOUNT (SPECIFY):

7. OTHER (SPECIFY):

B17a. INTERVIEWER CHECKPOINT

SEE B12, (P. 11)—WHETHER TIPS OR COMMISSIONS

"HOURLY + TIPS" IS CHECKED  "SALARY + COMMISSION" OR "HOURLY + COMMISSION" IS CHECKED  ALL OTHERS

B17b. How much are your tips, on average?

$ _______________ PER:

1. HOUR  2. DAY  3. WEEK  4. 2 WEEKS

5. MONTH  6. YEAR

B17c. How much is your commission, on average?

$ _______________ PER:

AVERAGE COMMISSIONS

1. WEEK  2. MONTH  3. YEAR

NEXT PAGE, B20
B17. Check all that R mentions. Use 5. EXACT AMOUNT when R answers an amount. Accept an answer of "straight time," but probe: "By straight time you mean you (HEAD) are paid the same rate for overtime as you are for regular hours?" An answer of "comp time" or "compensation time" should also be probed: "Do you mean you (HEAD) receive additional time off instead of overtime pay?"

Use OTHER box when overtime is paid in some inconsistent or nonstandard way. For example: half-time, shift differentials, etc.
B20. Have you (HEAD) been looking for another job during the past four weeks?

1. YES

5. NO → GO TO B22

B21. What have you been doing the last four weeks to find another job? (Any others?)
[CHECK ALL THAT APPLY]

1. CHECKED WITH PUBLIC EMPLOYMENT AGENCY

2. CHECKED WITH PRIVATE EMPLOYMENT AGENCY

3. CHECKED WITH CURRENT EMPLOYER DIRECTLY

4. CHECKED WITH OTHER EMPLOYER DIRECTLY

5. CHECKED WITH FRIENDS OR RELATIVES

6. PLACED OR ANSWERED ADS

7. OTHER (SPECIFY):

B22. INTERVIEWER CHECKPOINT

SEE B4 OR B4c, (P. 9)—WHETHER SELF-EMPLOYED

☐ A. HEAD WORKS FOR SOMEONE ELSE ONLY

☐ B. ALL OTHERS —→ NEXT PAGE, B24

B23. How many years' experience do you (HEAD) have altogether with your present employer?

_______ YEARS OR _____ MONTHS OR _____ WEEKS
B20. "Another job" can mean a different position with the same employer, a different employer, or plans for self-employment. We are talking about changing from the main job Head has now to something else.

B21. Check all that apply and specify at 7. OTHER any response that does not fit the given choices.

Note that Code 6 is for placed or answered ads, not just checked or read them. Head must have taken the initiative to place or answer an ad. If R says "looked at newspaper want ads", "checked job ads", etc., probe whether Head placed or answered any ads. If not, record answers such as "checked want ads" at 7. OTHER.

B23. By employer, we mean company, firm, or organization, not a specific boss. If the company changed owners, note the change(s) in a marginal note, and if Head worked several different times for this same employer, give us the total "altogether."
B24. In what month and year did you start working for (your present employer/yourself)? Please give us your most recent start date if you have gone to work for (them/yourself) more than once. [IF NECESSARY: What would be your best estimate? Did you start before 1993?]

<table>
<thead>
<tr>
<th>MONTH</th>
<th>DAY [IF VOLUNTEERED]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1993</td>
<td>96. 1993 OR 1994, DK WHICH</td>
</tr>
<tr>
<td>OTHER YEAR:</td>
<td>97. BEFORE 1993, DK EXACT YEAR</td>
</tr>
<tr>
<td>98. DK YEAR AT ALL</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>MONTH</th>
<th>DAY [IF VOLUNTEERED]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1993</td>
<td>96. 1993 OR 1994, DK WHICH</td>
</tr>
<tr>
<td>OTHER YEAR:</td>
<td>97. BEFORE 1993, DK EXACT YEAR</td>
</tr>
<tr>
<td>98. DK YEAR AT ALL</td>
<td></td>
</tr>
</tbody>
</table>

B25. Is that when you started working in your present (position/work situation)?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
</tr>
</thead>
</table>

NEXT PAGE, B37

B26. In what month and year did you start working in your present (position/work situation)?

<table>
<thead>
<tr>
<th>MONTH</th>
<th>DAY [IF VOLUNTEERED]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1993</td>
<td>GO TO B29</td>
</tr>
<tr>
<td>1994</td>
<td></td>
</tr>
</tbody>
</table>

B27. Did you change (position/work situation) with this employer at any time during 1993?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
</tr>
</thead>
</table>

NEXT PAGE, B35

B28. In what month did that happen?

<table>
<thead>
<tr>
<th>MONTH</th>
</tr>
</thead>
</table>

B29. Was that a promotion with higher pay, a major change in your duties but with the same pay, or what?

| 1. PROMOTION WITH HIGHER PAY |

B30. In what month and year did you start working in your present (position/work situation)?

<table>
<thead>
<tr>
<th>MONTH</th>
<th>DAY [IF VOLUNTEERED]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1993</td>
<td>96. 1993 OR 1994, DK WHICH</td>
</tr>
<tr>
<td>OTHER YEAR:</td>
<td>97. BEFORE 1993, DK EXACT YEAR</td>
</tr>
<tr>
<td>98. DK YEAR AT ALL</td>
<td></td>
</tr>
</tbody>
</table>

B31. In what month and year did you start working in your present (position/work situation)?

<table>
<thead>
<tr>
<th>MONTH</th>
<th>DAY [IF VOLUNTEERED]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1993</td>
<td>96. 1993 OR 1994, DK WHICH</td>
</tr>
<tr>
<td>OTHER YEAR:</td>
<td>97. BEFORE 1993, DK EXACT YEAR</td>
</tr>
<tr>
<td>98. DK YEAR AT ALL</td>
<td></td>
</tr>
</tbody>
</table>

B32. Did you change (position/work situation) with this employer at any time during 1993?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
</tr>
</thead>
</table>

NEXT PAGE, B39

B33. In what month did that happen?

<table>
<thead>
<tr>
<th>MONTH</th>
</tr>
</thead>
</table>

B34. Was that a promotion with higher pay, a major change in your duties but with the same pay, or what?

| 1. PROMOTION WITH HIGHER PAY |

B35. In what month did that happen?

<table>
<thead>
<tr>
<th>MONTH</th>
</tr>
</thead>
</table>

B36. Was that a promotion with higher pay, a major change in your duties but with the same pay, or what?

| 1. PROMOTION WITH HIGHER PAY |

B37. In what month did that happen?

<table>
<thead>
<tr>
<th>MONTH</th>
</tr>
</thead>
</table>

B38. Was that a promotion with higher pay, a major change in your duties but with the same pay, or what?

| 1. PROMOTION WITH HIGHER PAY |

B39. In what month did that happen?

<table>
<thead>
<tr>
<th>MONTH</th>
</tr>
</thead>
</table>

B40. Was that a promotion with higher pay, a major change in your duties but with the same pay, or what?

| 1. PROMOTION WITH HIGHER PAY |

NEXT PAGE, B40
**Head's Work History**

With questions B24-B59 and pink Work History Supplements, we want to account for Head's recent work history and job changes for main jobs. That is, we're collecting information about both changes in employer and changes in position with the same employer from January of 1993 through today's date. The question sequences reflect our focus on employers and, in particular, spells of employment during 1993. We have designed the question sequences so that R is to tell us about Head's current main job (Section B) or Most Recent Main Job (Section C), then other main jobs held in 1993, in any order.

A quick definition of main vs. extra jobs: very simply, Head cannot have an extra job unless he/she holds a main job during the same time period. We make this distinction between main and extra jobs throughout and ask you to remind R of this, but in these sequences it's not as important as a complete account of work with each employer.

Note that we will miss some information for Heads with several position changes in 1993-1994. Complete B27-B28 and B32-B33 for the most recent position change.

**B24.** Both B23 and B24 refer to the present employer. While B23 asks for the total amount of time Head worked for this employer, B24 asks for the most recent start with this employer (or self-employment). Note that we've preprinted boxes for 1993, 1994 and several types of "Don't Know." The "Other Year" box is only to be used for employment starts of 1992 or earlier.

**B25-29.** For Heads who began their present employment in 1993, we ask the start date of the most recent position change in 1993. We are primarily interested in promotions and lateral transfers at B29, but you can record details of other position changes in the 7 OTHER box. The term "work situation" may be substituted for "position" to make these questions less awkward for self-employed Heads. Follow the skips carefully; this questionnaire page is really full!

**B30.** For Heads who began their present employment in 1994 (or 1993/1994 DK WHICH), we ask start date of their present position and then skip to NEXT PAGE, B40 and ask whether Head had any 1993 main job employers.

**B31-34.** For Heads who began their present employment prior to 1993, we ask the start date of their present position and date of the most recent position change in 1993, if any. Again, details are appreciated at B34. Note the skip to B39.
B35. What was your (HEAD'S) occupation when you started working for that employer in 1993? What sort of work did you do?

__________________________________________________________

B36. What were your most important activities or duties?

__________________________________________________________

B37. What was your starting salary or wage at that time?

$_________________ PAID PER 
1. HOUR  
2. WEEK  
3. 2 WEEKS  
4. MONTH  
5. YEAR

B38. And how many hours a week did you work when you started?

_________________________________________ HOURS PER WEEK

B39. In which months during 1993 were you working for (NAME OF EMPLOYER FROM B11) (as your main job)?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

B40. Did you have any (other) main-job employer at any time during 1993? If you were self-employed on a main job, count yourself as an employer.
[IWER: HEAD CANNOT HAVE MORE THAN ONE MAIN JOB AT A TIME.]

1. YES  
5. NO

TURN TO P. 20, B60

B41. What was your (HEAD'S) occupation on that job in 1993? What sort of work did you do?

__________________________________________________________

B41a. What were your most important activities or duties? [IWER: IF NECESSARY, CLARIFY MAIN VS. EXTRA JOB AND INSURE THAT R'S ANSWERS TO THE NEXT SERIES OF QUESTIONS REFER TO A MAIN JOB ONLY.]

__________________________________________________________

B41b. What kind of business or industry was that in? ______________________________

__________________________________________________________

B41c. What was the name of that (business/employer)? [IF NECESSARY: This information will help us to process employment information you gave us. It will never be released as part of the data from the study.] [IWER: IF NO EMPLOYER NAME IS GIVEN BY R, RECORD A SHORT JOB TITLE FROM INFORMATION AT B41-B41b.]

__________________________________________________________

B42. In which months during 1993 were you working for (NAME OF EMPLOYER FROM B41c) (as your main job)?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
B35-36. See B9-B9a for probes and cautions in asking occupation details.

B38. The amount at B38 should be an average for the time when Head first began with the present employer.

B39. Mark the months of 1993 that Head worked for the present employer as a main job; this is often the ALL box at the left. Include all months in which Head worked at least one day; but do not include months Head was not employed at all or was off the entire month on vacation, leave, temporary layoff, sick time, etc. You will gather more details on these periods later (B60-B78).

If Head worked part of the year for this employer on a main job and part as an extra job, mark only the main job months here. Note the extra job months in the space below the boxes and be sure to ask the extra job sequences (B82-B106) about them.

B40. If B40 is "NO", Head had no (other) main job employer in 1993, you’re done with the work history and skip out to p. 20, B60.

If Head started with his/her present employer before 1993, B40 should be "NO" but R might answer "YES" referring to another employer Head worked for in 1993. Accept "YES" and continue to B41-B42, then use B42a-B42d to determine Main vs. Extra job.

If Head started with his/her present employer in 1993, and answers "YES" to B40 there may again be a Main vs. Extra Job problem if there are months worked for both. Again, accept "YES" and continue through B41-B42d.

If Head’s most recent start with present employer was in 1994 (see B24), then YES to B40 refers to any main-job employers that Head had in 1993, including a previous spell of employment with his/her present employer.

B41-41c See B9-B11 instructions. Remember, occupation and industry answers must be verbatim in Spanish with English translation after the interview.

B42. See B39. The same procedures as above apply for entire months not employed or off for vacation, leave, etc., and main vs. extra job.
B42a. INTERVIEWER CHECKPOINT

SEE B39 AND B42, (P. 15) -- OVERLAP OF 1993 MAIN JOBS

1. NO OVERLAP
2. OVERLAP, ONE MONTH ONLY
3. PARTIAL OVERLAP, 2-11 MONTHS
4. ALL MONTHS CHECKED AT B42 ARE ALSO CHECKED AT B39

GO TO B43

B58. We would like to refer to that job, (NAME OF EMPLOYER FROM B41c), as an extra job, and I will be asking you about that later in this section.

TURN TO P. 18, B59

B42b. During that time, were there weeks when you (HEAD) were employed at both jobs?

YES

NO

GO TO B43

B42c. How many weeks was that?

B42d. During that time, which did you consider to be your main employment?

B43. On your main job for (NAME OF EMPLOYER FROM B41c), were you (HEAD) self-employed, were you employed by someone else, or what? [REFERS TO 1993]

3. SELF-EMPLOYED ONLY
2. BOTH SOMEONE ELSE AND SELF
1. SOMEONE ELSE ONLY

B44. Was that an unincorporated business or a corporation?

1. UNINCORPORATED
2. CORPORATION

NEXT PAGE, B45a

B45. Did you (HEAD) work for the federal, state, or local government, a private company, or what?

1. FEDERAL GOV'T
2. STATE GOV'T
3. LOCAL GOV'T

4. PRIVATE NON-GOV'T
8. DON'T KNOW

7. OTHER (SPECIFY): ___________________
There should be no overlap between B39 and B42 except when Head ended one main job and started another in the same month.

If there is a partial overlap of 2-11 months and there are weeks in which Head was employed at both jobs, the R must determine which job Head considered His/Her main job during that time. The other job is an extra job and be sure to ask the extra job sequence (B82-106) about the overlap.

If there is a complete overlap of all 12 months, the job recorded at B41-41c is considered an extra job which you will ask about at the extra job sequences (B82-106).

See B4-B5 instructions.
B45a. About how much did you make at this in 1993?

$_____________ PAID PER __________ 1. HOUR 2. WEEK 3. 2 WEEKS 4. MONTH 5. YEAR

7. OTHER (SPECIFY): __________________________

B45b. And, how many hours a week did you work on this job in 1993? ______________

HOURS PER WEEK

B46. During 1993, did your (HEAD'S) job title or position with (NAME OF EMPLOYER FROM B41c) change?

1. YES 5. NO → GO TO B48

B46a. In what month did that happen?

____________________
MONTH

B47. Was that a promotion with higher pay, a major change in your duties but with the same pay, or what?

1. PROMOTION WITH HIGHER PAY 5. MAJOR CHANGE IN DUTIES BUT WITH SAME PAY

7. OTHER (SPECIFY): __________________________

B48. I'd like to ask you a few questions about when you (HEAD) first started working for (NAME OF EMPLOYER FROM P. 15, B41c). In what month and year did you (HEAD) start working for (NAME OF EMPLOYER FROM P. 15, B41c)?

____________________  ______________________
MONTH DAY [IF VOLUNTEERED]

1993  OTHER YEAR: 97. BEFORE 1993, 98. DK YEAR
NEXT PAGE, B53  DK EXACT YEAR  AT ALL

B49. What was your occupation when you first started working for them? What sort of work did you do?

____________________

B49a. What were your most important activities or duties?

____________________
B45a. Enter dollar amount and time period in which it was made. This is an average for 1993.

B45b. See B38 instructions.

B46-B47. Again we're looking for the most recent position change in 1993. See cautions and instructions at B25-B29 regarding detailing the position change. Also, be careful of Head changing from main job to extra job. Such a change is not a Main Job position change and is not recorded here. B46 would be "NO" and B53-B57a would be used to record this as an end of Main Job employment.

B49-49a. See B9-9a instructions.
B51. What was your starting salary or wage with that employer?

$__________ PAID PER 1. HOUR 2. WEEK 3. 2 WEEKS 4. MONTH 5. YEAR

7. OTHER (SPECIFY):

B52. And how many hours a week did you work when you first started? __________ HOURS PER WEEK

B53. Have you stopped working for (NAME OF EMPLOYER FROM B41c) as a main job? (Before you started your present job?)

1. YES 5. NO →GO TO B59

B54. In what month and year did you stop working for (NAME OF EMPLOYER FROM B41c)?

MONTH DAY [IF VOLUNTEERED]

B55. What happened with that employer—did the company go out of business, were you (HEAD) laid off, did you quit, or what? (Any other?)

B55a. INTERVIEWER CHECKPOINT:

SEE B43, (P. 16)―WHETHER SELF-EMPLOYED

SELF-EMPLOYED ONLY ALL OTHERS

B56. How much were you making at that time?

$__________ PAID PER: 1. HOUR 2. WEEK 3. 2 WEEKS 4. MONTH 5. YEAR

7. OTHER (SPECIFY):

GO TO B57a

B57. What was your (HEAD’S) final wage or salary when you left that employer?

$__________ PAID PER: 1. HOUR 2. WEEK 3. 2 WEEKS 4. MONTH 5. YEAR

7. OTHER (SPECIFY):

B57a. And how many hours a week did you work just before you left? __________ HOURS PER WEEK

B59. Did you have any other main-job employers at any time during 1993? (Remember to count yourself as an employer if you were self-employed then on a main job.)

1. YES 5. NO →TURN TO P. 20, B60

TURN TO PINK

WORK HISTORY SUPPLEMENT
B52. See B38 instructions.

B53-55. Since Head is currently employed on a different main job, the answer to B54 should always be "YES" and B54 should be consistent with B24 (i.e., the end of the previous job must precede or coincide with the beginning of the present job). If not, Head was working two main jobs at the same time. Resolve this overlap and record it as an extra job (B82-B106). At B55 we mention negative alternatives to make it easier for Heads who have been laid off or fired to talk about it, but positive answers like "I quit to take a better job" are welcome. This is where Head may indicate that his/her main job became an extra job.

B57a. See B38 instructions.

B59. If Head had any other main-job employers during 1993, you need to complete the pink Work History Supplement for each additional main job employer. If not, go to p. 20, B60.
S41. What was (your/your [wife's/"WIFE'S"])) occupation on that job in 1993? What sort of work did (you/she) do?

S41a. What were (your/her) most important activities or duties? [IWER: IF NECESSARY, CLARIFY MAIN VS. EXTRA JOB AND INSURE THAT R'S ANSWERS TO THE NEXT SERIES OF QUESTIONS REFER TO A MAIN JOB ONLY.]

S41b. What kind of business or industry was that in?

S41c. What was the name of that (company/business/employer)? [IF NECESSARY: This information will help us to process employment history information you gave us. It will never be released as part of the data from the study.] [IWER: IF NO EMPLOYER NAME IS GIVEN BY R, RECORD A SHORT JOB TITLE FROM INFORMATION AT S41b.]

S42. In which months during 1993 (were you/was she) working for (NAME OF EMPLOYER FROM S41c) (as your main job)?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
**Work History Supplement**

The questionnaire employment sections are designed to cover the two most recent main job employers. If the person worked for more than two main job employers since January 1, 1993, you must complete a Work History Supplement (WHS) for each additional employer. Use as many as needed to account for all main job employers since January 1, 1993.

Note that the WHS can be invoked at four different points in the interview (Sections B or C for Heads, D or E for Wives/"Wives"). Mark the section clearly at the top of each WHS and number them sequentially (SUPPL. #) within each section. Checkpoint S20 on p. 4 of the WHS Form tells you how to return to the main questionnaire when you have completed all the required supplements.

Example: A currently employed Head tells you about his current employer and a previous employer in Section B. Then he tells you he had two other main jobs in 1993. You complete two WHSs with the Sample ID written in by you at the top right of the supplement, mark the box labeled SECTION B on each, and the supplement numbers (SUPPL. #) are 1 and 2, respectively. If his unemployed Wife had three main jobs since January 1, 1993, record two of the jobs in Section E and complete one WHS. It has the same Sample ID as Head’s, but the SECTION E box is marked and the SUPPL. # is, again, 1. This will not be confused with Head’s WHS #1 because you have marked the SECTION box on all WHS forms.

S41-41c. See B9-B11 instructions. Remember, occupation and industry answers must be verbatim in Spanish with English translation after the Interview.

S42. See B39 instructions.
S42a. INTERVIEWER CHECKPOINT

SEE B39 AND B42, (P. 15 OF QUESTIONNAIRE) OR C31 AND C34, (P. 30 OF QUESTIONNAIRE) OR D39 AND D42, (P. 47 OF QUESTIONNAIRE) OR E31 AND E34, (P. 62 OF QUESTIONNAIRE) AND S42, (P. 1 OF THIS AND ANY OTHER WORK HISTORY SUPPLEMENTS)

1. NO OVERLAP
2. OVERLAP, ONE MONTH ONLY
3. PARTIAL OVERLAP, 2-11 MONTHS
4. ALL MONTHS CHECKED AT AT B39/C31/D39/E31 ARE ALSO CHECKED AT B42/C34/D42/E34

GO TO S43

S58. We would like to refer to that job, (NAME OF EMPLOYER FROM S41c), as an extra job and I will be asking you about that later in this section.

TURN TO P. 4, S59

S42b. During that time, were there weeks when (you were/she was) employed at both jobs?

YES
NO → GO TO S43

S42c. How many weeks was that?

S42d. During that time, which did (you/she) consider to be (your/her) main employment?

S43. On (your/her) main job for (NAME OF EMPLOYER FROM S41c), (were you/was she)
self-employed, (were you/was she) employed by someone else, or what? [REFERS TO 1993]

3. SELF-EMPLOYED ONLY
2. BOTH SOMEONE ELSE AND SELF
1. SOMEONE ELSE ONLY

S44. Was that an unincorporated business or a corporation?

1. UNINCORPORATED
2. CORPORATION

NEXT PAGE, S45a

S45. Did (you/she) work for the federal, state, or local government, a private company, or what?

1. FEDERAL GOV’T
2. STATE GOV’T
3. LOCAL GOV’T
4. PRIVATE NON-GOV’T
8. DON’T KNOW

7. OTHER (SPECIFY): ___________
S42a-42d. See B42a-42d instructions.

S43-44. See B4-B5 instructions.
S45a. About how much did (you/she) make at this in 1993?

$ ___________ PAID PER 1. HOUR 2. WEEK 3. 2 WEEKS 4. MONTH 5. YEAR

7. OTHER (SPECIFY):

S45b. And, how many hours a week did (you/she) work on this job in 1993?

HOURS PER WEEK

S46. During 1993, did (your/her) job title or position with (NAME OF EMPLOYER FROM S41c) change?

1. YES 5. NO → GO TO S4

S46a. In what month did that happen? ____________ MONTH

S47. Was that a promotion with higher pay, a major change in (your/her) duties but with the same pay, or what?

1. PROMOTION WITH HIGHER PAY 5. MAJOR CHANGE IN DUTIES BUT WITH SAME PAY

7. OTHER (SPECIFY): ____________________________

S48. I'd like to ask you a few questions about when (you/she) first started working for (NAME OF EMPLOYER FROM S41c). In what month and year did (you/she) start working for (NAME OF EMPLOYER FROM S41c)?

MONTH DAY [IF VOLUNTEERED]

1993 OTHER YEAR: 97. BEFORE 1993, 98. DK YEAR

NEXT PAGE, S53 DK EXACT YEAR AT ALL

S49. What was (your/her) occupation when (you/she) first started working for them? What sort of work did (you/she) do?

S49a. What were (your/her) most important activities or duties?

S51. What was (your/her) starting salary or wage with that employer?

$ ___________ PAID PER 1. HOUR 2. WEEK 3. 2 WEEKS 4. MONTH 5. YEAR

7. OTHER (SPECIFY):
S45b. See B38 instructions.

S46-47. See B25-B29 instructions. If Head/Wife/"Wife" had more than one position change with this employer in 1993, get month and circumstances for the most recent.

S49-49a. See B9-B9a instructions.
S52. And how many hours a week did you/she work when you/she first started? _______ HOURS PER WEEK

S53. (Have you/Has she) stopped working for (NAME OF EMPLOYER FROM S41c) as a main job? (Before you/she started your/her present job?)

1. YES  
5. NO → GO TO S59

S54. In what month and year did you/she stop working for (NAME OF EMPLOYER FROM S41c)?

MONTH       DAY [IF VOLUNTEERED]  
1993       1994  98. 1993 or 1994, DK WHICH

S55. What happened with that employer—did the company go out of business, (were you/was she) laid off, did you/she quit, or what? (Any other?)

S56. INTERVIEWER CHECKPOINT:

SEE S43, (P. 2)—WHETHER SELF-EMPLOYED

SELF-EMPLOYED ONLY  
ALL OTHERS

S56a. How much (were you/was she) making at that time?

$______________ PAID PER: 1.HOUR 2.WEEK

3. 2 WEEKS 4.MONTH 5.YEAR

7. OTHER (SPECIFY):

GO TO S57a

S57. What was your/her final wage or salary when you/she left that employer?

$______________ PAID PER: 1.HOUR

2.WEEK 3. 2 WEEKS 4.MONTH 5. YEAR

7. OTHER (SPECIFY):

S57a. And how many hours a week did you/she work just before you/she left? _______ HOURS PER WEEK

S59. Did you/she have any other main-job employers at any time during 1993? (Remember to count yourself/her as an employer if you were/she was self-employed then on a main job.)

1. YES  
5. NO

TURN TO ADDITIONAL PINK WORK HISTORY SUPPLEMENT

S20. INTERVIEWER CHECKPOINT

STOPPED AT Q’RE SECTION B  STOPPED AT Q’RE SECTION C  STOPPED AT Q’RE SECTION D  STOPPED AT Q’RE SECTION E

TURN TO P.20, B60 TURN TO P.34, C52 TURN TO P.52, D60 TURN TO P.66, E52
S52. See B38 instruction.

S53-55. See B53-B55 instructions.

S57a. See B38 instructions.

S59. Complete one WHS for each additional employer Head/Wife/"Wife" had in 1993. Otherwise, return to the appropriate page and item in the questionnaire.
1993 Work Weeks--Questionnaire Pages 20 and 21

NOTE: ASK B60-78 FOR ALL HEADS!

Even if Head did not work at all in 1993, we need to know how much of that time Head was:

a) Employed but temporarily off the job (B60-B74a),
b) Unemployed and looking for work (B72-B74a), or
c) Not Employed and Not Looking for a job (B75-B77a)

We need as much information as you can get in this series, so focused probing is acceptable. We do not need a day-to-day accounting of R’s time, but try to give a complete picture of how Head spent all 52 weeks of 1993. Write margin notes for any unusual or complex situations.

To insure that the weeks listed add up to 52 weeks or 12 months, we have provided a column on the right of pp. 20 and 21 for you to total the weeks and a conversion table at the top of p. 20 to help you convert all the responses into weeks or months. Use the right column for your conversions and calculations only; record what R says below each question where indicated. Additionally, ask when these events occurred. (These questions are sometimes overlooked.) We have noticed in the past that many R’s can give exact dates. If your R can, we’re delighted!

The objectives of this sequence are:
1. Separation of weeks into periods of work and non-work on main job(s),
2. Average work hours per week for weeks worked, and
3. Annual overtime hours

In order to achieve them, several key concepts must be kept in mind:

Work in these questions means simply and only main job employment. Head had an employer (or was self-employed) and was either working at that job or was temporarily off but expected to return to it. This latter situation includes a) sick time, whether paid or unpaid; b) vacation, leave, or "time off," whether paid or unpaid; c) strike; and d) temporary layoff. In all these cases, Head was employed and expected to return to the job.

Unemployment vs. Temporary Layoff is a little more complicated. Temporary Layoff, as mentioned in the example above, is time off from a job while remaining officially employed on it and with the expectation of returning to the same employer. A permanent lay-off is required for "unemployed" status. The problem arises when, after being temporarily laid off for a long time, Head decides he will not return to the employer and finds another job. The distinction between unemployment and temporary layoff is not as critical for marking B72-B74a as it is for recording extra job(s) vs. main job periods.
Weeks spent as unemployed weeks require two conditions. First, Head had no job or other way of making money during those weeks. Either Head’s job had ended or Head has never had a job. This situation is probably obvious from the work history, but you might have to probe. Second, Head must be looking for a job in order to be considered truly unemployed. We accept R’s word on this (i.e., no probing).

Not Working and Not Looking is often confused with taking time off and temporary layoff. As with unemployment time, two conditions must be met. Again, Head had no job and did no other work for money during this time. Either Head’s job had ended or Head has never had a job. Second, contrary to the rule for unemployed weeks, Head was not looking for work during this period. Good examples here are full-time students with no job and no intention of working while in school, Heads KEEPING HOUSE, and fully RETIRED jobless Heads who aren’t looking for second careers. Heads who took time off or were temporarily laid off from a job cannot meet this Not Working/Not Looking condition since they were employed at the time.

Head must have been employed and missed time from his or her job for any weeks mentioned in B61-B62 (illness of others), B64-B65 (illness of self), and B67-B68 (vacation or time off). If Head did not have a job and neither worked nor looked for work because of illness or because he/she was taking time off, this time should be recorded at B75-B77a.
CONVERSION TABLE: WEEK EQUIVALENTS

<table>
<thead>
<tr>
<th>DAYS TO WEEKS</th>
<th>MONTHS TO WEEKS</th>
</tr>
</thead>
<tbody>
<tr>
<td>(BASED ON 5-DAY WORK WEEK)</td>
<td></td>
</tr>
<tr>
<td>1 DAY = .2 WEEKS</td>
<td>1 MONTH = 4.3 WEEKS</td>
</tr>
<tr>
<td>2 DAYS = .4 WEEKS</td>
<td>3 MONTHS = 13.0 WEEKS</td>
</tr>
<tr>
<td>3 DAYS = .6 WEEKS</td>
<td>5 MONTHS = 21.6 WEEKS</td>
</tr>
<tr>
<td>4 DAYS = .8 WEEKS</td>
<td>7 MONTHS = 30.3 WEEKS</td>
</tr>
<tr>
<td>5 DAYS = 1.0 WEEKS</td>
<td>9 MONTHS = 39.0 WEEKS</td>
</tr>
<tr>
<td>11 MONTHS = 52.0 WEEKS</td>
<td></td>
</tr>
</tbody>
</table>

B60. We’re interested in how you (HEAD) spent your time from January through December 1993. I know you may have given me some of this information already, but my instructions are to ask these questions of everybody. Did you miss any work in 1993 because someone else was sick?

1. YES
5. NO ➔ GO TO B63

B61. How much work did you miss?

\[
\begin{array}{ccc}
\text{DAYS} & \text{WEEKS} & \text{MONTHS} \\
\end{array}
\]

B62. When was that?

B63. Did you miss any work in 1993 because you were sick?

1. YES
5. NO ➔ GO TO B66

B64. How much work did you miss?

\[
\begin{array}{ccc}
\text{DAYS} & \text{WEEKS} & \text{MONTHS} \\
\end{array}
\]

B65. When was that?

B66. Did you take any vacation or time off during 1993?

1. YES
5. NO ➔ NEXT PAGE, B69

B67. How much vacation or time off did you take?

\[
\begin{array}{ccc}
\text{DAYS} & \text{WEEKS} & \text{MONTHS} \\
\end{array}
\]

B68. When was that? [GET DAY IF VOLUNTEERED]

FROM _____ THRU _____ FROM _____ THRU _____
"Someone else" means anyone, not just FU members. Include paid and unpaid sick leave or funeral time. Actual dates are not needed for a few days here and there, but we do need dates for large amounts of time. If R can volunteer such information, great!

Again, we don't need dates for the occasional flu. If Head missed a month or more, however, please get information that's as specific as possible. Ask whether the time was scattered through the year or all at one time.

Include paid and unpaid holidays, vacation time, etc. Teachers who are off for the summer should count that time here (as vacation). But we are seeing a few teachers who are actually laid off during the summer (even getting unemployment compensation) and are rehired in the fall. These (thus far) unusual cases should report the summer time off at B72-B74a or possibly B75-B77a.

"Maternity leave" and various other temporary, voluntary leaves of absence also belong here. From this point through B77a, please get dates as specific as possible.
B69. Did you miss any work in 1993 because you were on strike?
   1. YES  5. NO → GO TO B72

B70. How much work did you miss?
      _______ DAYS  _______ WEEKS  _______ MONTHS

B71. When was that? [GET DAY IF VOLUNTEERED]
      FROM _______ THRU _______ FROM _______ THRU _______

B72. Did you miss any work in 1993 because you were unemployed and looking for work or temporarily laid off?
   1. YES  5. NO → GO TO B75

B73. How much work did you miss?
      _______ DAYS  _______ WEEKS  _______ MONTHS

B74. When was that? [GET DAY IF VOLUNTEERED]
      FROM _______ THRU _______ FROM _______ THRU _______

B74a. In which months were you unemployed for at least one week?
       JAN  FEB  MAR  APR  MAY  JUNE  JULY  AUG  SEPT  OCT  NOV  DEC

B75. Were there any weeks in 1993 when you didn't have a job and were not looking for one?
   1. YES  5. NO → GO TO B78

B76. How much time was that?
      _______ DAYS  _______ WEEKS  _______ MONTHS

B77. When was that? [GET DAY IF VOLUNTEERED]
      FROM _______ THRU _______ FROM _______ THRU _______

B77a. Which months had at least one week when you didn't have a job and were not looking for one?
       JAN  FEB  MAR  APR  MAY  JUNE  JULY  AUG  SEPT  OCT  NOV  DEC

B78. Then, how many weeks did you actually work on your main job(s) in 1993?
     00. DID NOT WORK AT ALL IN 1993

       WEEKS IN 1993

         97. ALL THE REST

IF TOTAL DOES NOT EQUAL 52 WEEKS OR 12 MONTHS, RECONCILE WITH R OR EXPLAIN BELOW:
B69-71. Beware of overlaps with unemployment, temporary layoff, etc. Illegal strikes count as YES here, too.

B72-74. Check dates at B74 against work history (B24-B59 and WHSs) and extra job dates at B82-B106. Head couldn't have been unemployed and looking for work during weeks when he/she was earning money. If Head had any job during this time, he/she is considered to have been employed at a main job. But Head could have been temporarily laid off and have had an extra job during the same weeks.

EXAMPLE: Head has worked at a brick factory for 6 years. A fire closed the plant for two months, so he took a job at Arby's in the meantime. When the brick plant reopened, he returned to his job there. The Arby's work time is listed as an extra job in B82-B106, and the two months appear in B73 as temporary layoff. (If he hadn't returned to the brick plant, but had taken a new job at a laundromat, all three jobs would be consecutive main jobs.)

Head cannot have vacation weeks while he/she is unemployed (i.e., no vacation from job hunting!). If Head reports being unemployed and looking for work (not temporarily laid off) and "on vacation" during the same time period, ask if Head took earned vacation time and then was laid off. If so, the vacation time stays in B66-B68, not in B72-B74a or B75-B77a. If the permanent layoff came first and then Head spent some time "doing nothing", this kind of "vacation" goes in B75-B77a. However, vacation weeks occurring during a period of temporary layoff may be reported at B66-B68. Check for double counting at B72-B74a and B75-B77a.

B75-77. Again, check these dates against the work history (B24-B59 and WHSs). The occurrence of any job during a B76 time period means that Head was employed at a main job and therefore can't list the time here, but if Head had no job and reports the same time both here and as time off in B66-B68, it belongs here.

B78. We want the total number of weeks during which Head did any work. Do not allow R to combine hours from different weeks, such as "10 hours a weekend for 20 weeks--about 5 weeks of work altogether." This is not 5 weeks (B78) at 40 hours per week (B79), it's 20 weeks (B78) at 10 hours per week (B79). If Head alternates weeks working and weeks off (e.g., "80 hours a week for 2 weeks, then 2 weeks off"), get details and record them in a marginal note. The weeks worked go here, and the weeks off belong in B72-B74a as temporary layoff or in B66-B68. Note also that for this case, the answer to B79 is "80".

Note: If the B60-B78 series does not total 52 weeks or 12 months, (RR) to find out why. If blocks of time don't seem to fit any of the categories, give us the number of weeks or months and a description of what Head was doing during each such period. Some examples: "in school January through May," "had a baby in August" and no previous mention of maternity leave, "traveling in Europe for the summer before job hunting," "housewife," "retired," etc.
B79. And, on the average, how many hours a week did you work on your main job(s) in 1993?

HOURS PER WEEK IN 1993

B80. Did you work any overtime which isn’t included in that?

1. YES  5. NO → GO TO B81a

B81. How many hours did that overtime amount to in 1993?

TOTAL OVERTIME HOURS IN 1993

B81a. INTERVIEWER CHECKPOINT

SEE B39, B42 (P.15) AND WORK HISTORY SUPPLEMENT—WHETHER MORE THAN ONE MAIN JOB IN 1993

MORE THAN ONE MAIN JOB IN 1993  ALL OTHERS

GO TO B82

B81b. And can you give me separate answers for each employer? [IWER: RECORD SEPARATE HOURS FOR EACH MAIN JOB EMPLOYER IN 1993]

B81c. On average, how many hours a week did you work in 1993 at (NAME OF EMPLOYER)?

B81d. And how many hours of overtime did you work on that job in 1993?

B82. (Besides the job(s) you have already told me about,) did you (HEAD) have an extra job or other way of making money in 1993?

1. YES  5. NO → TURN TO P. 40, SECTION D

B83. Did you (HEAD) work for the federal, state, or local government, a private company, or what?

1. FEDERAL 2. STATE 3. LOCAL 4. PRIVATE 5. SELF-EMPLOYED 9. DON'T KNOW
   GOV'T  GOV'T  GOV'T  NON-GOV'T

B84. What was your occupation? What sort of work did you do?

B84a. What were your most important activities or duties?

B85. What kind of business or industry was that in?
B79. This is the average hours per week on main job(s) worked in 1993.

B80-81. Be careful not to double count any overtime hours already included in B79 (average number of hours worked each week). At B81, include both paid and unpaid overtime hours in 1993.

B81a-d. If Head worked more than one main job in 1993, we ask separate average hours per week and overtime hours for each job.

B82. **Main vs. Extra Job** distinctions are not as difficult as they seem. Very simply, Head cannot have an *extra* job unless he/she has a *main* job during the same time period. The extra job must be held simultaneously with the main job. Remember, we just pointed out above that Heads who were *temporarily* laid off (B72-B74a) were still employed at a main job and, therefore, could have had an *extra* job during this time period. However, Heads who were unemployed and looking (B72-B74a) or not working and not looking (B72-B77a) are saying that they had no main job employer during the time in question. But if Head was working for money at all during these times, this work is *not* an "extra" job. It is considered a *main* job.

If Head worked at a number of irregular jobs in 1993, the distinction between "main" job(s) and "extra" job(s) may not be as clear. That being so, this series of questions still allows us to get more complete information on the kind(s) of work Head did. We're interested in any job that brought in income; examples include a small business conducted from home, a night watchman position, door-to-door sales, or anything else involving work and income for Head. If R tells you about time spent and money made on illegal activities such as gambling or drugs, that is acceptable. "Other way of making money" means any *work*, even if it was enjoyable, that produced income.

Asking this question sequence for each job may take some time, but we need to know each source of work income Head had during the past year.

B83-85. Follow the same general rules that you used for probing on B9-B11. For example, "sold cosmetics" or "repaired cars" doesn't tell us enough. Did Head sell cosmetics from home? in a store? door-to-door? Did Head repair cars in the backyard? at a service station? at a car dealer's? Make notes, if necessary, to help us get the details.
B86. What was the name of that (business/employer)? [IF NECESSARY: This information will help us to process employment information you gave us. It will never be released as part of the data from the study.] [IWER: IF NO EMPLOYER NAME IS GIVEN BY R, RECORD A SHORT JOB TITLE FROM INFORMATION AT B84-B85, P. 22.]

B87. About how much did you make at this?

$__________ PAID PER 1. HOUR 2. WEEK 3. 2 WEEKS 4. MONTH 5. YEAR

7. OTHER (SPECIFY): ________________

B88. And, how many weeks did you work on this extra job in 1993?

WEEKS IN 1993

B89. On average, how many hours a week did you work on this job?

HOURS PER WEEK IN 1993

B90. In what month and year did you start working (for that employer/on this extra job)?

MONTH _______ YEAR _______

B91. In which months during 1993 were you working (for that employer/on this extra job)?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

B92. Have you stopped working (for that employer/on this extra job)?

1. YES 5. NO → NEXT PAGE, B94

B93. In what month and year was that?

B86. See B11 QxQ.

B87. Be sure to record the unit of time for the amount given. For small business: if R asks, we want dollars before taxes and after expenses--this is net income.

B88. This is the number of calendar weeks in 1993 during which Head did any work on this extra job.

B89. This is average hours per week for the weeks Head worked an extra job. Occasionally R will be unable to break down the time into weeks and hours per week (e.g., "Oh, I just worked on it when I had time"). In such cases, get an estimate of total number of hours for that job during 1993.

B90-93. These dates will help us to check for overlap with spells of Unemployment or Not Working/Not Looking.

The sequence on pp. 24-25 (B94-B105) is a repeat of B82-B93 and is not duplicated here.
SECTION C: HEAD IS NOT WORKING NOW ['NO' TO B3, (P. 8)]

C1. Have you (HEAD) been looking for work during the past four weeks?

1. YES

5. NO

\[\rightarrow\text{NEXT PAGE, C4}\]

C2. What have you been doing the last four weeks to find work?

[CHECK ALL THAT APPLY]

1. CHECKED WITH PUBLIC EMPLOYMENT AGENCY

2. CHECKED WITH PRIVATE EMPLOYMENT AGENCY

3. CHECKED WITH PREVIOUS EMPLOYER DIRECTLY

4. CHECKED WITH OTHER EMPLOYER DIRECTLY

5. CHECKED WITH FRIENDS OR RELATIVES

6. PLACED OR ANSWERED ADS

7. OTHER (SPECIFY): 

C3. How long have you been looking for work?

\[\begin{array}{ccc}
\text{YEARS} & \text{OR} & \text{MONTHS} & \text{OR} & \text{WEEKS}
\end{array}\]
Part 6: Section C--Head Is Not Working Now at B1 ("No" to B3)

Section C parallels Section B quite closely, and the work history, work weeks, main and extra job concepts apply here, too.

C1. We accept Head's answer here.

C2. See instructions for B21.
C4. Have you (HEAD) ever done any work for money?

1. YES

5. NO → GO TO C6

C5. In what month and year did you last work? [IF NECESSARY: What would be your best estimate? Did you last work before 1993?]

MONTH

1993

1994

96. 1993 OR 1994, DK WHICH

DAY [IF VOLUNTEERED]

OTHER YEAR:

9. BEFORE 1993, DK EXACT YEAR

98. DK YEAR AT ALL

C6. Were there any times in 1993 when you were looking for work?

1. YES

5. NO → TURN TO P. 40, SECTION D

C7. How many weeks was that?

____________ OR 52. ALL

C8. In which months were you looking for work for at least one week?

ALL OR JAN FEB MAR APR MAY JUNE

JULY AUG SEPT OCT NOV DEC

TURN TO P. 40, SECTION D

C9. What was your main occupation on your last job? What sort of work did you do?

__________________________________________________________

C10. What were your most important activities or duties?

__________________________________________________________

C11. What kind of business or industry was that in?

__________________________________________________________
C4-8. This sequence provides a short version of asking work week information for Heads who haven't worked since before last year. If Head has done any work since January 1, 1993, we need to get her/his work history for 1993. Note that, as at B24, we have preprinted boxes for 1993, 1994, and various types of "Don't Know". Only check "Other Year" for years from 1992 or earlier. This is even more crucial than at B24, since you can miss the entire remainder of Section C here! Continue with C9-C51 and WHS as necessary. See the comparable questions/instructions from Section B.

C9-11. Probe for detail, as in the occupation/industry instructions at B9-B11. Remember, occupation and industry answers must be verbatim in Spanish with English translation after the Interview.
C12. On this main job, were you (HEAD) self-employed, were you employed by someone else, or what?

3. SELF-EMPLOYED ONLY
2. BOTH SOMEONE ELSE AND SELF
1. SOMEONE ELSE ONLY

C12a. By that do you mean you had one job, and were employed by both someone else and yourself? Or two separate jobs, one for someone else and another which was self-employment?

1. ONE JOB
2. TWO JOBS

GO TO C13

C12b. So that we can get clear descriptions of these separate jobs, I would like to ask you first about one of them as a main job. Later, I will ask you about the other one as an extra job. Which one do you consider to be your main job? [IWER: ENTER A SHORT JOB TITLE DESCRIBING MAIN JOB]

C12c. On that main job were you self-employed, were you employed by someone else, or what?

3. SELF-EMPLOYED ONLY
1. SOMEONE ELSE ONLY

GO TO C13
GO TO C14

C13. Was that an unincorporated business or a corporation?

1. UNINCORPORATED
2. CORPORATION

GO TO C14a

C14. Did you (HEAD) work for the federal, state, or local government, a private company, or what?

1. FEDERAL GOV'T
2. STATE GOV'T
3. LOCAL GOV'T
4. PRIVATE NON-GOV'T

7. OTHER (SPECIFY):

GO TO C14a

C14a. What was the name of that (employer/business)? [IF NECESSARY: This information will help us to process employment information you gave us. It will never be released as part of data from the study.] [IWER: IF NO EMPLOYER NAME IS GIVEN BY R, RECORD A SHORT JOB TITLE FROM INFORMATION AT C9-C11, P. 27.]

C15. What happened with that employer—did the company go out of business, were you (HEAD) laid off, did you quit, or what?
C12-14. For instructions, see B4-B5.

C14a. See B11 instructions.

C15. See B55 instructions.
C16. In what month and year did you start working for (your last employer/yourself)? Please give us your most recent start date if you went to work for (them/yourself) more than once. [IF NECESSARY: What would be your best estimate? Did you start before 1993?]

MONTH DAY [IF VOLUNTEERED]

OTHER YEAR: 97. BEFORE 1993, DK EXACT YEAR 98. DK YEAR AT ALL

C17. Is that when you started working in your last (position/work situation)?

1. YES 5. NO

NEXT PAGE, C29

C18. In what month and year did you start working in your last (position/work situation)?

MONTH DAY [IF VOLUNTEERED]
1993 1994

NEXT PAGE, C32

C19. Did you change (position/work situation) with this employer at any time during 1993?

1. YES 5. NO

NEXT PAGE, C27

C20. In what month did that happen?

MONTH

C21. Was that a promotion with higher pay, a major change in your duties but with the same pay, or what?

1. PROMOTION WITH HIGHER PAY

5. MAJOR CHANGE IN DUTIES BUT WITH SAME PAY

7. OTHER (SPECIFY):

NEXT PAGE, C31

C22. In what month and year did you start working in your last (position/work situation)?

MONTH DAY [IF VOLUNTEERED]
1993 1994

NEXT PAGE, C32

C23. In what month and year did you start working in your last (position/work situation)?

MONTH DAY [IF VOLUNTEERED]
1993 1994

GO TO C26

C24. Did you change (position/work situation) with this employer at any time during 1993?

1. YES 5. NO

NEXT PAGE, C31

C25. In what month did that happen?

MONTH

C26. Was that a promotion with higher pay, a major change in your duties but with the same pay, or what?

1. PROMOTION WITH HIGHER PAY

5. MAJOR CHANGE IN DUTIES BUT WITH SAME PAY

7. OTHER (SPECIFY):
C16-51. This sequence, with WORK HISTORY SUPPLEMENTS if needed, covers Head’s employment history for the last job held and for each employer in 1993. Follow the instructions given for B24-B59. Get exact dates, salary rates, and occupation/industry descriptions, and explain in the margin any confusing situations.

C52-98. We have not reproduced the remainder of Section C questionnaire pages here. Briefly, parallel B60-B106.
SECTION D: EMPLOYMENT OF WIFE/"WIFE"

D1. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

HEAD IS MALE

3. HEAD IS FEMALE → TURN TO P. 72, SECTION F

1. WIFE/"WIFE" IN FU NOW

2. NO WIFE/"WIFE" IN FU NOW → TURN TO P. 72, SECTION F

D1a. We would like to know about what your (wife/"WIFE") does—is she working now, looking for work, retired, keeping house, a student, or what? [CHECK AS MANY AS APPLY. IF BOX 1 OR 2 IS CHECKED, THEN FOLLOW SKIP TO D4.]

1. WORKING NOW

2. ONLY TEMPORARILY LAID OFF, SICK LEAVE OR MATERNITY LEAVE

NEXT PAGE, D4

3. LOOKING FOR WORK, UNEMPLOYED

4. RETIRED → D2. In what year did your (wife/"WIFE") retire?

5. DISABLED, PERMANENTLY OR TEMPORARILY

6. KEEPING HOUSE

7. STUDENT

8. OTHER (SPECIFY):

D3. Is she doing any work for money now at all?

1. YES

5. NO

NEXT PAGE, TURN TO D4 P. 58, SECTION E
Part 7: Sections D and E—Employment of Wife/"Wife"

Review the definitions of Head, Wife, and "Wife" (see Section II, Part 3). Note that a "Wife" is the female portion of an unmarried couple who have lived together for at least a year OR since last year's interview. She is treated the same as a legally married Wife in Sections D and E. However, when asking the questions in these sections, refer to her as "your friend" or by name. Do not use the terms wife or "WIFE".

Sections D and E apply to current Wife or "Wife" only. They parallel Sections B and C, respectively, and the questions are numbered identically (i.e., B with D and C with E), except for the D1 checkpoint. Question objectives and concepts for B and C apply to D and E.

D1-1a. The D1 checkpoint routes all Female Heads and Male Heads with NO Wife/"Wife" through to Section F. Use p. 4 of the coversheet and mark D1 carefully.

D1a is parallel to B1 and, like it, is critical to getting the correct Section completed for Wife/"Wife".

We have not reproduced the remainder of Section D (pp. 40-57 of the questionnaire), as it parallels Section B exactly. You may review them by looking at a blank questionnaire from your materials and the Section B QxQs.
SECTION F: HOUSEWORK, CHILD CARE AND FOOD

F1. Now I have a few questions about housework and food costs.

F1a. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

HEAD IS MALE

3. HEAD IS FEMALE ➔ GO TO F3

1. WIFE/"WIFE" IN FU NOW

2. NO WIFE/"WIFE" IN FU NOW ➔ GO TO F3

F2. About how much time does your (wife/"WIFE") spend on housework in an average week? I mean time spent cooking, cleaning, and doing other work around the house.

___________ HOURS PER WEEK

F3. About how much time do you (HEAD) spend on housework in an average week? (I mean time spent cooking, cleaning, and doing other work around the house.)

___________ HOURS PER WEEK

F4. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

A. TWO OR MORE PERSONS IN FU

B. ONLY ONE PERSON IN FU ➔ GO TO F6

F5. How many days a week does the family sit down and eat the main meal of the day together?

F6. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

A. SOMEONE UNDER AGE 15 IN 1993 FU

B. ALL OTHERS ➔ NEXT PAGE, F8

F7. How much did you (and your family living there) pay for child care in 1993?

$________________________ IN 1993
Part 8: Section F—Housework, Child Care, and Food

F2-3. If roomers or boarders are living in theHU, time spent by Head or Wife/"Wife" cleaning these rented rooms should not be counted here. That housework is income-producing work and should be included in Section B or C (for the Head) or Section D or E (for the Wife/"Wife"). If R is unable to separate the time, make a note that time spent cleaning boarders’ rooms is included in the housework hours.

Count here only housework that is done by Head, Wife or "Wife" for FU members. Outside house and grounds maintenance and/or yard work are acceptable, but gardening time that generates food for sale or FU consumption should not be included here. Also exclude time devoted solely to children and repairing or renovating the house. If R can’t give you a precise number of hours per week, replies such as "all the time," "a few hours a week," "just a few minutes a day," etc., should be probed with "Could you be more specific" (M/S). If R still can’t give you an exact number, record R’s response.

F5. Try for "days a week" but take any units R gives and enough detail so that we can convert. Quantitative replies such as "every day" and less precise ones such as "once in a while" are both acceptable but should be proved before accepting this as a final response. The term "family", as usual, refers only to the FU members.

F6. Code A is checked when someone was under 15 in 1993 and was in the FU for any part of 1993.

F7. We want the total annual child care costs for all of these children (under 15) in 1993. Remind R of occasional babysitting and summer vacation for school-age children. If R gives a time period other than the whole year, be sure to specify amount per period and number of periods received (e.g., "$100 a month during summer months").
F8. Did you (or anyone else in your family) use government food stamps at any time in 1993?

YES

NO → GO TO F11

F9. How many dollars' worth of stamps did you get in 1993?

$__________ PER: 1. MONTH 2. TWO WEEKS 3. WEEK

F10. During which months was that?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

F11. Did you (or anyone else now living in your family) receive government food stamps last month?

YES

NO → NEXT PAGE, F19

F12. For how many members of your family were stamps issued? ________

F13. How many dollars' worth of food stamps did you get last month?

$__________ PER MONTH

F14. In addition to what you bought with food stamps, did you (or anyone else in your family) spend any money on food that you used at home?

YES

NO → GO TO F16

F15. How much? [IF R LIVES WITH NON-FU MEMBERS, PROBE: Is that only your (FU’s) share of the food?]

$__________ PER: 1. WEEK 2. TWO WEEKS 3. MONTH

F16. Do you have any food delivered to the door which isn't included in that?

YES

NO → GO TO F18

F17. How much do you spend on that food?

$__________ PER: 1. WEEK 2. TWO WEEKS 3. MONTH

F18. About how much do you (and everyone else in your family) spend eating out, not counting meals at work or at school?

$__________ PER: 1. WEEK 2. TWO WEEKS 3. MONTH

TURN TO P. 75, SECTION G
The questions on this page detail Food Stamp usage and food costs for the FU only. If amounts for non-FU members can’t be removed from FU amounts, please make a marginal note giving the sex and age of any "extra eaters" (e.g., "Amounts at F15 and F18 include food for non-FU female, age 35 and non-FU male age 41").

**NOTE:** Be sure you have specified a time period for each amount you list. Is the amount per week? Per month? Per two weeks? Or what? There is great variety in people's food expenditures, and often the time period is not at all obvious to us.

F8. "Commodity stamps" is an obsolete regional term that an R might use when referring to food stamps. Commodity stamps are issued by the local government, whereas food stamps are issued by the federal government. If R says the FU received commodity stamps, mark F8 "YES" and ask with F9-F10.

F9. This is the cash value of the stamps, for the entire year of 1993. Usually R will give per month or 2 weeks. If R gives the total dollar amount for the whole year, great. Write it down as such and ask F10.

F11. This question is referring to last month only.

F12. Sometimes, some FU members are eligible for food stamps while other FU members are not. Probe for the number of FU members for whom stamps are issued. If the R includes non-FU members here (e.g., former Splitoff daughter with own Coversheet lives in the HU), do not count them.

F13. Cash value of the stamps for FU Members only, last month only.

F14/F15. Food bought elsewhere and eaten at home belongs here. This includes groceries and carry-out prepared foods from groceries, restaurants, etc. that are brought home to eat. Note that F15 asks for a weekly, biweekly, or monthly figure for all the persons now living in the FU. We do not want to include expenditures on non-food items such as toothpaste, soap, paper towels, dog food, etc.

If the weekly food bill seems unreasonably high or low to you, use the probe built into the question, "Is that only your (FU's) share of the food?" or repeat the question emphasizing "...food that you use at home in an average week?"

F16. Food delivered to the FU and eaten at home belongs here. Again, it may be groceries (milk, eggs, etc.) or prepared ready-to-eat food (pizza, meals-on-wheels, etc.); the distinction between F14 and F16 is that some service (i.e., cooking, delivery) is included in the cost of the food.

F18. Food that is both bought and eaten elsewhere, as at a restaurant, belongs here. We do not want to include special events (parties, wedding receptions, etc.), or lunches eaten at restaurants during the working day.
F19. How much do you (and everyone else in your family) spend on food that you use at home in an average week? [IF R LIVES WITH NON-FU MEMBERS, PROBE: Is that only your (FU’s) share of the food?]

$__________ PER: 1. WEEK  2. TWO WEEKS  3. MONTH

F20. Do you have any food delivered to the door which isn’t included in that?

YES  NO → GO TO F22

F21. How much do you spend on that food?

$__________ PER: 1. WEEK  2. TWO WEEKS  3. MONTH

F22. About how much do you (and everyone else in your family) spend eating out, not counting meals at work or school?

$__________ PER: 1. WEEK  2. TWO WEEKS  3. MONTH
F19-F22.  See F14-F18 instructions.
SECTION G: INCOME

G1. We try to understand how people all over the country are getting along financially, so now I have some questions about earnings and income.

G1a. INTERVIEWER CHECKPOINT

SEE B9-B10, (P. 10) -- WHETHER FARMER OR RANCHER

1. HEAD IS A FARMER OR RANCHER
5. ALL OTHERS
GO TO G5

G2. What were your total receipts from farming in 1993, including soil bank payments and commodity credit loans? $ ____________ A

G3. What were your total operating expenses, not counting living expenses? $ ____________ B

G4. That left you a net income from farming of? (A - B =) $ ____________ C

G5. Did you (or anyone else in the family there) own a business at any time in 1993 or have a financial interest in any business enterprise?

1. YES
5. NO

G6. How many businesses or financial interests did you have in 1993?

1. ONE
2. TWO
3. THREE
4. FOUR
5. FIVE

G7. I'll be asking this next series of questions about each business separately. We can begin with whichever business you choose.

G7a. What kind of business was that? 

G8. Who in the family owned that business? [CHECK ALL THAT APPLY]

1. HEAD
2. WIFE/"WIFE"
3. RELATIVES (SPECIFY):
7. OTHER (SPECIFY):

G9a. Did you (HEAD) put in any work time for this business in 1993? [PROBE IF INCONSISTENT WITH EMPLOYMENT SECTION]

1. YES
5. NO

G9b. Did you tell me about this work earlier?

1. YES, THESE WORK HOURS ARE REPORTED
5. NO, THESE WORK HOURS ARE NOT REPORTED

G9bb. INTERVIEWER CHECKPOINT

SEE FU LISTING, P. 4 OF COVERSHEET

WIFE/"WIFE" IN FU
ALL OTHERS
GO TO G10

G9c. Did your (wife/"WIFE") put in any work time for this business in 1993? [PROBE IF INCONSISTENT WITH EMPLOYMENT SECTION]

1. YES
5. NO

G9d. Did you tell me about this work earlier?

1. YES, THESE WORK HOURS ARE REPORTED
5. NO, THESE WORK HOURS ARE NOT REPORTED

TURN TO YELLOW JOB SUPPLEMENT
Part 9: Section G--Income

- If Head or Wife/"Wife" reports work income in Section G, hours for that work must be reported in Section B/C or D/E.
- If Head or Wife/"Wife" reports working during 1993 in the employment sections, income from those hours must be reported in Section G.
- All wages and salaries listed in Section G should be before taxes and other deductions. All profit or loss amounts should be net (i.e., after expenses), but before income taxes.

G1a. You will know from B9b and B10 whether Head's current occupation is farmer or rancher. If Wife/"Wife" is part owner and worked on the farm, too, we should have her work hours in Section D/E; you may simply cross-reference the fact at G52: "income included at G4."

G2. Receipts from normal farm operations include:
   a) money from sale of cash crops;
   b) money from sale of livestock, dairy products, poultry, eggs, fruits and vegetables;
   c) soil bank payments;
   d) receipts from commodity credit loans, which count as income because they guarantee set prices for crops.

Farm receipts do not include:
   a) money from sale of land;
   b) rent from tenant farmers;
   c) crop loans (not considered income).

G3. Farm operating expenses can include:
   a) expenses for feed, seed, lime, fertilizer, insurance, fuel, tires, repairs to trucks and machinery, rent for machinery, crop storage, irrigation, ginning, veterinary expenses, etc.;
   b) livestock purchases;
   c) wages for employees, including any FU Members who are paid for working on the farm but who don't share in the ownership);
   d) custom work (work done by someone who brings his own machinery);
   e) depreciation;
   f) interest on loans;
   g) property taxes (but not federal income taxes).

G4. Farm income equals total receipts (see G2) minus operating expenses (see G3). Do the subtraction and then ask G4, to discover omissions and correct any errors on the spot.
SECTION G: INCOME

G1. We try to understand how people all over the country are getting along financially, so now I have some questions about earnings and income.

G1a. INTERVIEWER CHECKPOINT

G2. What were your total receipts from farming in 1993, including soil bank payments and commodity credit loans? $__________ A

G3. What were your total operating expenses, not counting living expenses? $__________ B

G4. That left you a net income from farming of? (A - B =) $__________ C

G5. Did you (or anyone else in the family there) own a business at any time in 1993 or have a financial interest in any business enterprise?

G6. How many businesses or financial interests did you have in 1993?

1. ONE 2. TWO 3. THREE 4. FOUR 5. FIVE

G7. I’ll be asking this next series of questions about each business separately. We can begin with whichever business you choose.

G7a. What kind of business was that?

G8. Who in the family owned that business? [CHECK ALL THAT APPLY]

1. HEAD 2. WIFE/"WIFE" 3. RELATIVES (SPECIFY): 7. OTHER (SPECIFY):

G9a. Did you (HEAD) put in any work time for this business in 1993? [PROBE IF INCONSISTENT WITH EMPLOYMENT SECTION]

G9b. Did you tell me about this work earlier?

1. YES 5. NO — NEXT PAGE, G12

G9bb. INTERVIEWER CHECKPOINT

G9c. Did your (wife/"WIFE") put in any work time for this business in 1993? [PROBE IF INCONSISTENT WITH EMPLOYMENT SECTION]

G9d. Did you tell me about this work earlier?

1. YES, THESE WORK HOURS ARE REPORTED 5. NO, THESE WORK HOURS ARE NOT REPORTED

GO TO G9bb TURN TO YELLOW JOB SUPPLEMENT
WE MUST HAVE WORK HOURS FOR ALL INCOME FROM A JOB AND INCOME FOR ALL WORK HOURS REPORTED IN SECTIONS B-E. IF YOU ARE MISSING INCOME OR WORK HOURS, CALL R FOR BACK BEFORE SENDING THE COMPLETED INTERVIEW IN.

G5-7a. Do not include stock ownership in G5. These questions refer to any business or financial interested owned by anyone in the FU. Get details on what the business is and specify who in the family owned it. If the family had more than one business, repeat questions G7a-G11b for each separate business up to 5.

Many self-employed people are not set up as a "business", so they answer "NO" to G5. If so, be sure the income from their work is reported elsewhere (G12-G17a or G18-G20c).

G8. Remember that "family" refers to members of this FU only. Check all that apply.

G9a-G9d. These questions are crucial. If the Head put in work time, these hours should be reported in Section B/C, and Wife's/Wife's' work time should be reported in Section D/E. If work time for a business wasn't reported, you need to complete a (yellow) Job Supplement. (See instructions for the Job Supplement on pages ? ) OFUMs' time will be reported in the G76-G81 and G95-G97 sequences.
G10. Was it a corporation or an unincorporated business?

1. CORPORATION
2. UNINCORPORATED
7. OTHER (SPECIFY):
8. DON'T KNOW

G11. Did the business show a profit, a loss, or did it break even in 1993?

1. PROFIT
2. LOSS
3. BROKE EVEN

G11a. How much was (your/his/her/their) share of the total income from the business in 1993—that is, the amount (you/he/she/they) took out plus any profit left in?

$__________________

G11b. How much was (your/his/her/their) loss from the business in 1993?

$__________________

G11c. INTERVIEWER CHECKPOINT

SEE G6, (P. 75)—NUMBER OF BUSINESSES

ONLY ONE BUSINESS
TWO OR MORE BUSINESSES

REPEAT G7a-G11b FOR EACH ADDITIONAL BUSINESS

G12. Did you (HEAD) earn wages or salaries in 1993 from working on any jobs? (Other than the unincorporated business we have just talked about?) (IF NECESSARY, REVIEW EMPLOYMENT HISTORY AND ADD: including wages or salaries from the job(s) we already talked about?)

1. YES
5. NO

G13. How much did you (HEAD) earn altogether from wages or salaries in 1993, that is, before anything was deducted for taxes or other things?

$__________________ IN 1993

G14. In addition to this, did you have any income from bonuses, overtime, tips, or commissions?

YES
NO

G16. Did you have any income from bonuses, overtime, tips, or commissions?

YES
NO

G16a. Which was that? [CHECK ALL THAT APPLY]

1. BONUSES
2. OVERTIME
3. TIPS
4. COMMISSIONS

G17a. How much was from bonuses?

b. overtime?

c. tips?

d. commissions?

G17e. INTERVIEWER CHECKPOINT—WHETHER WORK HOURS FOR THIS INCOME ARE REPORTED

1. YES, THESE WORK HOURS ARE REPORTED
5. NO, THESE WORK HOURS ARE NOT REPORTED

TURN TO YELLOW JOB SUPPLEMENT
G10. If R doesn’t understand the question, check DON'T KNOW. If some FU member owned a business in 1993, but R doesn’t know whether the business was incorporated or not, make a marginal note giving what information you can and select 8 DK.

G11. Note: The skip instructions for Code 3 should read GO TO G12. G12 is on the same page, not the next page.

G11a. The amount given here is net profit, i.e., after expenses, the amount they paid income taxes on. If part of this amount is counted as Head’s “draw” and another part as profit from the business, give us both sums separately and tell us which is which. Record the total profit as the final answer; do not double count the draw.

If the Wife/”Wife” or other FU member is not a part owner and is paid wages or salary by the unincorporated business, that amount should not be included here. It belongs with the Wife’s/”Wife’s” or OFUM’s job income questions. If Wife/”Wife” or other FU member is part owner, we would like their separate part(s) of the total profit recorded in a marginal note, in addition to the total profit, if possible. If only the total amount is known, write in the total amount and which FU members received it.

G11c. Attach an extra page or pages to record information for each additional business.

The secret to success on these questions is to get as much detail as possible and to make frequent marginal notes.

WE MUST HAVE WORK HOURS FOR ALL INCOME FROM A JOB AND INCOME FOR ALL WORK HOURS REPORTED IN SECTIONS B-E. IF YOU ARE MISSING INCOME OR WORK HOURS, CALL R BACK BEFORE SENDING THE COMPLETED INTERVIEW IN.

G12. If Head was working in 1993, this question almost certainly should be marked "YES". Respondents sometimes give an answer of NO here thinking that they’ve given you this information before (in Section B, questions B12-B17c), but they haven’t. B12-B17c ask about current pay rates; this question asks about last year’s earnings.

G13. This question applies only to current Head. For most wage-earners, the amount here is the income reported on the W2 form(s). It should include income from extra jobs if Head had one. Here we catch small amounts from part-time jobs that were not mentioned while you were asking Section B/C. Note the section of the question referring to the unincorporated business--this only appears when the FU owns an unincorporated business and has just gone through that question sequence. Be careful of the following:

- **fixed salary rates:** if Head now makes $10,000 a year, this doesn’t necessarily mean that he/she made $10,000 in 1993. Head may, for example, have had a raise in September or started work after graduating from college in June. We want total 1993 wages/salary, not the current salary rate.

- **complicated work history:** if Head had several jobs and was unemployed during part of the year, remind her/him of the several jobs and get total income from all 1993 wages.

- **self-employed Heads:** wages and salaries that unincorporated business people pay themselves should not be listed here; this should be recorded at G11a. However, wages from any other job or incorporated business should be listed here.
G10. Was it a corporation or an unincorporated business?

1. CORPORATION
2. UNINCORPORATED
3. OTHER (SPECIFY):
4. DON'T KNOW
5. KNOW

G11. Did the business show a profit, a loss, or did it break even in 1993?

1. PROFIT
2. LOSS
3. BROKE EVEN
4. OTHER (SPECIFY):

G11a. How much was (your/his/her/their) share of the total income from the business in 1993—that is, the amount (you/he/she/they) took out plus any profit left in?

$ __________________

G11b. How much was (your/his/her/their) loss from the business in 1993?

$ __________________

G11c. INTERVIEWER CHECKPOINT

SEE G6, (P. 75)—NUMBER OF BUSINESSES

ONLY ONE BUSINESS

TWO OR MORE BUSINESSES

REPEAT G7a-G11b FOR EACH ADDITIONAL BUSINESS

G12. Did you (HEAD) earn wages or salaries in 1993 from working on any jobs? (Other than the unincorporated business we have just talked about?) (IF NECESSARY, REVIEW EMPLOYMENT HISTORY AND ADD: including wages or salaries from the job(s) we already talked about?)

1. YES
5. NO

G13. How much did you (HEAD) earn altogether from wages or salaries in 1993, that is, before anything was deducted for taxes or other things?

$ __________________ IN 1993

G14. In addition to this, did you have any income from bonuses, overtime, tips, or commissions?

YES  NO

G16. Did you have any income from bonuses, overtime, tips, or commissions?

YES  NO

G16a. Which was that? [CHECK ALL THAT APPLY]

1. BONUSES 2. OVERTIME 3. TIPS 4. COMMISSIONS

G17a. How much was from bonuses?

b. overtime?

c. tips?

d. commissions?

G17e. INTERVIEWER CHECKPOINT—WHETHER WORK HOURS FOR THIS INCOME ARE REPORTED

1. YES, THESE WORK HOURS ARE REPORTED
5. NO, THESE WORK HOURS ARE NOT REPORTED

TURN TO YELLOW JOB SUPPLEMENT
If an amount is given for both G11a and G13, probe to be sure that it is not the same money recorded twice here.

G14. Note the phrase "in addition to this." If Head has already included some or all of the income from these sources in G13, do not double-count it.

G16. If earnings are solely from bonuses, overtime, tips or commissions, check Yes here.

G17e. If there are no work hours reported in Section B or C for income recorded at G13 and G17a, you must complete a (yellow) Job Supplement. (See instructions for the Job Supplement on pages 158-161)
G18. I'm going to read you a list of other sources of income you might have had. Did you (HEAD) receive any other income in 1993 from professional practice or trade?

1. YES   5. NO → GO TO G18b

G19a. How much was it?

$ ____________ PER: 1. YEAR 2. MONTH 3. 2 WEEKS 4. WEEK 5. DAY

G20a. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G21a. INTERVIEWER CHECKPOINT—WHETHER WORK HOURS FOR THIS INCOME ARE REPORTED

1. YES, THESE WORK HOURS ARE REPORTED 5. NO, THESE WORK HOURS ARE NOT REPORTED

TURN TO YELLOW JOB SUPPLEMENT

G18b. (Besides the farm income you already told me about,) (did you (HEAD) receive any other income in 1993) from farming or market gardening?

1. YES   5. NO → GO TO G18c

G19b. How much was it?

$ ____________ PER: 1. YEAR 2. MONTH 3. 2 WEEKS 4. WEEK 5. DAY

G20b. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G21b. INTERVIEWER CHECKPOINT—WHETHER WORK HOURS FOR THIS INCOME ARE REPORTED

1. YES, THESE WORK HOURS ARE REPORTED 5. NO, THESE WORK HOURS ARE NOT REPORTED

TURN TO YELLOW JOB SUPPLEMENT

G18c. (Did you (HEAD) receive any other income in 1993) from roomers or boarders? [A ROOMER OR BOARDER MUST BE A NON-FU MEMBER AND HEAD MUST DO WORK FOR THIS INCOME.]

1. YES   5. NO → NEXT PAGE, G22

G19c. How much was it?

$ ____________ PER: 1. YEAR 2. MONTH 3. 2 WEEKS 4. WEEK 5. DAY

G20c. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G21c. INTERVIEWER CHECKPOINT—WHETHER WORK HOURS FOR THIS INCOME ARE REPORTED

1. YES, THESE WORK HOURS ARE REPORTED 5. NO, THESE WORK HOURS ARE NOT REPORTED

TURN TO YELLOW JOB SUPPLEMENT
G18.  PROFESSIONAL PRACTICE: Includes self-employed doctors, lawyers, and CPAs who don't report their earnings as salary or business income, as well as those with income from consulting, honoraria, etc.

TRADE: Includes self-employed tradesmen such as plumbers, carpenters, electricians, etc. A carpenter may receive wages from a construction company and also do independent work; this "side" work goes here. Income already given at G11a or G13 should not be included here. We need net income but after expenses.

G18b.  FARMING or MARKET GARDENING: If farming is Head's current main job occupation, the farming income should be listed at G2-G4 and not be repeated here; but if Head's current main job at B9-B10 is not farming, include any amounts earned from farming in 1993 (main or extra jobs).

**WE MUST HAVE WORK HOURS FOR ALL INCOME FROM A JOB AND INCOME FOR ALL WORK HOURS REPORTED IN SECTIONS B-E. IF YOU ARE MISSING INCOME OR WORK HOURS, CALL R BACK BEFORE SENDING THE COMPLETED INTERVIEW IN.**

G18c.  ROOMERS OR BOARDERS: This is money paid to Head by non-FU members for separate room(s) and/or prepared meals. Stress "roomers" or "boarders" in the question to indicate the source of the income. Head must do work for this money (e.g., clean rooms, change bedding, launder clothes, cook), so if income is reported in response to this question, work hours should be mentioned in Section B/C. If no work was done, you are probably dealing with "rent income," which is asked after this in G25a.

We want net income here (i.e., the money received minus expenses). However, if R cannot separate the food costs, tell us the age and gender of the boarder(s) in a marginal note so we can attempt a calculation.

NOTE: Money paid for rent or room and board by an FU member is not included as income here or anywhere else. But money from an HU member who is not in the FU is income. For example, a former Splitoff who has his own coversheet and shares his parents' HU is considered a separate FU. If he pays his parents for room and board, the amount should be recorded at G19c (or, if he pays rent only, recorded at G26a).

G19a-c.  It is very important to check the appropriate unit of time for which the amount reported is received. This question is asked throughout this section, but beware -- the units of time are not the same for all possible types of income.

G20a-c.  We want to know during which months of 1993 this income was received. This question is also asked throughout this section and it is very important that you check the correct box(es) for each type of income received.

G21a-c.  Again, make sure you have work hours in Section B/C for any income reported in these questions. If work hours haven't been reported for income mentioned here, complete a (yellow) Job Supplement. If you do not realize you have missed work hours until you are editing, please call R for missing information before the interview leaves your hands.
G22. INTERVIEWER CHECKPOINT
SEE B82, (P. 22) OR C74, (P. 36)—EXTRA JOBS IN 1993

A. EXTRA JOB IN 1993

B. ALL OTHERS—GO TO G25a

G23. Did you include your earnings from the extra job(s) in the amounts we just talked about?

YES  NO—G24. How much did you earn from your extra job(s) in 1993?

$_________________________ FROM EXTRA JOBS IN 1993

G25a. Did you (HEAD) receive any other income in 1993 from rent?

1. YES  5. NO—GO TO G25b

G26a. How much was it? $________________ PER:

1. MONTH  2. 3 MONTHS  3. 6 MONTHS  4. YEAR  7. OTHER: (SPECIFY)

G27a. During which months of 1993 did you get this income?

ALL  OR  JAN  FEB  MAR  APR  MAY  JUNE  JULY  AUG  SEPT  OCT  NOV  DEC

G25b. (Did you [HEAD] receive any other income in 1993) from dividends?

1. YES  5. NO—GO TO G25c

G26b. How much was it? $________________ PER:

1. MONTH  2. 3 MONTHS  3. 6 MONTHS  4. YEAR  7. OTHER: (SPECIFY)

G27b. During which months of 1993 did you get this income?

ALL  OR  JAN  FEB  MAR  APR  MAY  JUNE  JULY  AUG  SEPT  OCT  NOV  DEC

G25c. (Did you [HEAD] receive any other income in 1993) from interest?

1. YES  5. NO—NEXT PAGE, G25d

G26c. How much was it? $________________ PER:

1. MONTH  2. 3 MONTHS  3. 6 MONTHS  4. YEAR  7. OTHER: (SPECIFY)

G27c. During which months of 1993 did you get this income?

ALL  OR  JAN  FEB  MAR  APR  MAY  JUNE  JULY  AUG  SEPT  OCT  NOV  DEC
G22-24. The purpose of this sequence is to help you make sure that IF Head had work hours on extra jobs, we get the income from them.

G25a-c. Income from rent, dividends and interest is sometimes paid to Head and Wife jointly. If you can get their separate amounts, put Head's here and Wife's/'Wife's" at G59a/59b or G63b. If not, include the total here and specify "joint" income in a margin note.

G25a. RENT: Head may own real estate or other property that is rented to others. The income should be net, i.e., after expenses are deducted. Expenses include maintenance, property taxes, mortgage payments, etc.

Once again, here is the place to report money paid to the Head by non-FU members for living in the HU (i.e., Head does no work for the money). Rent payments by a former Splitoff who has his/her own coversheet (and who lives in the HU) are fairly common here.

G25b. DIVIDENDS: Dividends are amounts paid to stockholders by corporations.

If Head owns a small incorporated business, he/she may have taken profits out of the business by paying a dividend to him/herself on common stock. These dividends, as well as any income received on other stocks Head owns, belong here.

Sometimes companies pay dividends by giving stockholders more shares. These are stock dividends and should not be included here. However, all cash dividends must be included here, regardless of whether Head used them to buy more stock in the company.

"Dividends" on insurance policies are not income and should not be included.

G25c. INTEREST: Receipts here include interest from all kinds of savings accounts, money market funds, income from government and corporate bonds, interest on mortgages owned (not those Head is paying on), as well as interest received on personal loans made.
G25d. (Did you [HEAD] receive any other income in 1993) from trust funds and royalties?

1. YES  5. NO → GO TO G25e

G26d. How much was it? $____________ PER:

1. MONTH 2. 3 MONTHS 3. 6 MONTHS 4. YEAR 7. OTHER: (SPECIFY)

G27d. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G25e. (Did you [HEAD] receive any income in 1993) from ADC or AFDC?

1. YES  5. NO → GO TO G25f

G26e. How much was it? $____________ PER:

1. MONTH 2. 3 MONTHS 3. 6 MONTHS 4. YEAR 7. OTHER: (SPECIFY)

G27e. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC


1. YES  5. NO → GO TO G25g

G26f. How much was it? $____________ PER:

1. MONTH 2. 3 MONTHS 3. 6 MONTHS 4. YEAR 7. OTHER: (SPECIFY)

G27f. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G25g. (Did you [HEAD] receive any income in 1993) from other welfare?

1. YES  5. NO → NEXT PAGE, G31

G26g. How much was it? $____________ PER:

1. MONTH 2. 3 MONTHS 3. 6 MONTHS 4. YEAR 7. OTHER: (SPECIFY)

G27g. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
G25d. TRUST FUNDS and ROYALTIES: A trust fund is money invested by a person or group of persons for another person known as a beneficiary. If Head is a beneficiary and received payments from a trust fund, these payments belong here.

G25e. ADC or AFDC (Aid to [Families with] Dependent Children) covers needy single parents with children under age 18, as well as two-parent families with the father present in the household but not working.

Please read the acronyms ADC and AFDC to Rs; clarify with full name only if necessary.

We are very interested in getting a good estimate of this form of welfare, as distinct from other types of welfare. If the family is covered by a number of programs, make sure the dollar amount for ADC/AFDC is separate from all other forms of public assistance.

G25f. SUPPLEMENTAL SECURITY INCOME (SSI): SSI is not Social Security, although the program is administered by the Social Security Administration and a person can receive both. Generally it's for people who didn't (or can't) work long enough to qualify for Social Security. Three categories of recipients are:

1. The elderly, over 65, who did not work enough to qualify for Social Security

2. The disabled
   a) adult (18 and older) with a physical or mental condition that keeps him or her from working for 12 months or is considered terminal.
   b) child (under 18) with a physical or mental condition that would keep an adult from working for 12 months or is considered terminal,

3. The blind, either adult or child, with severely limited or no vision

This must be Head's SSI only. Head must be the recipient (i.e., the check is in Head's name). SSI is given to individuals, even children, so be careful. SSI checks received by children but cashed by Head still belong to the child and should be recorded in the younger earner section (G94c, p.90) or in the 1993 OFUM INCOME AND WORK BOOKLET (G84c).

G25g. OTHER WELFARE covers general assistance usually funded by state and local governments. This is a catchall covering the needy who are not eligible for the "categorical" welfare programs asked about at G25e-f. If Head and Wife/"Wife" receive "other" welfare jointly and cannot separate the amounts, report the total here, not in the Wife's/"Wife's" income questions. Explain in a margin note that it is joint income.
G31. Did you (HEAD) (or anyone else in the family there) receive any income in 1993 from Social Security? [IWER: ALL SOCIAL SECURITY RECEIVED BY ANY FU MEMBER MUST BE REPORTED HERE.]

1. YES
5. NO — NEXT PAGE, G37

G32a. (Who was that?)

G33a. First let me ask about (PERSON FROM G32a’s) Social Security. Was that disability, retirement, survivor’s benefits, or what?

1. DISABILITY  2. RETIREMENT  3. SURVIVOR’S BENEFITS  5. DEPENDENT OF DISABLED RECIPIENT

6. DEPENDENT OF RETIRED RECIPIENT

7. OTHER (SPECIFY):

G34a. How much was it?

$___________ PER:  1. MONTH  4. YEAR

G35a. During which months of 1993 did (you/he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G36a. Did anyone else receive any income from Social Security in 1993?

1. YES
5. NO — NEXT PAGE, G37

G32b. (Who was that?)

G33b. Next let me ask about (PERSON FROM G32b’s) Social Security. Was that disability, retirement, survivor’s benefits, or what?

1. DISABILITY  2. RETIREMENT  3. SURVIVOR’S BENEFITS  5. DEPENDENT OF DISABLED RECIPIENT

6. DEPENDENT OF RETIRED RECIPIENT

7. OTHER (SPECIFY):

G34b. How much was it?

$___________ PER:  1. MONTH  4. YEAR

G35b. During which months of 1993 did (you/he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G36b. Did anyone else receive any income from Social Security in 1993?

1. YES
5. NO — NEXT PAGE, G37

ASK G32b-G36b AGAIN FOR EACH ADDITIONAL PERSON
G31-32a. SOCIAL SECURITY: We want Social Security information about all FU members here to help us avoid double-counting of benefits. If the answer to G31 is Yes, record the name and relationship to Head of the individual who received Social Security.

G33a. Generally, there are three types of recipients of regular Social Security (not including Medicare):
1. Disabled workers who became severely disabled before age 65. Disabled means having a severe physical or mental condition which
   a) prevents one from working and
   b) is expected to last at least 12 months or result in death
2. Retired people 62 or older who are qualified.
3. Dependents of a covered worker who has retired, become disabled, or died. These are typically spouses, widows or widowers, and unmarried children under 18, or under 19 and full time high school students.

If R says someone is receiving "Dependent's" benefits "from Head", "from Mother", etc., determine whether they are dependents (receiving benefits as a result) of a retired, disabled, or deceased person. If the person upon whom they are dependent is disabled or retired, check DEPENDENT OF DISABLED RECIPIENT or DEPENDENT OF RETIRED RECIPIENT. If they are dependent survivors of a deceased person, check SURVIVOR'S BENEFITS. Check this type also for the lump-sum death benefit to surviving widow/widower and entitled children.

NOTE: Benefits received by unmarried children under 18, or under 19 and full-time high school students, are usually paid to the parents or guardian. Please indicate in a marginal note who the parent or guardian is by relationship and person number, if possible.

G36a/32b. See G31-G32a instructions

G33b. See G33a instructions

G36b. Attach an extra page or pages to record information for each additional Social Security recipient.
G37. Did you (HEAD) receive any income in 1993 from the Veteran's Administration for a servicemen's, (widow's,) or survivor's pension, service disability, or the GI bill?

1. YES, SERVICEMEN'S, (WIDOW'S,) OR SURVIVOR'S PENSION
2. YES, SERVICE DISABILITY
3. YES, GI BILL
4. YES, OTHER (SPECIFY):
5. NO

G38. How much was it? $__________ PER

1. MONTH
4. YEAR

G39. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G40. Did you (HEAD) receive any income in 1993 from other retirement pay, pensions, or annuities? [CHECK ALL THAT APPLY AND ASK G41 AND G42 FOR EACH BOX CHECKED]

5. NO

G41a. How much was it? $__________ PER:

1. YEAR
2. MONTH
3. 2 WEEKS
4. WEEK
5. DAY

G42a. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G41b. How much was it? $__________ PER:

1. YEAR
2. MONTH
3. 2 WEEKS
4. WEEK
5. DAY

G42b. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G41c. How much was it? $__________ PER:

1. YEAR
2. MONTH
3. 2 WEEKS
4. WEEK
5. DAY

G42c. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV

G43. (Not including Veteran's Administration pensions,) How many different pensions or annuities did you receive income from in 1993?

TOTAL NUMBER OF NON-VA PENSIONS
G37-39. VETERANS PENSIONS: There are various armed service benefits and state and federal government pensions for ex-military personnel and their families.

1. Retired service pension: an officer or enlisted person is eligible for such a pension after 20 years’ service.
2. Widow’s or survivor’s pension: paid to the wife or husband and dependents of deceased military personnel.
3. Disability pension: for a permanent injury received in military service.
4. GI Bill payments: may be for school tuition or student living expenses, grants to help buy a home or start a business.

Some people get a military service benefit directly from the Army, Navy, or other branch in which they served, rather than from the Veterans Administration (VA). Include all military stipends here, even if the check doesn’t come from the VA.

G40. PENSIONS: From previous employers, federal and state employee pensions, etc. Money paid into a retirement plan is not income. It will only become income when it is paid out. Include permanent, long-term disability paid in regular payments by employer, but not amounts already reported as armed services related (G37-G39) or Social Security Disability (G33a/b).

ANNUITIES: Regular payments, usually for 10 years, 20 years, or life. Individual Retirement Annuity (IRA) payments are included here.
G44a. Did you (HEAD) receive any income in 1993 from unemployment compensation?

1. YES  
5. NO → GO TO G44b

G45a. How much was it? $_________________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G46a. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G44b. (Did you [HEAD] receive any income in 1993) from workers compensation?

1. YES  
5. NO → GO TO G44c

G45b. How much was it? $_________________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G46b. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G44c. (Did you [HEAD] receive any income in 1993) from child support?

1. YES  
5. NO → NEXT PAGE, G44d

G45c. How much was it? $_________________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G46c. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
G44a. UNEMPLOYMENT COMPENSATION: All the states participate in this program, which is administered by them with funds from employer contributions and the federal government. States vary greatly in the amount of benefits.

G44b. WORKERS COMPENSATION: Money to replace lost wages for employees injured on the job. Amounts received strictly for medical expense reimbursements belong at G99-G100.

G44c. CHILD SUPPORT: If Head receives child support payments, note it here. Be careful that child support is not confused with ADC payments. If child support is received by Wife/"Wife," it should be listed at G60/G60c-60cc.
G44d. (Did you [HEAD] receive any income in 1993) from alimony or separate maintenance?

1. YES  
5. NO → GO TO G44e

G45d. How much was it? $__________________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G46d. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G44e. Did you [HEAD] receive any help in 1993 from relatives?  [THIS MUST BE FROM NON-FAMILY MEMBERS.]

1. YES  
5. NO → GO TO G44f

G45e. How much was it? $__________________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G46e. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G44f. Did anyone (else) not living with you help you (and your family) out by giving you money during 1993?

YES  
NO → GO TO G44g

G45f. How much was it? $__________________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G46f. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G44g. Did you receive any other income in 1993 from anything else?

1. YES (SPECIFY):  
5. NO → NEXT PAGE, G49

G45g. How much was it? $__________________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G46g. During which months of 1993 did you get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
G44d. **ALIMONY or SEPARATE MAINTENANCE:** Payments from an ex-spouse as a result of divorce or separation. Do not include child support here.

G44e. **HELP FROM RELATIVES:** Include money received from relatives who are not FU members. This is help in the form of cash, not goods, and does not include gifts for special occasions. The "twenty dollars my mother sends every month to help out" would go here; "a hundred dollars for a wedding present" would not. Be sure this amount doesn't double-count rent received from non-FU family members.

G44f. **HELP FROM OTHERS:** These questions apply to financial help given to any 1993 FU member, not just Head. Include both regular and irregular amounts of income from unrelated individuals outside the FU, including gifts (cash only). A margin note indicating regular or irregular, several times or one time, would be appreciated.

Do not count loans. They have to be paid back and, therefore, are not increasing the income of the FU.

G44g. **ANYTHING ELSE (SPECIFY):** Some examples follow.

1. **Training Program Allowances:** Manpower Development Acts provide vocational training, as well as paying subsistence allowances. Unemployed young adults sometimes receive this form of income.

2. **Illegal Sources of Income:** Record here if R mentions. If you can get them, any work hours involved would be greatly appreciated!

4. **Family allotments:** Dependents of armed services personnel on active duty receive allotments from the government. If R tells you her daughter or husband is in the service, be alert for income here.

5. **Government grants of any kind:** Be sure this income has not already been reported. Don't include tax refunds, or GI Bill payments (see G37-G39).

6. **Land contracts and mortgages owned:** If possible, try to find out how much of the payment is principal and how much is interest.
G49. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

A. WIFE/"WIFE" IN FU NOW

B. ALL OTHERS → TURN TO P. 89, G64

G50. Did your (wife/"WIFE") receive any income during 1993?

YES

NO

G51a. Was any of it earnings from her work?

YES

NO

G51ab. INTERVIEWER CHECKPOINT

SEE D78, P. 53 OR E70, P. 67--WHETHER WORKED IN 1993

WIFE/"WIFE" WORKED IN 1993

ALL OTHERS

GO TO G52c

G51b. Did she receive any earnings from her work at

(NAME[S] OF EMPLOYER[S] FROM D11, [P. 42], D41c, [P. 47] OR E14a, [P. 60]/E33c, [P. 62])?

1. YES

5. NO

G51c. How was that?

GO TO G52c

G52. How much did she earn altogether from work in 1993, that is, before anything was deducted for taxes or other things?

$________________________

G52a. During which months of 1993 did she get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G52b. INTERVIEWER CHECKPOINT--WHETHER WORK HOURS FOR THIS INCOME ARE REPORTED

1. YES, THESE WORK HOURS ARE REPORTED

5. NO, THESE WORK HOURS ARE NOT REPORTED

TURN TO YELLOW JOB SUPPLEMENT

G52c. INTERVIEWER CHECKPOINT

WHETHER WIFE/"WIFE" HAD INCOME LAST YEAR

"YES" TO G50

ALL OTHERS

NEXT PAGE, G53

TURN TO P. 89, G64
G50-52. Remember that work hours in Section D/E imply income here and vice versa. Make sure Wife's/"Wife’s" income from all work sources is recorded, including tips, commissions or bonuses.

If some or all of the Wife’s/"Wife’s" income is from work in a business of which she is full or part owner, it may already be included at G5-G11a. If this is the case, make a note "included in business income" in the margin. Specify the amount if known. Wife’s/"Wife’s" business income, regardless of where it is reported, should be the amount after expenses were deducted but before income taxes were paid. Wage and salary amounts, however, should be "before any taxes or deductions".

G52b. Again, if income is reported but no work hours were recorded in Section D or E, complete a (yellow) Job Supplement.
G53. Did she receive any unemployment compensation in 1993?

YES

NO → GO TO G56

G54. How much was that? $__________ PER:

1. WEEK  2. 2 WEEKS  3. MONTH  4. YEAR  7. OTHER (SPECIFY):

G55. During which months of 1993 did she get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G56. Did she receive any workers compensation in 1993?

YES

NO → NEXT PAGE, G59

G57. How much was that? $__________ PER:

1. WEEK  2. 2 WEEKS  3. MONTH  4. YEAR  7. OTHER (SPECIFY):

G58. During which months of 1993 did she get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
G53. See G44a instructions.

G56. See G44b instructions.
G60. Did she receive any (other) income in 1993 from Supplemental Security Income, ADC/AFC, child support or other welfare? [CHECK ALL THAT APPLY AND ASK G60 QUESTIONS FOR EACH BOX CHECKED]

   5. NO
NEXT PAGE, G61

1. YES, SSI → G60a. How much was the SSI? $ _____________ PER:

   1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G60aa. During which months of 1993 did she get this income?

   ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

2. YES, ADC/AFC → G60b. How much was the ADC/AFC? $ _____________ PER:

   1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G60bb. During which months of 1993 did she get this income?

   ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

3. YES, CHILD SUPPORT → G60c. How much did she receive from child support?

   $ _____________ PER:

   1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G60cc. During which months of 1993 did she get this income?

   ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

4. YES, OTHER WELFARE → G60d. How much was from other welfare?

   $ _____________ PER:

   1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G60dd. During which months of 1993 did she get this income?

   ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
G60-60dd. These questions are the same as those asked for the Head, but in a different order. The same instructions apply.
G61. Did she receive any (other) income in 1993 from pensions or annuities?

1. YES
5. NO → GO TO G62

G61a. How much was from pensions or annuities? $___________ PER:

3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G61b. During which months of 1993 did she get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G62. Did she receive any help in 1993 from relatives or friends? [INTERVIEWER: THIS MUST BE FROM NON-FU MEMBER] [CHECK ALL THAT APPLY AND ASK G62 QUESTIONS FOR EACH BOX CHECKED]

5. NO
GO TO G63

1. YES, RELATIVES → G62a. How much was from relatives? $___________ PER:

3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G62aa. During which months of 1993 did she get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

2. YES, FRIENDS → G62b. How much was from friends? $___________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. YEAR 5. OTHER (SPECIFY):

G62bb. During which months of 1993 did she get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G63. Any other income?

YES NO → NEXT PAGE, G64

G63a. What was it from? ____________________________

G63b. How much did that amount to in 1993? $___________

G63c. During which months of 1993 did she get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
G61. See G40 instructions.

G62. See G44e-f instructions.

G63-63c. If Wife/"Wife" had more than 1 other source of income, note source amounts and when received in margin. Probe, if necessary, to make sure income here hasn’t already been reported in G25, and that it is the Wife’s/"Wife’s" own income. Note all those "joint" amounts (e.g., interest, dividends, ADC).
GJ0a. QUESTION BEING ASKED [CHECK ONE]:

G9b BUSINESS OF HEAD

G9d BUSINESS OF WIFE/"WIFE"

G17e HEAD'S WAGES, ETC

G21 HEAD'S OTHER WORK

G52b WIFE'S WORK

GJ0b. WHICH TYPE? [CHECK ONE]

G21a (PROFESSIONAL PRACTICE/TRADE)

G21b (FARMING/MARKET GARDENING)

G21c (ROOMERS/BOARDERS)

GJ1. Then I would like to ask you some questions now about this business and (your/her) work in it.

GJ1. Then I would like to ask you some questions now about the work (you/she) did for this income. Did (you/she) work for the federal, state or local government, a private company, or what?

GJ2. What was the name of (the business/that [business/employer])? [IF NECESSARY: This information will help us to process employment history information you gave us. The name itself will never be released as part of the data from the study.] [IWER: IF NO EMPLOYER NAME IS GIVEN BY R, RECORD A SHORT JOB TITLE FROM INFORMATION FOLLOWING AT GJ3-GJ3a.]

GJ3. What was (your/her) occupation? What sort of work did (you/she) do?

GJ3a. What were (your/her) most important activities or duties?
1994 Job Supplement

The yellow JOB SUPPLEMENT is for those rare occasions when R informs you of income from a job that they did not report in the employment sections. There are seven places in the income section where this could occur; each has a checkpoint or question to help you verify that work hours have been reported. If work hours have not been reported, you use the supplement to collect the information about this job in the income section, rather than going back to the employment section. Initiate the process by filling out the top with the Interview Number, Supplement Number, your Interviewer ID, and the SAMPLE ID. Checkpoint GJ11 directs you back to the main questionnaire.

GJ0a-b. Indicate which of seven places you discovered the missing job information:
- G9b HEAD'S BUSINESS Income
- G9d WIFE'S/WIFE'S BUSINESS Income
- G17e Head's WAGE/SALARY Income
- G21-a Head's PROFESSIONAL PRACTICE/TRADE Income
- G21-b Head's FARMING/MARKET GARDENING Income
- G21-c Head's ROOMER/BOARDER Income
- G52b Wife's/WIFE'S WAGE/SALARY Income

GJ2. Same as B11, minimal persuasion and standard assurance of confidentiality if R is concerned or refuses name.

GJ3-3a. Follow the same general rules that you used for probing on B9-B11. For example, "sold cosmetics" or "repaired cars" doesn't tell us enough. Did you/he/she sell cosmetics from home? in a store? door-to-door? Did you/he/she repair cars in the backyard? at a service station? at a car dealer's? Make notes, if necessary, to help us get the details.
GJ3ab. INTERVIEWER CHECKPOINT

SEE GJOa, REVERSE SIDE--WHETHER BUSINESS

G9b BUSINESS OF HEAD OR G9d BUSINESS OF WIFE/"WIFE" IS CHECKED

GO TO GJ4

GJ3b. What kind of business or industry was that in?

GJ4. And, how many weeks did (you/she) work at this (business) in 1993?

WEEKS IN 1993

GJ5. On the average, how many hours a week did (you/she) work (at this business)?

HOURS PER WEEK IN 1993

GJ6. In what month and year did (you/she) start (working at this business/this work)?

MONTH YEAR

97. BEFORE 1993, DK EXACT YEAR

98. DK YEAR AT ALL

GJ7. In which months during 1993 (were you/was she) working at (this business/it)?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

GJ8. (Have you/Has she) stopped (working at this business/this work)?

1. YES 5. NO GO TO GJ11

GJ9. In what month and year was that?

MONTH DAY [IF VOLUNTEERED] 1993 1994

98. 1993 OR 1994, DK WHICH

GJ10. What happened--did (it/[your/her] employer) (close or) go out of business, did (you/she) quit, or what?

GJ11. INTERVIEWER CHECKPOINT

SEE GJOa-b, REVERSE SIDE--WHERE STOPPED QUESTIONNAIRE

STOPPED AT QUESTIONNAIRE
G9b

TURN TO P. 75, G99b

STOPPED AT QUESTIONNAIRE
G9d

TURN TO P. 76, G10

STOPPED AT QUESTIONNAIRE
G17e

TURN TO P. 77, G18

STOPPED AT QUESTIONNAIRE
G52b

TURN TO P. 85, G53

G21a

TURN TO P. 77, G18b

G21b

TURN TO P. 77, G18c

G21c

TURN TO P. 78, G22
GJ3ab. If it was work hours for business income (G9b or G9d) that initiated the supplement, skip down to GJ4. For ALL OTHERS, ask GJ3b then GJ4.

GJ4. This is the number of calendar weeks in 1993 during which Head/Wife/"WIFE" did any work on this job.

GJ5. This is average hours per week for the weeks worked on this job. Occasionally R will be unable to break down the time into weeks and hours per week (e.g., "Oh, I just worked on it when I had time"). In such cases, get an estimate of total number of hours for that job during 1993.

GJ6-9. These dates will help us to check for overlap with spells of Unemployment or Not Working/Not Looking.

GJ10. We mention negative alternatives to make it easier for R to talk about a lay-off or firing, but positive answers like "I quit to take a better job" are welcome.
G64. INTERVIEWER CHECKPOINT: SEE FU LISTING, P. 4 OF COVERSHEET

IVORY OR ROSE COVERSHEET

△

G65. DID SOMEONE MOVE IN OR OUT OR DIE?

YES □ NO □→GO TO G72

△

REPEAT G66-G70 FOR EACH MOVER-IN, MOVER-OUT OR DIED
[LISTED AT ITEM 22 AND ITEM 23]:

G65a. IS SOMEONE OTHER THAN THIS YEAR'S HEAD OR WIFE/"WIFE" INCLUDED IN FU?

NO □ YES □

Next Go To
page, G69
G90b

G66. IS HE/SHE A PRELISTED HEAD OR WIFE/"WIFE" WHO MOVED OUT OR DIED?

NO □ YES □→GO TO G71

G67. IS HE/SHE SOMEONE OTHER THAN THIS YEAR'S HEAD OR WIFE/"WIFE" WHO MOVED INTO THE FU?

YES □ NO □→GO TO G70

G68. DID HE/SHE MOVE IN BEFORE JANUARY 1, 1994?

YES □ NO □→GO TO G70

G69. IS HE/SHE PERSON 16 YEARS OLD OR OLDER?

NO □ YES □→GO TO G71

G70. IF ROSE COVERSHEET, ADD TO GRID ANYONE LISTED AT ITEM 24 WHO IS 16 YEARS OF AGE OR OLDER.

G71. ADD NAME TO THE GRID AND REPEAT G66-G70 FOR EACH ADDITIONAL MOVER-IN, MOVER-OUT OR PERSON WHO DIED.

1993 OFUM (OTHER FAMILY UNIT MEMBER) GRID

<table>
<thead>
<tr>
<th>OTHER FU MEMBER</th>
<th>RELATIONSHIP TO HEAD AND PERSON NUMBER</th>
<th>CURRENT AGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C</td>
<td></td>
<td></td>
</tr>
<tr>
<td>D</td>
<td></td>
<td></td>
</tr>
<tr>
<td>E</td>
<td></td>
<td></td>
</tr>
<tr>
<td>F</td>
<td></td>
<td></td>
</tr>
<tr>
<td>G</td>
<td></td>
<td></td>
</tr>
<tr>
<td>H</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G72. INTERVIEWER CHECKPOINT

SEE G71 GRID ABOVE--DELETE:

a) ALL PRELISTED PERSONS STILL 15 OR YOUNGER
b) PRELISTED OFUM WHO IS NOT HEAD/WIFE/"WIFE"

IS SOMEONE STILL LISTED?

YES □→TURN TO BLUE 1993 OFUM BOOKLET

NO □→NEXT PAGE, G90b
1993 Other FU Member Grid

The 1993 Other FU Member (OFUM) sequence seems to cause interviewers the MOST TROUBLE every year. We need this information for every eligible 1993 OFUM, including people no longer in the FU. We must contact the Respondent again if you have not obtained OFUM information for every eligible person who lived in the FU in 1993.

The preprinted 1993 OFUM labels, listing all known OFUMs who were 15 years old or older last year, are produced for every Reinterview and most Recontact FUs in which any such OFUMs were living last year or the last time we interviewed them. (1993 ages have been estimated for all prelisted Recontact FU members.) The 1993 OFUM label is stapled to page 2 of the coversheet. All you need to do is detach it, remove the backing, and stick it to the OFUM grid on page 89 of the questionnaire. Transfer the label as you begin the interview and immediately after completing page 4 of the coversheet. If no qualifying OFUMs were in the family last year or last time the FU was interviewed—that is, no one other than Head and Wife/Wife who would be 16 years of age or older this year—then we haven’t included a preprinted 1993 OFUM label. Recontact cases that are generated in the Field will not have preprinted 1993 OFUM labels. You will need to make these entries by hand.

During the interview, check the box at the top of page 89 and follow the instructions. If anyone age 16 or older moved into the FU before January 1, 1994, you will need to add such a person to the OFUM grid. You also need to enter the current age for all listed 1993 OFUMs.

Instances you may need to cross out a prelisted person from the OFUM label:

1) If any prelisted OFUM is still 15 or younger.
2) If any prelisted B’FRIEND has become Head, or any prelisted G’FRIEND has become Wife/Wife.

DO NOT CROSS OFF OFUMs IF THEY’VE MOVED OUT OR DIED—we want the 1993 income and jobs information for movers-out as well as for OFUMs who are still in the FU.

Blue Reinterview and Peach Recontact Coversheets Only

G64-72. Check the "BLUE OR PEACH COVERSHEET" box and answer G65-G69 and G72. You should add to the G71 grid, if you haven’t yet.

You will notice that the Relationship to Head is not printed on the preprinted 1993 OFUM labels for Recontact (peach) interviews. The reason for not printing them is that family compositions are likely to have changed substantially for the Recontact FUs since our last interviews with them. You need to transfer the Relationship to Head from the coversheet to the grid. Enter them in the parentheses provided next to the name. For Recontact interviews, you also need to add to the grid persons from item 25 of the coversheet who are at least 16 years old.

If there is more than one eligible OFUM listed in G71 turn to the BLUE 1993 OFUM Income and Work Booklet. Use one booklet for each additional OFUM.
G64. INTERVIEWER CHECKPOINT: SEE FU LISTING, P. 4 OF COVERSHEET

IVORY OR ROSE COVERSHEET

G65. DID SOMEONE MOVE IN OR OUT OR DIE?

YES NO → GO TO G72

REPEAT G66-G70 FOR EACH MOVER-IN, MOVER-OUT OR DIED

[LISTED AT ITEM 22 AND ITEM 23]:

G66. IS HE/SHE A PRELISTED HEAD OR WIFE/"WIFE" WHO MOVED OUT OR DIED?

NO YES → GO TO G71

G67. IS HE/SHE SOMEONE OTHER THAN THIS YEAR'S HEAD OR WIFE/"WIFE" WHO MOVED INTO THE FU?

YES NO → GO TO G70

G68. DID HE/SHE MOVE IN BEFORE JANUARY 1, 1994?

YES NO → GO TO G70

G69. IS HE/SHE PERSON 16 YEARS OLD OR OLDER?

NO YES → GO TO G71

G70. IF ROSE COVERSHEET, ADD TO GRID ANYONE LISTED AT ITEM 24 WHO IS 16 YEARS OR OLDER.

G71. ADD NAME TO THE GRID AND REPEAT G66-G70 FOR EACH ADDITIONAL MOVER-IN, MOVER-OUT OR PERSON WHO DIED.

1993 OFUM (OTHER FAMILY UNIT MEMBER) GRID

<table>
<thead>
<tr>
<th>OTHER FU MEMBER</th>
<th>RELATIONSHIP TO HEAD AND PERSON NUMBER</th>
<th>CURRENT AGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C</td>
<td></td>
<td></td>
</tr>
<tr>
<td>D</td>
<td></td>
<td></td>
</tr>
<tr>
<td>E</td>
<td></td>
<td></td>
</tr>
<tr>
<td>F</td>
<td></td>
<td></td>
</tr>
<tr>
<td>G</td>
<td></td>
<td></td>
</tr>
<tr>
<td>H</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G72. INTERVIEWER CHECKPOINT

SEE G71 GRID ABOVE—DELETE:

a) ALL PRELISTED PERSONS STILL 15 OR YOUNGER
b) PRELISTED OFUM WHO IS NOT HEAD/WIFE/"WIFE" NOW

IS SOMEONE STILL LISTED?

YES → TURN TO BLUE 1993 OFUM BOOKLET

NO → NEXT PAGE, G90b
Tan Splitoff Coversheets Only

G64-72. No preprinted 1993 OFUM labels exist since the household didn't exist. During the interview, you must add to the G71 grid all FU Members age 16 or older except the current Head and Wife/"Wife." We want information on these FU Members even if they were not living together during 1993. Be very careful about "Wives" vs. girlfriends here. Very seldom have a Head and girlfriend been living together long enough (a year or more) for us to move her in as a "WIFE" on one of these kinds of coversheets. Almost always, she is a G'FRIEND and should be entered in the grid for information on when a G'FRIEND becomes a "WIFE".

Use the OFUM Income and Work Booklet if there is more than one eligible OFUM in the FU.
G73. RELATIONSHIP TO HEAD ________________ NAME ________________________ AGE __

G74. INTERVIEWER CHECKPOINT

☐ A. THIS PERSON IS DECEASED  ☐ B. THIS PERSON IS NOT DECEASED

G75. Next we would like to know a little about (INDIVIDUAL). Is (he/she) working now, looking for work, retired, a student, keeping house, or what? [CHECK ALL THAT APPLY.]

1. WORKING NOW  2. ONLY TEMPORARILY LAID OFF  3. LOOKING FOR WORK, UNEMPLOYED  4. RETIRED

5. PERMANENTLY DISABLED  6. KEEPING HOUSE  7. STUDENT

8. OTHER (SPECIFY):

G76. During 1993, how many full-time or part-time jobs did (he/she) have (not counting work around the house)?

1. ONLY ONE JOB  2. TWO JOBS  3. THREE JOBS  4. FOUR JOBS  5. DIDN'T HAVE A JOB

NEXT PAGE, G83

G77. What kind of work did (he/she) usually do? What was (his/her) occupation?

G78. About how much money did (he/she) earn from that job last year? $ ________ IN 1993

G79. About how many weeks did (he/she) work on that job last year?

WEEKS IN 1993

G80. During which months was that?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G81. During the weeks that (he/she) worked, about how many hours did (he/she) usually work per week? ________ HOURS PER WEEK IN 1993

G82. INTERVIEWER CHECKPOINT

SEE G76 ABOVE--NUMBER OF JOBS

"ONLY ONE JOB" CHECKED  ALL OTHERS

NEXT PAGE, G83  ASK G77-G81 ABOUT EACH ADDITIONAL JOB
Note: IGNORE THE INSTRUCTION ON THE FIRST LINE OF THIS PAGE ABOUT 1993 OTHER FU MEMBER LETTER FROM B71 GRID

Regarding "1993 Other FU Member" information: if R really doesn't know and the 1993 Other FU Member's income is significant, try to get this information from the Other FU Member him/herself, or from someone else in the FU. But don't hold up interviews because of spotty 1993 Other FU Member information. Try to get the information within a few days of the rest of the interview.

G75. Unlike the Head/Wife/"Wife" employment status questions B1 and D1a, you may check as many as apply to the OFUM's current situation. See B1 Q-x-Qs, however, for definitions of employment status.)

G76-82. If this person's employment was irregular, try to get as much information as you can about each job in 1993. We're after total hours (weeks x hours per week) and total amount earned.

G77. We use occupation to help us assign missing income data; be as specific as possible. Once again, record answers verbatim in Spanish and translate into English at post-interview edit.

G78. List total income from each job here. If R gives you a per month or per week rate, record the unit of time and number of units.

G79. This figure should be the number of weeks in which any work was done. See instructions for B78.

G81. If employment was irregular and R can't give hours per week, try to get an estimate of the total number of hours worked in 1993 at that job. See instructions for B79.
G83. Did (he/she) have any (other) income, such as pensions, welfare, interest, gifts, or anything else, last year?

1. YES  5. NO  → TURN TO P. 5, G87a

G84. What was that from?  [CHECK ALL THAT APPLY AND ASK G84 QUESTIONS FOR EACH BOX CHECKED.]

1. INTEREST → G84a. How much was from interest? $ ____________________ PER:

1. WEEK  2. 2 WEEKS  3. MONTH  4. YEAR  5. OTHER (SPECIFY):

G84aa. During which months of 1993 did (he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

2. ADC/AFDC → G84b. How much was from ADC, AFDC? $ ____________________ PER:

1. WEEK  2. 2 WEEKS  3. MONTH  4. YEAR  5. OTHER (SPECIFY):

G84bb. During which months of 1993 did (he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

3. SSI → G84c. How much was from SSI?

$ ____________________ PER:

1. WEEK  2. 2 WEEKS  3. MONTH  4. YEAR  5. OTHER (SPECIFY):

G84cc. During which months of 1993 did (he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

4. WELFARE → G84d. How much was from welfare?

$ ____________________ PER:

1. WEEK  2. 2 WEEKS  3. MONTH  4. YEAR  5. OTHER (SPECIFY):

G84dd. During which months of 1993 did (he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC
G83-87. "Income" in this sequence refers to non-labor income; all income from work should be included at G78, not here.

Retired or disabled adults may have income from pension plans or Supplemental Security Income (SSI). If they received Social Security and you recorded it back in G31-G35, do not record it again. But, if you missed this Social Security, collect it here.

If R mentions "welfare," ask whether the welfare is ADC, AFDC, or some other kind. GET THE EXPLICIT SOURCE WHenever POSSIBLE.

Income from interest, dividends, and trust funds should also be included here.
G87a. INTERVIEWER CHECKPOINT

IF THIS OFUM IS UNDER AGE 50

ALL OTHERS

GO TO G90

G88. When did (he/she) last attend school?

1993

1994

OTHER YEAR:

97. BEFORE 1993, DK EXACT YEAR

98. DK YEAR AT ALL

MONTH

GO TO G90

G89. Was (he/she) enrolled as a full-time or part-time student?

1. FULL-TIME

2. PART-TIME

G90. What is the highest grade or year of school that (he/she) has completed?

00. NONE

01. FIRST GRADE

02. SECOND GRADE

03. THIRD GRADE

04. FOURTH GRADE

05. FIFTH GRADE

06. SIXTH GRADE

07. SEVENTH GRADE

08. EIGHTH GRADE

09. NINTH GRADE

10. TENTH GRADE

11. ELEVENTH GRADE

12. TWELFTH GRADE, "HIGH SCHOOL", GED

13. ONE YEAR COLLEGE

14. TWO YEARS COLLEGE

15. THREE YEARS COLLEGE

16. GRADUATED, BACHELOR'S DEGREE

17. AT LEAST ONE YEAR POSTGRADUATE, OR MORE

G90a. INTERVIEWER CHECKPOINT

SEE G71 GRID, (P. 89 OF QUESTIONNAIRE)

A. ANY ADDITIONAL 1993 OFUMS LISTED IN G71 GRID—>TURN TO ANOTHER BLUE 1993 OFUM INCOME AND WORK BOOKLET

B. ALL OTHERS—>TURN TO P. 90 OF QUESTIONNAIRE, G90b
G88-89. These questions about OFUM's education should be asked for each 1993 Other FU Member age 16 to 50.

G90. Also asked about every 1993 OFUM.

Complete 1 OFUM BOOKLET for each eligible OFUM.
G90b. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

OFUMS IN FU IN 1993:

<table>
<thead>
<tr>
<th>A. ARE NOW AGE 15 OR YOUNGER</th>
<th>B. ALL OTHERS</th>
</tr>
</thead>
<tbody>
<tr>
<td>TURN TO P. 92, G99</td>
<td></td>
</tr>
</tbody>
</table>

C. NO OFUMS IN FU

G90c. INTERVIEWER: LIST ALL QUALIFIED OFUMS BELOW:

<table>
<thead>
<tr>
<th>NAME</th>
<th>RELATIONSHIP TO HEAD</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>NAME</th>
<th>RELATIONSHIP TO HEAD</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>NAME</th>
<th>RELATIONSHIP TO HEAD</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G90d. [ASK ABOUT EACH PERSON LISTED AT G90c] Now the younger member(s) of your family, (RELATIONSHIPS TO HEAD). Did (NAME) have any income in 1993?

YES

NO —> NEXT PAGE, G98

G92. Now, we would like to ask [NAME'S'] income. What was that from? [CHECK ALL THAT APPLY AND ASK G94 (AND G95-G97) QUESTIONS FOR EACH BOX CHECKED]

1. WORK —> G94a. How much was from work? $ __________________ PER:

<table>
<thead>
<tr>
<th>1. WEEK</th>
<th>2. 2 WEEKS</th>
<th>3. MONTH</th>
<th>4. 3 MONTHS</th>
<th>5. 6 MONTHS</th>
<th>6. YEAR</th>
<th>7. OTHER (SPECIFY):</th>
</tr>
</thead>
</table>

G94aa. During which months of 1993 did (he/she) get this income?

ALL OR JAN | FEB | MAR | APR | MAY | JUNE | JULY | AUG | SEPT | OCT | NOV | DEC

G95. What was (his/her) occupation? What kind of work did (he/she) do?

---------------

G96. About how many weeks did (he/she) work on that job last year?

---------------

WEEKS IN 1993

G97. During the weeks that (he/she) worked, about how many hours did (he/she) usually work per week?

--------------- HOURS PER WEEK IN 1993

2. INTEREST —> G94b. How much was from interest? $ __________________ PER:

<table>
<thead>
<tr>
<th>1. WEEK</th>
<th>2. 2 WEEKS</th>
<th>3. MONTH</th>
<th>4. 3 MONTHS</th>
<th>5. 6 MONTHS</th>
<th>6. YEAR</th>
<th>7. OTHER (SPECIFY):</th>
</tr>
</thead>
</table>

G94bb. During which months of 1993 did (he/she) get this income?

ALL OR JAN | FEB | MAR | APR | MAY | JUNE | JULY | AUG | SEPT | OCT | NOV | DEC
G92-98. Note these questions are only about children who are now 15 and younger, and who lived in the FU in 1993. This section is important for gathering information about any child who might have received income. Sometimes children make money from odd jobs, a trust fund, a paper route, babysitting, etc. Please provide detail for each amount.

G90b. First, check the family listing on p. 4 of the coversheet to determine whether any OFUMS were in the FU in 1993. If "NO OFUMS IN FU", turn to p.92. If there were "OFUMS IN FU IN 1993", determine whether any of them "ARE NOW AGE 15 OR YOUNGER". Note that young children living in the family since last year and those who have moved out are meant to be included here.

If you are doing an interview with a Splitoff Interview (Tan Coversheet) or a Recontact Interview (Rose Core Coversheet), remember that they are considered to be a Family Unit for all of 1993, regardless of when they actually moved in together. Thus, all OFUMS in these Fus are treated as being in the FU for all of 1993.

G92-G94cc See instructions for G92-G94ff on p.173.
3. SSI

G94c. How much was from SSI? $ ____________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. 3 MONTHS 5. 6 MONTHS 6. YEAR 7. OTHER (SPECIFY):

G94cc. During which months of 1993 did (he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

4. WELFARE

G94d. How much was from welfare? $ ____________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. 3 MONTHS 5. 6 MONTHS 6. YEAR 7. OTHER (SPECIFY):

G94dd. During which months of 1993 did (he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

5. SOCIAL SECURITY

G94e. Was that disability, retirement, survivor's benefits or what?

1. DISABILITY 2. RETIREMENT 3. SURVIVOR'S BENEFITS 5. DEPENDENT OF DISABLED RECIPIENT

6. DEPENDENT OF RETIRED RECIPIENT

7. OTHER (SPECIFY):

G94ee. How much was it?

$ ____________ PER: 1. MONTH 4. YEAR

G94eee. During which months of 1993 did (he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

6. OTHER (SPECIFY):

G94f. How much was from anything else? $ ____________ PER:

1. WEEK 2. 2 WEEKS 3. MONTH 4. 3 MONTHS 5. 6 MONTHS 6. YEAR 7. OTHER (SPECIFY):

G94ff. During which months of 1993 did (he/she) get this income?

ALL OR JAN FEB MAR APR MAY JUNE JULY AUG SEPT OCT NOV DEC

G98. INTERVIEWER CHECKPOINT

SEE G90c, (P. 90)

ANY MORE PERSONS LISTED AT G90c TURN TO CHERRY YOUNGER OFUM INCOME AND WORK SUPPLEMENT

ALL OTHERS NEXT PAGE, G99
G92-94ff. We need enough detail to calculate total amount of each type of income and total work hours for each labor income.

If a child has money in savings, be sure R is giving you only the interest earned. If R says "son has $600 in savings", probe "And how much of that is interest earned in 1993?"

Be careful not to double count income Head or Wife/"Wife" already reported receiving for the child, such as:

a. Child support, ADC/AFDC, etc. belong in Head/Wife/"Wife" income (whoever received it).

b. Social Security should be in G31-G35, listed under the parent of these dependent children.

c. Supplemental Security Income (SSI) can be received by these dependent OFUMS, regardless of age. Check who the actual recipient is. If it is the child, enter it here and not under Head's/Wife's/"Wife's".
G99. Did you (or anyone else in your family living there) get any other money in 1993—like a big settlement from an insurance company, or an inheritance?

1. YES 5. NO → GO TO G102

G100. How much did that amount to? $________________________ IN 1993

G101. How much of that was an inheritance? $________________________ IN 1993

G102. Some people have expenses they can itemize and deduct on their income tax. Did you itemize deductions on your 1993 federal income tax, such as property taxes, interest payments, and charitable contributions?

1. YES 5. NO → 5. DID/WILL NOT FILE (VOL)

G103. In 1993, did you give any money toward the support of anyone who was not living with you at the time?

1. YES 5. NO → NEXT PAGE, G114a

G104. How many people was that? ________________

G105. Who was the (first/next) person? ___________________________ RELATIONSHIP TO HEAD

G106. How much money was that altogether in 1993? $________________________ IN 1993

G107. Was any of that child support?

1. YES 5. NO → GO TO G109

G108. How much did that child support amount to in 1993? $________________________ IN 1993

G109. Was any of the money you gave in 1993 alimony?

1. YES 5. NO → GO TO G111

G110. How much did that alimony amount to in 1993? $________________________ IN 1993

G111. INTERVIEWER CHECKPOINT

SEE G104 ABOVE—NUMBER OF PERSONS SUPPORTED

☐ 2 OR MORE PERSONS ☐ ONLY 1 PERSON

G112. Were any of those people dependent on you for more than half of their total support?

1. YES 5. NO → NEXT PAGE, G114a

G113. How many people was that? ____________________________

G114. Was that person dependent on you for more than half of (his/her) total support?

1. YES 5. NO → NEXT PAGE, G114a
G99-100. This applies to anyone in the FU, and any kind of money not already covered. If you are not sure whether a sum belongs here, please specify the source in the margin. (Example: R made $10,000 profit from selling his cottage.)

G101. If the G100 amount includes an inheritance, we want to know the separate amount.

G102. There are two ways of deducting expenses for federal income tax.

1) the standard deduction is an amount allowed taxpayers automatically for expenses. Those who file forms 1040EZ or 1040A use the standard deduction.

2) itemized deductions are used when the taxpayer’s actual amounts exceed the standard deduction amount and they want to take advantage of this. People buying their own homes, for example, would itemize interest and property taxes which are usually greater than the standard deduction.

G103-104. We are primarily interested in support given by Head/Wife/"Wife", but "you" can mean anyone in the FU. The RELATIONSHIP TO HEAD of the persons who received the support will enable us to sort it out. The support must be given in 1993 by anyone in the FU to anyone not in the FU at the time. Examples are: money to grown children away at college, child support for children from a previous marriage, or money to help parents or other relatives not living in the FU.

G105. Regardless of who R is or who in the FU gave the support, we want the RELATIONSHIP TO HEAD of each person who received the money. For example, if an OFUM son gave money to his grandfather (i.e., Head’s father), the relationship to Head would be entered as "FATHER".

G106. We want the total of all support given by all FU members in 1993.

G107-110. We want to know whether any of the money given to support a child was child support or whether any support given to an ex-spouse was alimony. We are looking for court-awarded amounts, and please get separate amounts if possible.

G112-114. This information is used in our tax calculation program, so we mean "dependent on you for more than half of their total support" in the same way that the IRS does. In other words, would they be able to claim these persons as dependents on their 1993 income tax return? Again, we are primarily interested in support by Head/Wife/"Wife", but if another FU member provided more than half of the support of these dependents, please indicate which OFUM that was (use person number) and how many of the dependents in G112-G114 depend on their support.
G114a. INTERVIEWER CHECKPOINT

SEE ADDRESS LABEL, (P. 1 OF COVERSHEET) -- WHETHER CORE OR LATINO SAMPLE

- **CORE SAMPLE ID IS 6900-001 OR LOWER**
- **LATINO SAMPLE ID IS 7001-001 OR HIGHER**

TURN TO P. 106, SECTION H

G115. The next questions I will be asking are designed to give estimates of the wealth of families in the United States and how this has changed in the last five years. We start with a rough measure of savings and assets you may have other than your main home. Do you (or your family living there) have any real estate other than your main home, such as a second home, land, rental real estate, or money owed to you on a land contract?

1. **YES**
2. **NO**

G116. If you sold all that and paid off any debts on it, how much would you realize on it?

$ ______ 

GO TO G120

9999998. **DON'T KNOW**

9999997. **REFUSED**

G117. Would it amount to $50,000 or more?

1. **YES**
2. **NO**
3. **DON'T KNOW**
4. **REFUSE**

G118. $150,000 or more?

1. **YES**
2. **NO**
3. **DK**

G119. $5,000 or more?

1. **YES**
2. **NO**
3. **DK**

G120. What about the value of what you (or anyone in your family living there) own on wheels, like cars, trucks, a motor home, a trailer, or a boat--what are they worth all together, minus anything you still owe on them?

$ ______

GO TO G120

9999998. **DON'T KNOW**

9999997. **REFUSED**

G121. Would they amount to $10,000 or more?

1. **YES**
2. **NO**
3. **DON'T KNOW**
4. **REFUSED**

G122. $25,000 or more?

1. **YES**
2. **NO**
3. **DK**

G123. $2,000 or more?

1. **YES**
2. **NO**
3. **DK**
G115-150. This series aims at an estimate of the FU's wealth, what's commonly called net worth. It is simply the value of all their assets minus all their debts. Many of these questions will not apply to most of our FUs, but you must carefully guide them through all the leads and checkpoints to ensure that we don't miss anything. Those who do have such assets and debts usually know so, but may not know the exact amounts. Thus, we have provided sequences like G117-119 to help R with a "ballpark estimate", but we would prefer their best guess.

G115. We are asking about real estate other than the FU's main home. We have already asked about the main home in Section A, and G124-128 will ask about working farms and businesses. Include second homes, time shares, rental property, land contracts that the FU holds (i.e., money owed to FU), etc. A house trailer or other home on wheels should go in G120-123 below, not here; but if the FU owns the land it sits on, the land value does go here.

G116. For these and other assets we want the "net equity" (i.e., the value of the asset minus any debt owed on it). By "realize on it", we mean how much they would make or lose on the sale after paying off what they owed on it. If R says it would be a loss, be sure to write the word "loss" in the margin near the amount.

G117-119. If R answered DON'T KNOW or REFUSED at G116, this series attempts a ballpark estimate. Encourage Rs who said DON'T KNOW to answer these; encourage Rs who REFUSED until you feel a definite refusal.

G120. We want the net value of the FU's vehicles, Rvs, boats, etc. Remember, house trailers are included here but not the land they sit on. That belongs at G115-119 above. If the FU has no such vehicles or if R estimates they are worth nothing, enter "no vehicles" or "worth nothing" respectively. If R feels they owe more on the vehicles than they are worth, get an estimate of the "loss" and enter it at G120.

G121-123. See G117-119 instructions above.
G124. Do you (or anyone in your family living there) own part or all of a farm or business?

1. YES

5. NO → GO TO G129

G125. If you sold all that and paid off any debts on it, how much would you realize on it?

$_________ 9999998. DON'T KNOW 9999997. REFUSED

GO TO G129

G126. Would it amount to $50,000 or more?

1. YES

5. NO 8. DON'T KNOW 9. REFUSED

GO TO G129

G127. $200,000 or more?

1. YES

5. NO 8. DK

G128. $10,000 or more?

1. YES

5. NO 8. DK

GO TO G129

G129. Do you (or anyone in your family living there) have any shares of stock in publicly held corporations, mutual funds, or investment trusts, including stocks in IRA's?

1. YES

5. NO 8. DK

NEXT PAGE, G135

G130. If you sold all that and paid off anything you owed on it, how much would you have?

$_________ 9999998. DON'T KNOW 9999997. REFUSED

NEXT PAGE, G135

G131. Would it amount to $25,000 or more?

1. YES

5. NO 8. DON'T KNOW 9. REFUSED

NEXT PAGE, G135

G132. $50,000 or more?

1. YES

5. NO 8. DK

G134. $5,000 or more?

1. YES

5. NO 8. DK

G133. $100,000 or more?

1. YES

5. NO 8. DK

NEXT PAGE, G135
G124. Include the value of all farms and businesses of all FU members. Be careful with "family-owned" farms and businesses. We want the value of the part(s) owned by FU members only. Do not include owning stock in publicly-held corporations, that goes in G129-134 below.

G125. Again, we want net value of the farm/business including property, inventory, equipment, etc. after mortgages and other debts on them have been subtracted.

G126-128. See G117-119 instructions above.

G129. Here we lump together several kinds of stocks that the FU might have. Note that stocks purchased through mutual fund and IRA-type accounts are accepted here; but money market funds, Treasury bills (T-bills), etc. should be recorded at G135-140.

G130. Sometimes stocks are bought "on margin" with borrowed money. Be sure this amount is the net after any such loans are repaid.

G131-134. This is another series to help Rs who DON'T KNOW or REFUSED to give a rough estimate. We would prefer R's best guess to answering this series. Again, encourage Rs who DON'T KNOW to answer these; encourage Rs who REFUSED until you sense a definite refusal.
G135. Do you (or anyone in your family living there) have any money in checking or savings accounts, money market funds, certificates of deposit, government savings bonds, or Treasury bills, including IRA's?

1. **YES**
2. **NO** → GO TO G141

G136. If you added up all such accounts (for all of your family living there), about how much would they amount to right now?

<table>
<thead>
<tr>
<th>$ _____</th>
<th>9999998. DON'T KNOW</th>
<th>9999997. REFUSED</th>
</tr>
</thead>
<tbody>
<tr>
<td>GO TO G141</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G137. Would they amount to $5,000 or more?

<table>
<thead>
<tr>
<th>1. <strong>YES</strong></th>
<th>5. <strong>NO</strong></th>
<th>8. <strong>DK</strong></th>
<th>9. <strong>REFUSED</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>GO TO G141</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G138. $10,000 or more?

<table>
<thead>
<tr>
<th>1. <strong>YES</strong></th>
<th>5. <strong>NO</strong></th>
<th>8. <strong>DK</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>NEXT PAGE, G141</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G139. $50,000 or more?

<table>
<thead>
<tr>
<th>1. <strong>YES</strong></th>
<th>5. <strong>NO</strong></th>
<th>8. <strong>DK</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEXT PAGE, G141</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G141. Do you (or anyone in your family living there) have any other savings or assets, such as bond funds, cash value in a life insurance policy, a valuable collection for investment purposes, or rights in a trust or estate that you haven’t already told us about?

1. **YES**
2. **NO** → NEXT PAGE, G146

G142. If you sold that and paid off any debts on it, how much would you have?

<table>
<thead>
<tr>
<th>$ _____</th>
<th>9999998. DON'T KNOW</th>
<th>9999997. REFUSED</th>
</tr>
</thead>
<tbody>
<tr>
<td>NEXT PAGE, G146</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G143. Would it amount to $10,000 or more?

1. **YES**
2. **NO**
3. **DK**
4. **REFUSED**

G144. $25,000 or more?

<table>
<thead>
<tr>
<th>1. <strong>YES</strong></th>
<th>5. <strong>NO</strong></th>
<th>8. <strong>DK</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>NEXT PAGE, G146</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

G145. $2,000 or more?

<table>
<thead>
<tr>
<th>1. <strong>YES</strong></th>
<th>5. <strong>NO</strong></th>
<th>8. <strong>DK</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>NEXT PAGE, G146</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
These savings instruments are usually associated with a bank and are fairly accessible, or "liquid". Included here are regular checking and savings accounts, money market funds, CDs, government bonds, IRAs that do not purchase stocks, etc.

We want the total of all such assets belonging to all FU members. If R does not add them up, just list them in the margin.

See G131-134 instructions above.

This should pick up any savings instruments we didn’t cover and other assets the FU might have. Bond funds are like mutual funds except they purchase bonds instead of stocks.

The "cash value" of an insurance policy is the amount that the owner of the policy would get if he/she were to cancel or "cash in" the policy. It is not the amount that the beneficiary would get if the insured person died. That is called the "face amount" or "death benefit". Most "term" type life insurance policies have no cash value. Most other types do, and the cash value grows with the age of the policy.

We mean valuable collections held as investments, not collections with only sentimental value to the owner. Include such things as antiques, art, gold coins, etc.

Sometimes people borrow the money to buy such assets, betting that the value will increase more than the interest they will have to pay on the loan. Be sure we get the net value of these assets.

See G131-134 instructions above.
G146. Aside from the debts that we have already talked about, do you (or anyone in your family living there) currently have any other debts besides any mortgage on your main home—such as for credit card charges, student loans, medical or legal bills, or on loans from relatives?

1. YES

G147. If you added up all of these debts (for all of your family living there), about how much would they amount to right now?

$ 

GO TO G153

9999998. DON'T KNOW

9999997. REFUSED

G148. Would they amount to $2,000 or more?

1. YES

G149. $5,000 or more?

1. YES

G150. $1,000 or more?

1. YES

G151. Since January 1989, did you (or your family living with you) put aside money in any private annuities?

1. YES

G154. How much did that amount to?

$ 

NEXT PAGE, G158

9999998. DON'T KNOW

9999997. REFUSED

G155. Was it $10,000 or more?

1. YES

G156. $50,000 or more?

1. YES

G157. $5,000 or more?

1. YES

NEXT PAGE, G158
G146. Here we are looking for debts other than the mortgages or loans mentioned on the above asset questions. This includes the usual credit card charges, student or personal loans, etc. Include one-time major expenses that people pay off over time, such as medical or legal bills, weddings, funerals, orthodontia, etc.

G147. Total all amounts for all FU members.

G148-150. See G131-134 instructions above.

G153-237. The preceding asset questions were asked in 1989. In order to compare that information with current estimates, we have to know three things. One is the amount of change that is due to capital gains and losses and interest rates. Second is change due to the FU's saving and consuming behavior. Third is change due to people moving in and out of the FU. This next series is designed to help us measure these. We have perhaps repeated the phrase "since 1989" too often in this sequence. However, it is very important that R remember the frame of reference is "in the last five years".

G153. We are talking about private annuities here, not employer pensions or IRAs. IRAs defer income tax on both the amounts you put in and the interest accumulations, but limit annual contributions. A private annuity allows you to set aside funds which accumulate tax-deferred interest. It then pays a lifetime retirement income of which only the interest portion is taxable.

G154. Total amount put into private annuities by all FU members.

G158. Since January 1989, did you (or anyone in your family living there) cash in any part of a pension or annuity?

1. YES  
8. DK

GO TO G163a

G159. How much did that amount to?

$__________  

9999998. DON'T KNOW  
9999997. REFUSED

GO TO G163a

G160. Was it $10,000 or more?

1. YES  
5. NO  
8. DON'T KNOW  
9. REFUSED

GO TO G163a

G161. $50,000 or more?  
G163. $5,000 or more?

1. YES  
5. NO  
8. DK

GO TO G163a

G162. $100,000 or more?

1. YES  
5. NO  
8. DK

GO TO G163a

G163a. Since January 1989, did you (or anyone in your family living there) sell any home you were using as your main dwelling?

1. YES  
5. NO

NEXT PAGE, G164

G163b. About how much was the selling price, net of any commissions? [IF R SOLD MORE THAN ONE MAIN HOME ASK ABOUT THE ONE OWNED IN JANUARY, 1989.]

$__________  

9999998. DON'T KNOW  
9999997. REFUSED

NEXT PAGE, G164

G163c. Was it $60,000 or more?

1. YES  
5. NO  
8. DON'T KNOW  
9. REFUSED

NEXT PAGE, G164

G163d. $120,000 or more?  
G163e. $30,000 or more?

1. YES  
5. NO  
8. DK

NEXT PAGE, G164
G158-159. These items include both employer pensions and private annuities. Some pensions can be converted to cash when an employee leaves the company. We don't want to count any increase in the family's wealth as savings when the money actually came from cashing in a pension or annuity.

G160-163. See G131-134 instructions above.

G163a-206 attempt to get a measure of active investment behavior.

G163a-b. We want to know the net value of the main house R sold since January 1, 1989. If R sold more than one main home, ask about the one owned in January 1989.

G163c-e. See instructions for G131-134.
G164. Since January 1989, did you (or anyone in your family living there) buy any real estate other than your main home, such as a vacation home, land, or rental property?

1. YES
5. NO → GO TO G169

G165. Altogether, how much money did you (or anyone in your family living there) put into that?

$ _____

GO TO G169

9999998. DON'T KNOW
9999997. REFUSED

G166. Was it $60,000 or more?

1. YES
5. NO
8. DON'T KNOW
9. REFUSED

NEXT PAGE, G169

G167. $120,000 or more?

1. YES
5. NO
8. DK

GO TO G169

G168. $30,000 or more?

1. YES
5. NO
8. DK

G169. Since January 1989, did you (or anyone in your family living there) sell any real estate other than your main home, such as a vacation home, land, or rental property?

1. YES
5. NO → NEXT PAGE, G174

G170. Altogether, how much money did you (or anyone in your family living there) get from that?

$ _____

NEXT PAGE, G174

9999998. DON'T KNOW
9999997. REFUSED

G171. Was it $60,000 or more?

1. YES
5. NO
8. DON'T KNOW
9. REFUSED

NEXT PAGE, G174

G172. $120,000 or more?

1. YES
5. NO
8. DK

G173. $30,000 or more?

1. YES
5. NO
8. DK
G164-165. Use the G115 QxQs for what to include here (i.e., real estate other than FU's main home). Although the questions here don't say so, we do want net values here, not the purchase price, just as at G115. The amount put into the real estate should include all costs of buying minus any amount mortgaged on this property at the time of purchase. So the R could have borrowed money for the down payment, and we would want that amount included, as long as this piece of real estate was not used as collateral for the loan.

If R gives an amount here that is substantially larger than the amount mentioned at G115-116, you will need to probe and make marginal notes. Be sure that R does not include money spent on additions and repairs in this amount. We want the net cost of all such properties the FU bought in the last 5 years. Remember, do not include farms or businesses here.

G166-168. See instructions for G131-134.

G169-170. This is the same as G164-165 except that the FU was the seller of the properties and received the money. This is the net amount—the total of the selling prices of all real estate (excluding farms and businesses) the FU sold in the last 5 years, minus any outstanding mortgage debt remaining on the property, and minus anything spent for improvements.

G171-173. See instructions for G131-134.
G174. Since January 1989, did you (or your family living there) make additions or improvements totaling $10,000 or more to any homes or other real estate (you/any of you) owned? Do not count general maintenance or upkeep.

1. YES 5. NO  → GO TO G178

G175. What was the total dollar cost of the additions or improvements, plus the value of any work you may have done yourself?

$ ________ 9999998. DON'T KNOW 9999997. REFUSED  

GO TO G178

G176. Was it $25,000 or more?

1. YES 5. NO 8. DON'T KNOW 9. REFUSED  

GO TO G178

G177. $75,000 or more?

1. YES 5. NO 8. DK  

GO TO G178

G178. Since January 1989, did you (or anyone in your family living there) put money into a business or farm?

1. YES 5. NO  → NEXT PAGE, G183

G179. Altogether, how much money did you (or anyone in your family living there) put into that?

$ ________ 9999998. DON'T KNOW 9999997. REFUSED  

NEXT PAGE, G183

G180. Was it $25,000 or more?

1. YES 5. NO 8. DON'T KNOW 9. REFUSED  

NEXT PAGE, G183

G181. $100,000 or more?  

G182. $10,000 or more?

1. YES 5. NO 8. DK 1. YES 5. NO 8. DK  

NEXT PAGE, G183
G174-175. We want only major additions or improvements to any real estate the FU owns, including the main home in Section A. The money spent on improvements minus any debt on the property must total $10,000 or more and should include the value of any work done by FU members. We are trying to separate changes in property value due to capital gains (real estate market) from those due to added investment (FU behavior). Emphasize that general upkeep and maintenance are not included.

G176-177. See instructions for G131-134.

G178-179. As with the real estate properties, we are looking for investment since 1989 in these assets. We want the net amount FU members put into farms or businesses. It could include an initial investment during the last 5 years. There is no minimum amount here. Include profits put back into a farm/business. Do not include employer pension or profit sharing amounts that were automatically reinvested into the company. Also, do not include investment or reinvestment of dividends in stocks of publicly-held corporations. As in G164-165, any lien or mortgage using the business as collateral is not included in the amount at G179.

G180-182. See instructions for G131-134.
G183. Since January 1989, did you (or anyone in your family living there) sell part or all of your interest in a business or farm?

1. YES

5. NO → GO TO G188

G184. Altogether, how much money did you (or anyone in your family living there) get from that?

$ ________________ __

GO TO G188

G185. Was it $25,000 or more?

1. YES

5. NO

8. DON'T KNOW

9. REFUSED

G186. $100,000 or more?  

1. YES

5. NO

8. DK

GO TO G188

G187. $10,000 or more?

1. YES

5. NO

8. DK

GO TO G188

G188. Since January 1989, did you (or anyone in your family living there) buy any shares of stock in publicly held corporations, mutual funds, or investment trusts, including any automatic reinvestments or stocks in IRAs?

1. YES

5. NO → TURN TO P. 102, G201

G189. Did you (or anyone in your family living there) also sell any such assets?

1. YES

5. NO → NEXT PAGE, G196

NEXT PAGE, G190
G183-184. Again, we want the money received by FU members from the sale of any interest in a farm or business minus any debt outstanding at the time of sale that used the farm/business as collateral, as in G169-170. The money must come from outside the FU to an FU member. Do not include transfers from one FU member to another, and do not include sale of stock in publicly-held corporations.

G185-187. See instructions for G131-134.
G190. Did you buy more or sell more—that is, on balance, did you put money into stocks, mutual funds, or investment trusts, take money out of them, or put about as much in as you took out?

1. PUT MONEY IN
2. TOOK MONEY OUT
3. PUT ABOUT AS MUCH IN AS TOOK OUT

G191. About how much money did you (or anyone in your family living there) (put in/take out)?

$______________________________

NEXT PAGE, G207

9999998. DON'T KNOW
9999997. REFUSED

G192. Was it $20,000 or more?

1. YES
2. NO
3. DON'T KNOW
4. REFUSED

G193. $50,000 or more?

1. YES
2. NO
3. DK

NEXT PAGE, G207

G194. $100,000 or more?

1. YES
2. NO
3. DK

NEXT PAGE, G207

G196. Altogether, how much money did you (or anyone in your family living there) put in?

$______________________________

NEXT PAGE, G207

9999998. DON'T KNOW
9999997. REFUSED

G197. Was it $20,000 or more?

1. YES
2. NO
3. DON'T KNOW
4. REFUSED

G198. $50,000 or more?

1. YES
2. NO
3. DK

NEXT PAGE, G207

G199. $100,000 or more?

1. YES
2. NO
3. DK

NEXT PAGE, G207
We are trying to separate the total change in the value of the FU’s stock into that which was caused by the FU’s investment behavior (buying or selling stock), and that which was caused by market behavior (capital gains and losses). Be sure that any amounts given here exclude any loans the FU may have had that used the stocks as collateral.

Those who either bought or sold, but not both, in the last 5 years are skipped out to questions that ask for the total bought or sold.

Active traders, however, will probably not recall 5 years of transactions. Thus, we ask them whether they bought more, sold more, or bought and sold about the same. If they bought more or sold more, we ask how much.

Mutual funds simply invest and manage the collective monies of many investors in a portfolio of stocks and bonds. The investors buy shares in the mutual fund itself instead of the separate stocks and get the benefits of professional management and the investment power of large sums of money. Treat these the same as shares of stock; if the FU bought more or sold more on balance, continue with G191-195.

See instructions for G131-134.

This sequence is for FU’s which bought stock, but did not sell any, in the last 5 years. See G188-195 instructions above.
G201. Since January 1989 did you (or anyone in your family living there) sell any shares of stock in publicly held corporations, mutual funds, or investment trusts, including any automatic reinvestments or stocks in IRAs?

1. YES  5. NO → GO TO G207

G202. Altogether, how much money did you (or anyone in your family living there) get from that?

$_________

GO TO G207

G203. Was it $20,000 or more?

1. YES  5. NO  8. DON'T KNOW  9. REFUSED

G204. $50,000 or more?

1. YES  5. NO  8. DK

GO TO G207

G205. $100,000 or more?

1. YES  5. NO  8. DK

GO TO G207

G207. Sometimes changes in a family’s savings or assets are due to people joining or leaving the family. Was there anyone living with you in January 1989 who doesn’t live with you now and who took $5,000 or more in assets or debts away with them?

1. YES  5. NO → NEXT PAGE, G217

G208. Altogether, what is the total dollar value of assets that were removed that way?

$_________

NEXT PAGE, G213

G209. Was it $10,000 or more?

1. YES  5. NO  8. DON'T KNOW  9. REFUSED

NEXT PAGE, G213

G210. $25,000 or more?

1. YES  5. NO  8. DK

NEXT PAGE, G213

G211. $100,000 or more?

1. YES  5. NO  8. DK

NEXT PAGE, G213
G201-206. This sequence is for FUs which sold stock, but did not buy any, in the last 5 years.

G207-227. A major cause of change in the FU’s wealth is change in the composition of the FU. As people move into or out of the FU, they may bring or take away significant assets or debts. The next two pages of questions attempt to measure these changes. The value of the asset or debt should be its value at the time it was brought in or taken away from the FU, not its current appreciated or depreciated value.

G207-212. We are asking about assets ($5,000 or more) that persons who were living in the FU in 1989 have since taken with them when they "moved out". This includes any of the kinds of assets we have just asked about and may include some of the assets already mentioned.

Divorce and separation often cause this type of asset removal (e.g., "She got the house, I got the mortgage; she got the car, I got the payment..."). When a young adult moves out of his/her parents’ home, it is often with their blessing and sometimes with their second car, a piece of land, etc. Even though he/she may have taken more debts than assets when he/she left, record only the assets here. We will catch the debts below. If he/she did not take any assets enter a zero at G208.
G213. Altogether, what is the total dollar value of debts that were removed that way?

$__________

GO TO G217

G214. Was it $10,000 or more?

1. YES 5. NO 8. DON'T KNOW 9. REFUSED

G215. $25,000 or more?

G216. $5,000 or more?

1. YES 5. NO 8. DK

GO TO G217

G217. INTERVIEWER CHECKPOINT:

1. SOMEONE BESIDES HEAD IN FU NOW 5. ONLY HEAD IN FU

NEXT PAGE, G218

G218. Is there anyone in your family living with you now who has joined the family since January 1989 and who had $5,000 or more in assets or debts at the time they join the family?

1. YES 5. NO

NEXT PAGE, G228

G219. Altogether, what is the total dollar value of assets that were brought into the family that way?

$__________

NEXT PAGE, G229

G220. Was it $10,000 or more?

1. YES 5. NO 8. DON'T KNOW 9. REFUSED

G221. $25,000 or more?

G223. $5,000 or more?

1. YES 5. NO 8. DK

NEXT PAGE, G224

G222. $100,000 or more?

1. YES 5. NO 8. DK

NEXT PAGE, G224
G213-216. We want the total of debts removed from the FU in this manner as well. Again, it must be someone who was living in the FU in 1989 and has since "moved out". Examples here might be someone who had large credit debt, student or personal loans, medical or legal bills, etc. Remember, we want the total of all such debts here, and it must be $5,000 or more. If they did not take any debts with them, enter a zero at G213.

G218-219. Again we are asking about assets of $5,000 or more, but this time about assets brought into the FU since 1989 by persons "moving in". Use the value of the assets at the time they were brought in, not their current value. If only debts were brought in, enter a zero at G219.

G220-223. See instructions for G131-134.
G224. Altogether, what is the total dollar value of debts that were brought into the family that way?

$ _______  

GO TO G228

G225. Was it $10,000 or more?

1. YES  

G226. $25,000 or more?

1. YES

G227. $5,000 or more?

1. YES

G228. Some people's assets come from gifts and inheritances. During the last five years, have you (or anyone in your family living there) received any large gifts or inheritances of money or property worth $10,000 or more?

1. YES

G229. What year did you receive that?

YEAR

G230. How much was it worth altogether, at that time?

$ _______  

GO TO G228

G231. Would it amount to $25,000 or more?

1. YES  

G232. $75,000 or more?

1. YES

NEXT PAGE, G233
G224. Include debts as described above that FU members brought into the FU when they moved in. If they brought in only assets, enter a zero at G224.

G225-227. See instructions for G131-134.

G228-229. These gifts and inheritances are not limited to cash money, but the total value must be $10,000 or more and the FU must have actually received it in the last 5 years.

Notice that G229 allows a response of "NOT YET" to the question of what year it was received. We don’t want to ask G230-232 about these future amounts, but we also do not want to lose a second or third mention that the FU has received. So we allow R to tell us about these future assets, but do not ask the amounts even if R knows them. If all mentions are gifts or inheritances "NOT YET" received, G228 should be changed to "NO".

G230. Get value at the time it was received, not current value.

G231-232. See instructions for G131-134.
G233. Did you receive any other large gifts or inheritances of money or property in the last five years?

[Diagram]

YES

NO — NEXT PAGE, SECTION H

G234. What year did you receive that?

[Diagram]

[Number] YEAR

NOT YET

GO TO G238

G235. How much was it worth altogether, at that time?

$ [Number]

[Options: 9999998. DON'T KNOW, 9999997. REFUSED]

GO TO G238

G236. Would it amount to $25,000 or more?

1. YES

5. NO

8. DON'T KNOW

9. REFUSED

GO TO G238

G237. $75,000 or more?

1. YES

5. NO

8. DK

GO TO G238

G238. Any other large gifts or inheritances in the last five years?

YES

ASK G234-G238 AGAIN

NO

G238a. INTERVIEWER CHECKPOINT

SEE B1, (P. 8) AND D1a, (P. 40) -- WHETHER HEAD AND WIFE/WIFE'S RETIRED

1. HEAD IS UNDER AGE 45

NEXT PAGE, SECTION H

HEAD IS RETIRED

2. HEAD IS NOT RETIRED

WIFE/WIFE'S IN FU

5. NO WIFE/WIFE'S IN FU

NEXT PAGE, SECTION H

3. WIFE/WIFE'S IS RETIRED

4. WIFE/WIFE'S IS NOT RETIRED

G239. We're interested in how much of earnings will be replaced by pensions. Thinking of your (and your (wife's/WIFE's)) total pension benefits when you (both) retire, including Social Security, how will they compare with your (and your (wife's/WIFE's)) pre-retirement earnings—I mean, about what percent of your pre-retirement earnings will they be?

G238a. We designed this checkpoint to identify FUs with a) Heads 45 and older, who have not retired and b) Head (45 and older) and Wife/'Wife' couples who have not both retired. We want to ask these people how they think their retirement income will compare to their pre-retirement income. Use the answers at B1 and D1a and consider Head/Wife/'Wife' retired if they answered "RETIRED" to these questions, regardless of whether they are currently working. This checkpoint is not perfect but it will catch most of the FUs we are interested in. It should skip out FUs with Heads under 45, single retired Heads, and Head and Wife/'Wife' couples in which both are retired.

G239. We want to compare total retirement income from all sources for Head and Wife/'Wife' to total pre-retirement income (i.e., their income when they are both retired as a percent of their current income).
SECTION H: HEALTH

H1. Now I have a few questions about your (HEAD’S) health, including any serious limitations you might have. Would you say your (HEAD’S) health in general is excellent, very good, good, fair, or poor?

1. EXCELLENT  2. VERY GOOD  3. GOOD  4. FAIR  5. POOR  8. DON’T KNOW

H2. Do you (HEAD) have any physical or nervous condition that limits the type of work or the amount of work you can do?

1. YES  5. NO → GO TO H5

H3. Does this condition keep you from doing some types of work?

1. YES  5. NO  8. DON’T KNOW  7. CAN DO NOTHING (VOL) → GO TO H5

H4. For work you can do, how much does it limit the amount of work you can do --a lot, somewhat, or just a little?

1. A LOT  3. SOMEWHAT  5. JUST A LITTLE  7. NOT AT ALL (VOL)

H5. INTERVIEWER CHECKPOINT

SEE FU LISTING, P. 4 OF COVERSHEET

1. HEAD IS AGE 55 OR OLDER  5. ALL OTHERS

H6. The next questions are about your (HEAD’s) ability to do certain activities—by yourself and without special equipment. Because of a health or physical problem, do you have any difficulty...

- a. bathing or showering?  1. YES  5. NO
- b. dressing?  1. YES  5. NO
- c. eating?  1. YES  5. NO
- d. getting in or out of bed or a chair?  1. YES  5. NO
- e. walking?  1. YES  5. NO
- f. getting outside?  1. YES  5. NO
- g. using the toilet, including getting to the toilet?  1. YES  5. NO

H7. INTERVIEWER CHECKPOINT

SEE H6a-g ABOVE—WHETHER HAS DIFFICULTY

1. "YES" TO AT LEAST ONE ITEM (H6a-H6g) ABOVE  5. ALL OTHERS

GO TO H9

H8. Does someone usually help you in doing these activities?

1. YES  5. NO

H9. The next questions are about doing other activities by yourself. Because of a health or physical problem, do you have any difficulty preparing your own meals?

1. YES  5. NO  7. DOESN’T DO → H10. Is this because of a health or physical problem?

NEXT PAGE, H11
Part 10: Section H—Health

H1. This is R’s broad assessment of Head’s health.

H2-4. We’d like only work-limiting health problems. That is, problems that limit the kind or amount of work that Head can do on his/her job.

H5. Questions H6-H20 are only to be asked of Heads who are age 55 or older at the time of interview.

H6-8. These questions determine the degree of difficulty the Head may have in performing basic activities of daily living (abbreviated as ADLs). These have been found to be valuable indicators of the potential need for long-term care, either at home or in an institution.

If necessary, remind the Head that these questions refer to performing the activity without help from another person and without using special equipment.

You may have a response to one of these items that is "doesn’t do." For example, to H6f the Head may say "I never go outside. This area is too dangerous." In these instances, provide a marginal note of exactly what the Head said about this ADL, but mark "NO" for that item. However, if the response to an item mentions any health condition which limits their ability to do the activity, mark the answer "Yes." For example, if the Head says "I can’t bathe myself. My arthritis is so bad that my daughter has to bathe me," then mark "Yes" for H6a.

If the respondent is a proxy and reports that the Head "can’t do anything," "is a vegetable," "can’t even get out of bed," or words to that effect, H6a-H6g are confirmed to see if the Head meets the survey definition of totally incapacitated.

Some definitions that may be helpful to you during the interviewing:

• **Bed** - Anything used for lying down or sleeping, including a sofa, cot, or mattress.

• **Getting outside** - Moving from inside to outside the unit, including to a patio, porch, or a building or hallway. It does not imply any real movement or exertion once the person has reached the outside.

• **Special equipment** - Aids or devices used to assist the person in a particular activity, such as a cane, walker, artificial limb, special plates, etc. Also include structural modifications to the home, such as lowered or raised commodes, lowered or raised kitchen equipment, ramps, etc.

H9-10. See instruction for H9-20 on p. 265.
H11. (Do you have any difficulty) shopping for personal toilet items or medicines?

1. YES 5. NO

7. DOESN'T DO

H12. Is this because of a health or physical problem?

1. YES 5. NO

H13. (Do you have any difficulty) managing your own money, such as keeping track of expenses or paying bills?

1. YES 5. NO

7. DOESN'T DO

H14. Is this because of a health or physical problem?

1. YES 5. NO

H15. (Do you have any difficulty) using the telephone?

1. YES 5. NO

7. DOESN'T DO

H16. Is this because of a health or physical problem?

1. YES 5. NO

H17. (Do you have any difficulty) doing heavy housework, like scrubbing floors or washing windows?

1. YES 5. NO

7. DOESN'T DO

H18. Is this because of a health or physical problem?

1. YES 5. NO

H19. (Do you have any difficulty) doing light housework, like doing dishes, straightening up, or light housecleaning?

1. YES 5. NO

7. DOESN'T DO

H20. Is this because of a health or physical problem?

1. YES 5. NO

INTERVIEWER:

ARIZONA RESPONDENTS MAY MENTION ARIZONA HEALTH CARE COST CONTAINMENT SYSTEM (AHCCCS), WHICH IS EQUIVALENT TO MEDICAID.

CALIFORNIA RESPONDENTS CALL MEDICAID "MEDI-CAL".

H25. Is anyone in your family living there covered by (Medicaid or Medical Assistance/Medi-Cal)? [DO NOT INCLUDE MEDICARE]

1. YES

5. NO

NEXT PAGE, H27

H26. Who is covered? [LIST ALL FAMILY MEMBERS COVERED]

<table>
<thead>
<tr>
<th>FIRST NAME</th>
<th>LAST NAME</th>
<th>RELATIONSHIP TO HEAD</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
H9-20. These questions determine the degree of difficulty the Head may have in performing instrumental activities of daily living (abbreviated as IADLs). The general instructions provided for H6a-H6g for the ADLs also apply to the IADLs. Note, however, one important difference. H9-H20 refer to the Head performing these activities by himself/herself. Unlike H6a-H6g, the special qualifier "without using special equipment" is not included in these IADL questions. If the Head is able to perform the IADL activity by himself/herself, regardless of whether or not special equipment or aids are used, then do not consider the person as having difficulty. Do not, however, probe to determine this.

The IADL questions are not asked for Heads who are currently in a nursing home or other institution or confirmed as being unable to do anything.

H25-26. We'd like to know if any FU member gets Medicaid coverage. Medicaid is not private or employer provided insurance such as Blue Cross-Blue Shield -- it is for low-income people who must meet eligibility requirements.

Unlike the Medicare program administered by the federal government, Medicaid is administered by states and has different names in different states. In most states it is called Medicaid and is administered through the state or county medical assistance department. However, it may also be called Medi-Cal (in California) or Medical Assistance from Welfare. Arizona has a new term for it: Arizona Health Care Cost Containment System (AHCCCS). A medical assistance program may be in the form of an HMO, but it must be administered by the state or county medical assistance or welfare departments, not a private or employer HMO plan.

Remember, no Medicare here.

For each covered FU member, give complete name and relationship to Head from p. 4 of coversheet.
H27. INTERVIEWER CHECKPOINT
SEE FU LISTING, (P. 4 OF COVERSHEET)

1. WIFE/"WIFE" IN FU NOW
5. ALL OTHERS

H28. Now I have a few questions about your (wife's/"WIFE'S") health. Would you say her health in general is excellent, very good, good, fair, or poor?

1. EXCELLENT
2. VERY GOOD
3. GOOD
4. FAIR
5. POOR
8. DON'T KNOW

H29. Does your (wife/"WIFE") have any physical or nervous condition that limits the type of work or the amount of work she can do?

1. YES
5. NO

H30. Does this condition keep her from doing some types of work?

1. YES
5. NO
8. DON'T KNOW
7. CAN DO NOTHING (VOL)

H31. For work she can do, how much does it limit the amount of work she can do -- a lot, somewhat, or just little?

1. A LOT
3. SOMewhat
5. JUST A LITTLE
7. NOT AT ALL (VOL)

H32. INTERVIEWER CHECKPOINT
SEE FU LISTING, P. 4 OF COVERSHEET

1. WIFE/"WIFE" IS AGE 55 OR OLDER
5. ALL OTHERS

H33. The next questions are about your (wife's/"WIFE'S") ability to do certain activities--by herself and without special equipment. Because of a health or physical problem, does she have any difficulty...

- a. bathing or showering?
- b. dressing?
- c. eating?
- d. getting in or out of bed or a chair?
- e. walking?
- f. getting outside?
- g. using the toilet, including getting to the toilet?

1. YES 5. NO

H34. INTERVIEWER CHECKPOINT
SEE H33a-g ABOVE--WHETHER HAS DIFFICULTY

1. "YES" TO AT LEAST ONE ITEM (H33a-H33g) ABOVE
5. ALL OTHERS

H35. Does someone usually help her in doing these activities?

1. YES
5. NO
H27-47. Questions H28-H47 are identical to H1-H20 on pages 106-107, except that these questions are asked if there is a Wife/"Wife" in the FU. The same rules and definitions for H1-H20 apply to these questions.
H36. The next questions are about doing other activities by herself. Because of a health or physical problem, does she have any difficulty preparing her own meals?

1. YES 5. NO 7. DOESN'T DO → H37. Is this because of a health or physical problem?

1. YES 5. NO

H38. (Does she have any difficulty) shopping for personal toilet items or medicines?

1. YES 5. NO 7. DOESN'T DO → H39. Is this because of a health or physical problem?

1. YES 5. NO

H40. (Does she have any difficulty) managing her own money, such as keeping track of expenses or paying bills?

1. YES 5. NO 7. DOESN'T DO → H41. Is this because of a health or physical problem?

1. YES 5. NO

H42. (Does she have any difficulty) using the telephone?

1. YES 5. NO 7. DOESN'T DO → H43. Is this because of a health or physical problem?

1. YES 5. NO

H44. (Does she have any difficulty) doing heavy housework, like scrubbing floors or washing windows?

1. YES 5. NO 7. DOESN'T DO → H45. Is this because of a health or physical problem?

1. YES 5. NO

H46. (Does she have any difficulty) doing light housework, like doing dishes, straightening up, or light housecleaning?

1. YES 5. NO 7. DOESN'T DO → H47. Is this because of a health or physical problem?

1. YES 5. NO

H52. INTERVIEWER CHECKPOINT

SEE FU LISTING (P. 4 OF COVERSHEET)

1. SOMEONE IN FU UNDER AGE 55 OTHER THAN HEAD AND WIFE/"WIFE" 5. ALL OTHERS → NEXT PAGE, H55

H53. Now about the rest of your family living there--are any family members there under age 55 in poor health?

1. YES 5. NO → NEXT PAGE, H55

H54. Who is that? [LIST ALL FAMILY MEMBERS COVERED]

<table>
<thead>
<tr>
<th>FIRST NAME</th>
<th>LAST NAME</th>
<th>RELATIONSHIP TO HEAD</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
H52-54. For other FU members (not Head/Wife/"Wife") UNDER AGE 55 who are not in good health, fill in complete name and relationship to Head using p. 4 of the coversheet.
H55. INTERVIEWER CHECKPOINT
SEE FU LISTING, P. 4 OF COVERSHEET--OTHER FU MEMBERS AGE 55 OR OLDER

1. SOMEONE IN FU NOW OTHER THAN HEAD/WIFE/"WIFE" IS AGE 55 OR OVER
   ∴ NEXT PAGE, H78

H56. INTERVIEWER: LIST FIRST SUCH PERSON.

FIRST NAME  LAST NAME  RELATIONSHIP TO HEAD

H57. Now I have some questions about (INDIVIDUAL'S) health. Would you say (INDIVIDUAL'S) health in general is excellent, very good, good, fair, or poor?

1. EXCELLENT  2. VERY GOOD  3. GOOD  4. FAIR  5. POOR  8. DON'T KNOW

H58. The next questions are about (INDIVIDUAL'S) ability to do certain activities—by (himself/herself) and without special equipment. Because of a health or physical problem, does (he/she) have any difficulty...

- a. bathing or showering?  1. YES  5. NO
- b. dressing?  1. YES  5. NO
- c. eating?  1. YES  5. NO
- d. getting in or out of bed or a chair?  1. YES  5. NO
- e. walking?  1. YES  5. NO
- f. getting outside?  1. YES  5. NO
- g. using the toilet, including getting to the toilet?  1. YES  5. NO

H59. INTERVIEWER CHECKPOINT
SEE H58a-g ABOVE--WHETHER HAS DIFFICULTY

1. "YES" TO AT LEAST ONE ITEM (H58a-H58g) ABOVE  5. ALL OTHERS
   GO TO H61

H60. Does someone usually help (him/her) in doing these activities?

1. YES  5. NO

H61. The next questions are about (INDIVIDUAL) doing other activities by (himself/herself). Because of a health or physical problem, does (he/she) have any difficulty preparing (his/her) own meals?

1. YES  5. NO  7. DOESN'T DO ➔ H62. Is this because of a health or physical problem?

1. YES  5. NO

H63. (Does [he/she] have any difficulty) shopping for personal toilet items or medicines?

1. YES  5. NO  7. DOESN'T DO ➔ H64. Is this because of a health or physical problem?

1. YES  5. NO

NEXT PAGE, H65
H55. We are asking the same health series about OFUMs AGE 55 OR OLDER living in the FU now that we asked earlier for Head and Wife/"Wife".

H57. This is the equivalent of H53 for older OFUMs.
H65. (Does [he/she] have any difficulty) managing (his/her) own money, such as keeping track of expenses or paying bills?

1. YES 5. NO 7. DOESN’T → H66. Is this because of a health or physical problem?

H67. (Does [he/she] have any difficulty) using the telephone?

1. YES 5. NO 7. DOESN’T → H68. Is this because of a health or physical problem?

H69. (Does [he/she] have any difficulty) doing heavy housework, like scrubbing floors or washing windows?

1. YES 5. NO 7. DOESN’T → H70. Is this because of a health or physical problem?

H71. (Does [he/she] have any difficulty) doing light housework, like doing dishes, straightening up, or light housecleaning?

1. YES 5. NO 7. DOESN’T → H72. Is this because of a health or physical problem?

H77. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)—WHETHER OTHER FU MEMBERS AGE 55 OR OLDER

A. ANYONE ELSE IN FU AGE 55 OR OVER
ASK H56–H77 AGAIN

B. ALL OTHERS
GO TO H78

H78. INTERVIEWER CHECKPOINT

SEE ADDRESS LABEL, (P. 1 OF COVERSHEET) AND FU LISTING, (P. 4 OF COVERSHEET)—WHETHER ELIGIBLE FOR HEALTH AND PARENT SUPPLEMENT

SAMPLE ID IS 7001–001 OR HIGHER OR THIS IS SPANISH LANGUAGE INTERVIEW

ALL OTHERS

TURN TO P. 113, SECTION J

CURRENT HEAD OR WIFE/"WIFE" IS AGE 55 OR OLDER

TURNS TO HEALTH SUPPLEMENT

ANY NONPARENT OF UM IN FU NOW IS AGE 55 OR OLDER OR 1993 HEAD, WIFE/"WIFE" OR NONPARENT OF UM IS AGE 55 OR OLDER AND MOVED OUT OR DIED

ALL OTHERS

RECONTACT COVERSHEET

TURN TO PARENT SUPPLEMENT

ITEM 22e=1 ON COVERSHEET FOR BOTH HEAD AND WIFE/"WIFE"

NEXT PAGE, H79
H77. We are interested in health details of all OFUMS in the FU who are age 55 or older. Repeat H56-H72 for each such person. Use additional paper as necessary.

H78. This is a checkpoint to help determine whether you need to turn to the two major supplements in this year’s interview: (1) the Health Care Burden Supplement for Head, Wife/"Wife" and Nonparent OFUMS (abbreviated as HEALTH SUPPLEMENT in this checkpoint), and (2) the Health Care Burden Supplement for Parents (abbreviated as PARENT SUPPLEMENT). The rules are as follows:

If the case has a Sample ID which is 7001-001 or higher (a Latino sample), skip both these two supplements and go directly to questions in Section J.

If you are not interviewing one of the latino sample FUs, check the eligibility for the HEALTH SUPPLEMENT first. Continue with questions in the HEALTH SUPPLEMENT if the FU has at least one person who are either:

(1) HEAD aged 55 or older,
(2) Wife/"Wife" aged 55 or older, or
(3) OFUMs other than parents of Head’s or Wife’s/"Wife’s" who are currently in FU, moved out or died since the last interview and aged 55 or older.

If you do not need to turn to the HEALTH SUPPLEMENT, determine then the eligibility for the PARENT SUPPLEMENT:

A. If this is an interview with a RECONTACT (rose) Coversheet, always go to the Parent Supplement.

B. For REINTERVIEW (ivory coversheet) or SPLITOFF (tan coversheet) cases, check item 23d. on page 4 of the coversheet to see if there are any bullets (•) in front of Head’s or Wife’s/"Wife’s" name. A bullet in front of a name indicates that, based on previous year’s information, both parents of that individual (either Head or Wife/"Wife") are deceased. Do not go to the PARENT SUPPLEMENT but continue the interview with question H79 on page 112 of the questionnaire if:

(i) there is no Wife/"Wife" on the coversheet and Head’s name is bulleted, or

(ii) Wife/"Wife" is prelisted and both Head and Wife’s/"wife’s" names are bulleted.
This is a blank page
**Part 11: Health Care Burden Supplements for Head/Wife/"Wife" and Nonparent OFUMS -- "HEALTH SUPPLEMENT"**

The questions in this supplement are asked about Heads, Wives/"Wives", nonparent OFUMs and those who moved out or died since the last interview, who are age 55 and over. Most questions are concerned with those who are age 65 and over; only a small subset of the questions are asked about those aged 55-64. The focus of this supplement is on (1) major health events of the elderly which include home-based health care, nursing home care, and other major health care, (2) medical expenditures for the elderly, and (3) financial and time help received by the elderly.

In this section we do ask for names. Write the names on the questionnaire. If needed, reassure Rs that we will protect their privacy and that names will not be released as part of the data for public use. If R gives you only a first name, do not probe for a last name.

Locate the GRAY DATA CARD (See the following two pages for an example) and have it ready before you start interviewing with questions in this supplement. You will need this card to help you go through some of the questions in this supplement. The GRAY DATA CARD is designed to be used for both the "HEALTH SUPPLEMENT" and the "PARENT SUPPLEMENT". It summarizes information collected on major medical expenditures and facts about parents of Head/Wife/"Wife" throughout the two supplement questionnaires. You need to record the information on the DATA CARD throughout the interview and refer back to the summarized information on it when you are instructed to do so. See instructions for using the DATA CARD for S1v, S2v, S3v and S4).

There are three separate (but comparable) sequences in this supplement -- Head’s sequence, Wife’s/"Wife"’s sequence and a sequence for the nonparent OFUMs and those moved out or died since the last interview. Question numbers for comparable questions are identical in each section. Refer to the sequence label at the top corner of each page (e.g. Head’s sequence, or Wife’s sequence) to remind yourself who the questions are asked about. The following instructions apply to questions in all three sequences.
HEALTH SUPPLEMENT:
PART A: HEAD'S OUT-OF-POCKET HEALTH CARE COSTS, PP. 6 & 13
1. S1v, (P. 3) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"
2. S2v, (P. 4) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"
3. S3v, (P. 6) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"

PART B: WIFE’S/WIFE’S” OUT-OF-POCKET HEALTH CARE COSTS, P. 13
4. S1v, (P. 9) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"
5. S2v, (P. 10) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"
6. S3v, (P. 12) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"

PART C: OFUM’S OUT-OF-POCKET HEALTH CARE COSTS, P. 20
7. S1v, (P. 17) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"
8. S2v, (P. 18) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"
9. S3v, (P. 20) DOLLAR AMOUNT: IS MARKED "ZERO" OR WAS NOT ASKED HAS A DOLLAR AMOUNT OR IS MARKED "DK"

PARENT SUPPLEMENT:
PART D: PARENT TYPES FOR SUPPLEMENT CHECKPOINTS
FROM P. 5, Pre2u HEAD’S FATHER IS TYPE A TYPE B TYPE C TYPE D TYPE E TYPE F TYPE Z
FROM P. 6, Pre2v HEAD’S MOTHER IS TYPE A TYPE B TYPE C TYPE D TYPE E TYPE F TYPE Z
FROM P. 7, Pre2w WIFE’S/WIFE’S” FATHER IS TYPE A TYPE B TYPE C TYPE D TYPE E TYPE F TYPE Z
FROM P. 8, Pre2x WIFE’S/WIFE’S” MOTHER IS TYPE A TYPE B TYPE C TYPE D TYPE E TYPE F TYPE Z
### Part E: Out-of-Pocket Health Care Costs for Head's Father, p. 40

1. P1v, (P. 12) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

2. P2v, (P. 21) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

3. P3v, (P. 33) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

### Part F: Out-of-Pocket Health Care Costs for Head's Mother, p. 40

4. P1v, (P. 14) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

5. P2v, (P. 24) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

6. P3v, (P. 35) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

### Part G: Out-of-Pocket Health Care Costs for Wife's/Wife's Father, p. 41

7. P1v, (P. 16) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

8. P2v, (P. 27) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

9. P3v, (P. 37) Dollar Amount: **IS MARKED "ZERO"**
   - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

### Part H: Out-of-Pocket Health Care Costs for Wife's/Wife's Mother, p. 41

10. P1v, (P. 18) Dollar Amount: **IS MARKED "ZERO"**
    - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

11. P2v, (P. 30) Dollar Amount: **IS MARKED "ZERO"**
    - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

12. P3v, (P. 39) Dollar Amount: **IS MARKED "ZERO"**
    - **HAS A DOLLAR AMOUNT OR IS MARKED "DK"**

### Part J: Time and Money Help Given to Parents, p. 64

13. P1c is Marked "YES" for: Head's Father, p. 11 Head's Mother, p. 13 Wife/Wife's Father, p. 15 Wife/Wife's Mother, p. 17

14. P2c is Marked "YES" for: Head's Father, p. 20 Head's Mother, p. 23 Wife/Wife's Father, p. 26 Wife/Wife's Mother, p. 29

15. P3c is Marked "YES" for: Head's Father, p. 32 Head's Mother, p. 34 Wife/Wife's Father, p. 36 Wife/Wife's Mother, p. 38

16. P5b is Marked "YES" for: Head's Father, p. 42 Head's Mother, p. 45 Wife/Wife's Father, p. 48 Wife/Wife's Mother, p. 51

17. P6a (P. 54) is Marked for: Head's Father, Box 1 Head's Mother, Box 2 Wife/Wife's Father, Box 3 Wife/Wife's Mother, Box 4
Next we have questions about health care. These questions are mostly about people who are age 65 and above. We are interested in learning more about the medical costs and help in covering them.

In the following questions about health care costs, it is fine to estimate because we know it could be difficult to recall exact amounts. We sometimes ask about help covering expenses. That help could come by friends or relatives either paying the costs directly or giving you money to pay the costs.
Affix an IWER label to the face sheet. Copy the IWER number and sample ID from the main questionnaire to this supplement.

Read the introductory paragraph in S0a.
2  Head’s Sequence

HEAD’S SEQUENCE

S0b. INTERVIEWER CHECKPOINT

SEE FU LISTING, P. 4 OF COVERSHEET--AGE OF HEAD

HEAD IS AGE 55 OR OLDER

ALL OTHERS

TURN TO P. 8, WIFE’S SEQUENCE

S1. At any time during 1993 did you (HEAD) have a paid nurse or home health-care worker come to your home to help you with health-related or personal needs?

1. YES  5. NO  → NEXT PAGE, S2

S1a. INTERVIEWER CHECKPOINT

SEE FU LISTING, P. 4 OF COVERSHEET--AGE OF HEAD

A. HEAD IS AGE 65 OR OLDER

B. ALL OTHERS

NEXT PAGE, S2

S1b. Now thinking of the cost of the home health care you (HEAD) received in 1993, after Medicare, Medicaid and other insurance pay what they’re supposed to, does the remainder total $200 or more?

1. YES  5. NO  → NEXT PAGE, S2

S1g. Did anyone help cover those costs?

1. YES  5. NO  → NEXT PAGE, S1v

S1h. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to you (HEAD)? [PROBE FOR NAME AND RELATIONSHIP TO HEAD]

1ST PERSON’S NAME+RELATIONSHIP TO HEAD: __________________ + __________________

2ND PERSON’S NAME+RELATIONSHIP TO HEAD: __________________ + __________________

3RD PERSON’S NAME+RELATIONSHIP TO HEAD: __________________ + __________________

S1p. About how much did the help from (1ST NAME + 2ND NAME + 3RD NAME) total?

$ ______  

NEXT PAGE, S1s

999998. DK

S1q. Would you say about $1,000 or more?

1. YES  5. NO  8. DK  → NEXT PAGE, S1s

S1r. $5,000 or more?

1. YES  5. NO  8. DK
S0b  Check page 4 of the coversheets for the eligibility of Head/Wife/"Wife". If the Head is 55 or older (on p.2), proceed with questions about Head, otherwise turn to Wife's/"Wife's" sequence. If Wife/"Wife" is age 55 or older (on p.8) ask the questions in Wife's/"Wife's" sequence, otherwise turn to OFUM sequence.

S1  Here we are interested only in home-based health care services provided by someone for monetary reimbursement. Also include cases where no cash is received but the helper gets pay-in-kind or room and board regularly for helping. Do not include help here that is a relative or friend who provides the help but does not receive monetary reimbursement or pay-in-kind services. The care reported here must be only that received in 1993.

S1a  Questions S1b-S1z are for those who are age 65 or older ONLY.

S1b  The response to this question gives us a rough estimate of the total non-reimbursed out-of-pocket costs for the care, including those covered by a relative or friend. Also include here any outstanding bills for services received in 1993, even if the bills have not been paid. The receipt of a health-related bill often lags far behind the time the service is rendered. Many times this is because the bill goes first to Medicare/Medicaid/other insurance for them to pay their part, and this can take several months. Even if they do not yet have their final bill, they may have a rough idea of how much they are likely to be billed, and it is that amount that we want to know. This same principle applies to S2b too.

S1g-h  The purpose here is to try to identify one person who helped the most. If the Respondent cannot isolate such a person, accept names for those who helped equally (up to 3 persons). We ask names here so that we can match them to persons already in our study. Accept any name R gives; do not probe for complete name. Here we want the relationship to the person who was helped, not necessarily the relationship to Head as it is in most other places in the questionnaire.
(same for questions in S2g-S2h, S3g-S3h, and S5g-S5h.)

S1p  Count the total amount of help from all persons reported in S1h, if more than one person is reported (same for S2p, S3p and S5p).
S1s. Did anyone else help cover those costs?
1. YES 5. NO  → GO TO S1v

S1v. About how much of the cost will you (HEAD) end up paying? [MARK ITEM 1, PART A OF GRAY DATA CARD.]

$ ___________________ 999998. DK  → GO TO S2

S1w. Would you say about $1,000 or more?
1. YES 5. NO 8. DK  → GO TO S2

S1z. $5,000 or more?

S2. Did you (HEAD) live in a nursing home any time during 1993?
1. YES 5. NO  → TURN TO P. 5, S3

S2ab. Had you (HEAD) lived in a nursing home at any time before January 1, 1993?
1. YES 5. NO

S2ac. Now thinking only about the nursing home care in 1993, how many weeks or months in total did you (HEAD) receive that care?

______ WEEKS OR ______ MONTHS 8. DK

S2ad. INTERVIEWER CHECKPOINT

SEE FU LISTING, P. 4 OF COVERSHEET--AGE OF HEAD

HEAD IS 65 OR OLDER

NEXT PAGE, S2b

ALL OTHERS

TURN TO P. 8, WIFE'S SEQUENCE
S1u  Collect Relationship ONLY (Not Name) for this question.

S1v  This question is meant to find out how much the Head, Wife/"Wife" or OFUM had to pay after subtracting all the assistance from others reported in S1g-S1u. Record whether the answer is a non-zero amount on the Gray Data Card. You will need to refer to this information for the checkpoint in S4.

Places to mark on the Gray Data Card:

- Head's Sequence (p. 3): mark item 1, Part A.
- Wife/"Wife's" Sequence (P. 9): mark item 4, Part B.
- OFUM's Sequence (P. 17): mark item 7, Part C.

S2  Nursing homes are institutions primarily for people who need constant nursing supervision or are incapable of living independently. Nursing supervision must be provided on a continuous basis for the institution to qualify as a nursing home. Do not include stays in adult foster care facilities or other short-term stays in a hospital. However, do include stays in a nursing home that is part of a hospital. Some hospitals now provide nursing home facilities in a separate wing or floor of the building. The facilities are to be considered a nursing home and not part of the hospital. The amount of time spent in the nursing home is to be counted separately from that in the hospital, even if the reason for the nursing home stay and the hospital stay were identical.

S2ab-ac  Please pay close attention to the time references in these questions. S2ac refers only to the length of nursing home stays in 1993, even though the previous question (S2ab) asks about nursing home stays before 1993.

S2ad  Questions S2b-S2z are for those 65 or older ONLY.
Head’s Sequence

S2b. For the cost of the nursing home care you (HEAD) received in 1993, after Medicare, Medicaid and any other insurance pay what they’re supposed to, does the remainder total $1,000 or more?

1. YES

5. NO

→ NEXT PAGE, S3

S2g. Did anyone help cover the cost of that care?

1. YES

5. NO

→ GO TO S2v

S2h. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to you (HEAD)?

[PROBE FOR NAME AND RELATIONSHIP TO HEAD]

1ST PERSON’S NAME+RELATIONSHIP TO HEAD: __________________ + __________________

2ND PERSON’S NAME+RELATIONSHIP TO HEAD: __________________ + __________________

3RD PERSON’S NAME+RELATIONSHIP TO HEAD: __________________ + __________________

S2p. About how much did the help from (1ST NAME + 2ND NAME + 3RD NAME) total?

$ __________________

GO TO S2s

S2q. Would you say about $1,000 or more?

1. YES

5. NO

→ GO TO S2s

S2r. $5,000 or more?

1. YES

5. NO

→ 8. DK

S2s. Did anyone else help cover those costs?

1. YES

5. NO

→ GO TO S2v

S2u. What is the relationship of each of those persons to you (HEAD)?

1ST PERSON’S RELATIONSHIP TO HEAD: __________________

2ND PERSON’S RELATIONSHIP TO HEAD: __________________

3RD PERSON’S RELATIONSHIP TO HEAD: __________________

S2v. About how much of the cost will you (HEAD) end up paying? [MARK ITEM 2, PART A OF GRAY DATA CARD.]

$ __________________

→ NEXT PAGE, S3

S2w. Would you say about $5,000 or more?

1. YES

5. NO

→ 8. DK

S2z. $10,000 or more?

1. YES

5. NO

→ 8. DK
See instruction for S1v.

Places to mark on Gray Data Card:

- Head’s Sequence (p. 4): mark item 2, Part A.
- Wife/"Wife’s" Sequence (P. 10): mark item 5, Part B.
- OFUM’s Sequence (P. 18): mark item 8, Part C.
S3. Now about other health-related costs such as prescription medications, doctor visits, special equipment or health-related changes to a house. Did those costs for you (HEAD) total $200 or more during 1993?

1. YES 5. NO \text{GO TO NEXT PAGE, S4}\]

\text{DEFINITION:  SPECIAL EQUIPMENT INCLUDES ATTACHMENTS TO THE BODY SUCH AS, LEG BRACE, BACK BRACE, OR ARTIFICIAL LIMB; OBJECTS SUCH AS A WHEELCHAIR OR HEARING AID; OR MODIFICATIONS TO APPLIANCES, VEHICLES, OR THE HOME SUCH AS RAISED TOILETS, RAMPS, AND HANDRAILS.}

S3b. Did prescription medication make up all of those costs for you (HEAD), most of it, some of it, or none of it?

1. ALL 2. MOST 3. SOME 4. NONE

S3g. Did anyone help cover those costs?

1. YES 5. NO \text{GO TO NEXT PAGE, S3v}

S3h. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to you (HEAD)? [PROBE FOR NAME AND RELATIONSHIP TO HEAD]

1ST PERSON’S NAME + RELATIONSHIP TO HEAD: 

2ND PERSON’S NAME + RELATIONSHIP TO HEAD: 

3RD PERSON’S NAME + RELATIONSHIP TO HEAD: 

S3p. About how much did the help from (1ST NAME + 2ND NAME + 3RD NAME) total?

\$ \underline{999998.} \text{DK} \text{GO TO S3s}

S3q. Would you say about $1,000 or more?

1. YES 5. NO 8. DK \text{GO TO S3s}

S3r. $5,000 or more?

1. YES 5. NO 8. DK

S3s. Did anyone else help cover those costs?

1. YES 5. NO \text{GO TO NEXT PAGE, S3v}

S3u. What is the relationship of each of those persons to you (HEAD)?

1ST PERSON’S RELATIONSHIP TO HEAD: 

2ND PERSON’S RELATIONSHIP TO HEAD: 

3RD PERSON’S RELATIONSHIP TO HEAD: 

S3  See instruction in S1b. Again, here we are interested in expenditures which were not covered by Medicare, Medicaid and other insurance here.

S3g  The costs in S3g refer to all other health-related costs reported in S3, including those for prescription medication.
6 Head’s Sequence

S3v. About how much of those costs will you (HEAD) end up paying? [MARK ITEM 3, PART A OF GRAY DATA CARD.]

$ __________  GO TO S4

S3w. Would you say about $1,000 or more?

1. YES  5. NO  8. DK

GO TO S4

S3z. $5,000 or more?

1. YES  5. NO  8. DK

S4. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET) AND PART A, SIDE 1 OF DATA CARD--HEAD’S OUT-OF-POCKET HEALTH CARE COSTS

WIFE/"WIFE" IN FU IS AGE 65 OR OLDER

ALL OTHERS

NEXT PAGE, S5

ITEMS 1, 2 AND 3 FROM PART A OF DATA CARD ARE MARKED ZERO OR NOT ASKED

ALL OTHERS

NEXT PAGE, S5

SSUM. You have told me that your (HEAD’s) health-related expenses in 1993 were substantial. Did you (HEAD) have to sell assets, withdraw money that normally would not be touched or do anything else special to find the money to pay for those expenses?

1. YES, SOLD ASSETS  2. YES, WITHDREW MONEY  5. DID NOT DO ANYTHING SPECIAL

7. YES, OTHER (SPECIFY):

CATI Data Entry Staff:

In order to simplify the field interviewers’ task, the S4 checkpoint above is an oversimplified version of the CATI path to SSUM, and so SSUM may be asked on paper for cases in which the SSUM screen does not appear. In that event, don’t worry and don’t try to code SSUM. For informational purposes, the true CATI path is detailed on p. 25 of this document.
See instruction for S1v. Mark the answer to this question on the Gray Data Card.

Where to mark on Data Card:
Head’s Sequence (P. 6): item 3, Part A.
Wife/"Wife’s" Sequence (P. 12): item 6, Part B.
OFUM’s Sequence (p. 20): item 9, Part C.

Refer to the Gray DATA CARD and p.4 of the coversheet for this checkpoint. Do not ask the following question (SSUM) if:

In Head’s Sequence:

(i) there is a Wife/"Wife" in the FU who is age 65 or older, or
(ii) No Wife/"Wife" who is age 65 and older and items 1 and 2 and 3 on the DATA CARD are "MARKED ZERO".

In Wife/"Wife’s" Sequence:

items 1 and 2 and 3 and 4 and 5 and 6 are "MARKED ZERO".

In OFUM’s Sequence:

item 7 and 8 and 9 are "MARKED ZERO"

The emphasis here is whether any special measures had to be taken to pay for any of the medical expenses mentioned earlier.

IGNORE THE BOXED INSTRUCTION AT THE BOTTOM OF THIS PAGE. IT IS FOR OFFICE USE ONLY.
WIFE'S/"WIFE'S" SEQUENCE

SOb. INTERVIEWER CHECKPOINT

SEE FU LISTING, P. 4 OF COVERSHEET--AGE OF WIFE/"WIFE"

WIFE/"WIFE" IS
AGE 55 OR OLDER
ALL OTHERS, INCLUDING NO WIFE/"WIFE"

TURN TO P. 15, OFUMS' SEQUENCE

S1. At any time during 1993 did your (wife/"WIFE") have a paid nurse or home health-care worker come to your home to help her with health-related or personal needs?

1. YES 5. NO NEXT PAGE, S2

S1a. INTERVIEWER CHECKPOINT

SEE FU LISTING, P. 4 OF COVERSHEET--AGE OF WIFE/"WIFE"

A. WIFE/"WIFE" IS 65 OR OLDER B. ALL OTHERS

NEXT PAGE, S2

S1b. Now thinking of the cost of the home health care your (wife/"WIFE") received in 1993, after Medicare, Medicaid and other insurance pay what they’re supposed to, does the remainder total $200 or more?

1. YES 5. NO NEXT PAGE, S2

S1g. Did anyone help cover those costs?

1. YES 5. NO NEXT PAGE, S1v

S1h. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to your (wife/"WIFE")? [PROBE FOR NAME AND RELATIONSHIP TO WIFE/"WIFE"]

1ST PERSON'S NAME+RELATIONSHIP TO WIFE/"WIFE": ____________________ + ____________________

2ND PERSON'S NAME+RELATIONSHIP TO WIFE/"WIFE": ____________________ + ____________________

3RD PERSON'S NAME+RELATIONSHIP TO WIFE/"WIFE": ____________________ + ____________________

S1p. About how much did the help from (1ST NAME + 2ND NAME + 3RD NAME) total?

$________

NEXT PAGE, S1s

999998. DK

S1q. Would you say about $1,000 or more?

1. YES 5. NO 8. DK

NEXT PAGE, S1s

S1r. $5,000 or more?

1. YES 5. NO 8. DK
S0b (Wife's/"Wife's" Sequence -- p.8)

S0b in the Wife's/"Wife's" section is a checkpoint for determining whether the questions about Wife/"Wife" should be asked for this supplement. Check p.4 of the coversheet to complete this checkpoint.

The Wife/"Wife" is eligible if she is age 55 or older. If there is no Wife/"Wife" or Wife/"Wife" is not eligible, turn to sequence for nonparent OFUMS.
### OFUM's Sequence

**S0b. Interviewer Checkpoint**

**OFUM's Sequence**

See FU Listing, p. 4 of coversheet--whether OFUMs age 55 or older

- **OFUM Age 55 or Older in FU Now**
  - OR OFUM Age 55 or Older Has Moved Out or Died

- **All Others**
  - Turn to P. 22, S11

**S0c. List All Such OFUMs:**

<table>
<thead>
<tr>
<th>NAME</th>
<th>Relationship to Head</th>
<th>Age</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**S0d. Are Any Parents of Head or Wife/"Wife" Listed in S0c Grid?**

- **YES**
- **NO**
  - Next Page, S1 for First Person Listed

Delete all parents from S0c Grid

**S0e. Is Anyone Still Listed in S0c Grid?**

- **YES**
- **NO**
  - Turn to P. 22, S11

Next Page, S1 for First Person Still Listed
S0b (OFUM Sequence -- p.15)

S0b in the OFUM sequence is a checkpoint for determining whether the questions about OFUMs should be asked for this supplement. Check p. 4 of the coversheet to complete this checkpoint.

S0b-S0e

Record Name, RTH and Age for anyone other than current Head or Wife/"Wife" who is 55 or older (including those moved out or died since the last interview). Then delete any parents of Head’s or Wife/"Wife"’s from the list, if there is any. If there are nonparent OFUMs still listed in S0c, proceed with questions in the OFUM sequence, otherwise go to S11 on P. 22.
S5. Now I have a question about help from people not paid for the time they spend helping. At any time during 1993 did (INDIVIDUAL) have anyone who was not a paid helper come to your home to help (him/her) with health related or personal needs such as dressing, eating or bathing? [HEALTH-RELATED OR PERSONAL CARE DOES NOT INCLUDE TRANSPORTATION FOR MEDICAL SERVICES]

1. YES 5. NO → GO TO 6

S5h. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to (INDIVIDUAL)? [PROBE FOR NAME AND RELATIONSHIP TO INDIVIDUAL]

1ST PERSON'S NAME+RELATIONSHIP TO INDIVIDUAL: ______________+____________

2ND PERSON'S NAME+RELATIONSHIP TO INDIVIDUAL: ______________+____________

3RD PERSON'S NAME+RELATIONSHIP TO INDIVIDUAL: ______________+____________

S5p. About how many hours did (1ST NAME + 2ND NAME + 3RD NAME) spend?

GO TO 55s

9998. DK

S5q. Was it 100 or more hours?

1. YES 5. NO 8. DK

GO TO 55s

S5r. 500 or more hours?

1. YES 5. NO 8. DK

S5s. Did anyone else who was not paid spend time helping?

1. YES 5. NO → GO TO 6

S5u. What is the relationship of each of those persons to (INDIVIDUAL)?

1ST PERSON'S RELATIONSHIP TO INDIVIDUAL: __________________________

2ND PERSON'S RELATIONSHIP TO INDIVIDUAL: __________________________

3RD PERSON'S RELATIONSHIP TO INDIVIDUAL: __________________________

S6. INTERVIEWER CHECKPOINT

SEE 50c GRID, (P. 15)—WHETHER ANYONE ELSE LISTED IN GRID

YES, SOMEONE ELSE IS STILL LISTED   NO, NO ONE ELSE IS STILL LISTED → NEXT PAGE, S'

ASK S1-S5u, (PP. 16-21) FOR EACH SUCH PERSON
This is a checkpoint to find out if there are other eligible OFUMs in the FU. Repeat S1-S5u in the OFUM sequence (pp.16-21) if there is, and record the information on the margin.
S11. INTERVIEWER CHECKPOINT

SEE FU LISTING, P. 4 OF COVERSHEET AND SOc GRID, P. 15--WHETHER PARENTS IN FU, MOVED OUT OR DIED SINCE LAST YEAR'S INTERVIEW

- PARENT OF HEAD/WIFE/"WIFE" IN FU NOW OR PARENT MOVED OUT/DIED SINCE LAST YEAR'S INTERVIEW
  - OLDEST PARENT IS/WOULD BE AGE 65 OR OLDER
    - TURN TO PARENT SUPPLEMENT
  - ALL OTHERS
    - EITHER HEAD OR WIFE/"WIFE" IS AGE 65 OR OLDER
      - ALL OTHERS
    - ALL OTHERS
      - EITHER HEAD OR WIFE/"WIFE" IS AGE 65 OR OLDER
        - ALL OTHERS
      - ALL OTHERS
        - SOMEONE FROM SOC GRID IS AGE 65 OR OLDER
          - TURN TO P. 24, S13

S12. Now I have a question about money, property or financial assistance received from others. Aside from health-related help, any shared food, or shared housing, in 1993 did you (and your family there) receive $200 or more from a friend or a relative?

1. YES
2. NO
   - TURN TO PAGE 24, S13

S12h. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to you (HEAD)? [PROBE FOR NAME AND RELATIONSHIP TO HEAD]

1ST PERSON'S NAME+RELATIONSHIP TO HEAD: __________________ + ________________
2ND PERSON'S NAME+RELATIONSHIP TO HEAD: __________________ + ________________
3RD PERSON'S NAME+RELATIONSHIP TO HEAD: __________________ + ________________

This is a checkpoint to help determine if there are any parents of Head's or Wife's/"Wife's" who are age 65 or older and are currently in the FU, or moved out or died since the last interview.

If there is such a parent, turn to the PARENT SUPPLEMENT now and do not ask S12-S13.

If there is no such parent AND there is at least one member (either Head or Wife/"Wife" or nonparent OFUMs) who are 65 or older, proceed with S12 sequence.

Otherwise, turn to S13 on p. 24 to find out if you need to turn to the PARENT SUPPLEMENT.

This is a family-specific question, asked once only for each Family Unit. If there are any parents of Head or Wife/"Wife" who are 65 or older and live in the FU, this question will be asked later after all questions about parents are asked in the Parent Health Care Burden Supplement. This is done to avoid double counting the financial help received by the FU. These loans, gifts, and support need not be actual cash. Household appliances, furniture, autos, etc. count here, as well as actual money. The total value of all these things must amount to at least $200.
S13. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)—WHETHER ELIGIBLE FOR PARENT SUPPLEMENT

RECONTACT COVERSHEET
TURN TO PARENT SUPPLEMENT

ITEM 22e=1 FOR BOTH HEAD AND WIFE/"WIFE"
TURN TO P. 112 OF QUESTIONNAIRE, H79

ALL OTHERS

ALL OTHERS
TURN TO PARENT SUPPLEMENT
This checkpoint is used for determining whether you need to turn to the PARENT SUPPLEMENT. In principle, all interviews, except those for RECONTACT FUs and FUs where, based on previous year's data, we know all parents of Head’s and Wife’s/"Wife’s" are deceased, need to turn to the PARENT SUPPLEMENT. For REINTERVIEW (blue coversheet) and SPLITOFF (lavender coversheet) interviews, check p.4 of the coversheet. If both Head’s and Wife/"Wife"’s names are bulleted (•)?????, indicating all parents of Head and Wife/"Wife" have died, do not turn to the PARENT SUPPLEMENT. Of course, when there is no Wife/"Wife" in the FU and Head’s name is bulleted, do not go to the PARENT SUPPLEMENT either. Go back to the main questionnaire and continue interviewing with H79 on p.99.

IGNORE MATERIALS ON P.25-P.27. THE INFORMATION ON THOSE PAGES IS FOR OFFICE USE ONLY.
Part 12: Health Care Burden Supplements for Parents of Head/Wife/"Wife" -
"PARENT SUPPLEMENT"

The questions in this supplement are asked of biological and adoptive parents of Head/Wife/"Wife" who are still alive or who have died since the last interview, and are age 55 or older. These parents can be FU members, HU members, or can be living somewhere else. Most questions are concerned with parents who are age 65 and above; only a small subset of these questions are asked about parents who are age 55-64. For parents who are living in the FU or moved out or died since the last interview, we ask the most extensive questions about parent’s medical expenses.

The focus of this Supplement is on major health events, medical expenses of the elderly parents, and time and money assistance parents received from Head/Wife/"Wife" and others.

There are seven modules in this section. They are about:

1. home-based health care parent(s) received,
2. nursing home care parent(s) received,
3. other health care parent(s) received,
4. Health-related Time Help given to parent(s) by Head/Wife/"Wife" and others,
5. Other type of Time Help given to parent(s) by Head/Wife/"Wife" and others,
6. Other Money Help Given to parent(s) by Head/Wife/"Wife" and others, and
7. Other Money Help Received by the FU from others.

Within each module, there are four separate, but comparable, sequences, one for each parent of Head’s and Wife’s/"Wife’s". Question numbers for comparable questions are identical in all sequences. Refer to the sequence labels at the top corner of each page (e.g. HOME-BASED CARE—Head’s father, or NURSING HOME CARE—Wife’s/"Wife’s" mother, etc.) to remind yourself which module you are in and which parent the questions are asked about. The following instructions apply to comparable questions in all sequences.

Locate the GRAY DATA CARD (see page. 190-191????) and have it ready before you start. You will need to use this card to help you go through some of the questions in this supplement. As mentioned in the instruction for the "HEALTH SUPPLEMENT", the DATA CARD summarizes information collected on major medical expenditures and facts about parents of Head/Wife/"Wife" throughout the two supplement questionnaires. You need to record the information on the DATA CARD and refer back to the summarized information on it when you are instructed to do so.

The first few pages of this supplements (pp.2-4) collect information about the birth dates, age and FU status for parents of Head’s and Wife’s/"Wife’s". This information is used in the checkpoints throughout this supplement to determine which question sequence should be asked about each parent. To make your job easier, there are a few pages (p.5-8) to help you summarize each parent’s information and categorize each parent into different types. You then need to transfer the information on "PARENT TYPES" to the GRAY DATA CARD. See detailed instructions for questions Pre2u-Pre2x.

We ask for names in this section. Write the names on the questionnaire. If needed, reassure Rs that we will protect their privacy and that names will not be released as part of the data for public use. If R gives you only a first name, do not probe for a last name.
When going through this supplement, keep in mind that R could be offended because the questions that follow indicate that you do not know already that a parent is long-since deceased. This study has gathered such information in prior years. If R is offended, please say that the study staff wishes to apologize for asking yet again about the deceased parent but that the study’s system for securing confidentiality makes it difficult to access that information on an individual basis. Thank R for bearing with us.
This is a blank page
PARENT SUPPLEMENT

BEFORE YOU BEGIN, SEE P. 4 OF COVERSHEET

IF ITEM 22e=1 FOR HEAD, TURN TO GRAY DATA CARD, SIDE 1, PART D AND MARK BOTH OF HEAD'S PARENTS AS TYPE Z.

IF ITEM 22e=1 FOR WIFE/'WIFE', TURN TO GRAY DATA CARD, SIDE, 1 PART D AND MARK BOTH OF WIFE'S/'WIFE'S PARENTS AS TYPE Z.

Prel. INTERVIEWER CHECKPOINT

SEE H78, (P. 111 OF QUESTIONNAIRE) -- WHETHER HEALTH SUPPLEMENT ASKED

YES, HEALTH SUPPLEMENT ASKED

GO TO Prelb

ALL OTHERS

Pre1a. Next we have questions about health care. We are interested in learning more about the medical costs and help in covering them. These questions are mostly about people who are age 65 and above.

Pre1b. Now we have questions about the health care of elderly parents.
Affix an IWER label to the Face Sheet. Copy the IW number and sample ID from the main questionnaire to this supplement.

Before you begin the interview, check the coversheet to determine if Head’s PARENT STATUS CODE is "1". A code of "1" indicates both Head’s parents are deceased. If the code is "1", turn to the GRAY DATA CARD, side 1, Part D and mark Head’s Father and Head’s Mother as TYPE Z. If there is a Wife/"Wife" in the FU, do the same for Wife’s/"Wife’s" parents.

Determine if the HEALTH SUPPLEMENT was asked by checking H78 on page 111 of the questionnaire. If the HEALTH SUPPLEMENT was asked, skip the first part of the introduction (Pre1a) since you would have already read this part in the Health Supplement), otherwise read both introductory paragraphs in Pre1a and Pre1b.
### Preic. INTERVIEWER CHECKPOINT

**SEE P. 4 OF COVERSHEET—WHETHER HEAD'S OR WIFE'S/WIFE'S PARENTS LISTED**

<table>
<thead>
<tr>
<th>HEAD'S/WIFE'S/WIFE'S PARENT LISTED ON P. 4 OF COVERSHEET</th>
<th>ALL OTHERS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>NEXT PAGE, Pre2</td>
</tr>
</tbody>
</table>

### Prelf. INTERVIEWER CHECKPOINT

**COPY INFORMATION FROM P. 4 OF COVERSHEET ABOUT EACH PARENT OF HEAD OR WIFE/WIFE**

<table>
<thead>
<tr>
<th>RELATION TO HEAD</th>
<th>NAME OF HEAD'S/WIFE'S/WIFE'S PARENT</th>
<th>MONTH BORN</th>
<th>YEAR BORN</th>
<th>AGE</th>
<th>WHETHER IN FU/IN HU/MOVED OUT/ DIED (SPECIFY)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Prel. First I need to confirm some information. Earlier you told me about (EACH PARENT OR PARENT-IN-LAW LISTED IN Preld GRID ABOVE). [VERIFY BIRTH DATES AND AGES OF AL Persons listed]

### Prelf. INTERVIEWER CHECKPOINT

**SEE Preld GRID ABOVE—WHETHER PARENTS LISTED**

<table>
<thead>
<tr>
<th>WIFE/WIFE IN FU</th>
<th>ALL OTHERS</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALL FOUR PARENTS ARE LISTED IN GRID</td>
<td>NEXT PAGE, Pre2</td>
</tr>
<tr>
<td>TURN TO P. 5, Pre2u</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ALL OTHERS</th>
<th>BOTH OF HEAD’S PARENTS ARE LISTED IN GRID</th>
<th>ALL OTHERS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>TURN TO P. 5, Pre2u</td>
<td></td>
</tr>
<tr>
<td></td>
<td>NEXT PAGE, Pre2</td>
<td></td>
</tr>
</tbody>
</table>
These questions are designed to find out if there is any parent who currently lives in the FU, moved out or died since last interview, or is an HU member. Check p.4 of the coversheet to see if there are any parents of Head/Wife/"Wife" listed. Transfer information about them to the Grid on p.2, if there is any parent. Verify the birth date and FU status information with R for each parent listed on the Grid.

Check to see if all parents of Head's (and Wife's/"Wife's") are listed on the Grid in Pre1d. If yes, turn to Page 5 to complete the PARENT TYPES pages for each parent, otherwise turn to Pre2 on Page 3.
Pre2. Do you (or your [wife/"WIFE"] have any (other) parents still alive? [DO NOT INCLUDE PARENTS YOU LISTED IN Pre1d GRID, (P. 2)]

1. YES

5. NO — NEXT PAGE, Pre2k

Pre2a. Who is that? [CHECK ALL THAT APPLY AND ASK BIRTH DATE AND AGE FOR EACH BOX CHECKED]

1. HEAD’S FATHER — Pre2b. Can you tell me the month and year of your (HEAD’S) father’s birth?

— MONTH ____________ YEAR ____________

Pre2c. What is his age? ____________

2. HEAD’S MOTHER — Pre2d. Can you tell me the month and year of your (HEAD’S) mother’s birth?

— MONTH ____________ YEAR ____________

Pre2e. What is her age? ____________

3. WIFE’S/"WIFE’S" FATHER — Pre2f. Can you tell me the month and year of your (wife’s/"WIFE’S") father’s birth?

— MONTH ____________ YEAR ____________

Pre2g. What is his age? ____________

4. WIFE’S/"WIFE’S" MOTHER — Pre2h. Can you tell me the month and year of your (wife’s/"WIFE’S") mother’s birth?

— MONTH ____________ YEAR ____________

Pre2i. What is her age? ____________

Pre2j. INTERVIEWER CHECKPOINT

SEE Pre1d GRID, (P. 2) AND Pre2a, (ABOVE)—WHETHER ALL PARENTS ACCOUNTED FOR

WIFE/"WIFE" IN FU

Pre1d AND Pre2a COMBINED, ALL FOUR PARENTS ARE MENTIONED

TURN TO P. 5, Pre2u

ALL OTHERS

Pre1d AND Pre2a COMBINED, BOTH PARENTS ARE MENTIONED

TURN TO P. 5, Pre2u

WIFE/"WIFE" IN FU

ALL OTHERS

PRE2K
This page is used to find out if there are other parents still living. Do not include any parents listed in the Grid on Page 2. If there are, collect the birth dates and age for each such parent.

Check to see if all parents of Head's (and Wife's/"Wife’s") are mentioned either in Pre1d and Pre2a. If yes, turn to Page 5 to complete PARENT SUMMARY pages for each parent, otherwise turn to Pre2k on the next page.
Pre2k. Have any of your (or your wife's/"WIFE'S") parents died since January 1, 1993?  
[DO NOT INCLUDE PARENTS YOU LISTED IN Pre1d GRID, (P. 2)]

1. YES

5. NO — NEXT PAGE, Pre2u

Pre2l. Who was that?  [CHECK ALL THAT APPLY AND ASK BIRTH DATE AND AGE FOR EACH BOX CHECKED]  

1. HEAD'S FATHER — Pre2m. Can you tell me the month and year of your (HEAD'S) father's birth?  

MONTH  
YEAR

Pre2n. What was his age at death?  

2. HEAD'S MOTHER — Pre2o. Can you tell me the month and year of your (HEAD'S) mother's birth?  

MONTH  
YEAR

Pre2p. What was her age at death?  

3. WIFE'S/"WIFE'S" FATHER — Pre2q. Can you tell me the month and year of your (wife's/"WIFE'S") father's birth?  

MONTH  
YEAR

Pre2r. What was his age at death?  

4. WIFE'S/"WIFE'S" MOTHER — Pre2s. Can you tell me the month and year of your (wife's/"WIFE'S") mother's birth?  

MONTH  
YEAR

Pre2t. What was her age at death?
Here we want to know if there are any parents who died recently (defined as since Jan. 1, 1993). Do not include parents listed in Pre1d GRID (on p.2) here. Collect the birth dates and age at death information for any such parent.
MARK GRAY DATA CARD, SIDE 1, PART D FOR
HEAD’S FATHER:

LISTED IN Pre1d GRID, P.2

IN FU OR
MOVED OUT

AGE IS UNDER 55 OR DK AGE
MARK HEAD’S FATHER TYPE Z

MARK HEAD’S FATHER TYPE A

AGE IS 55–64

MARK HEAD’S FATHER TYPE B

AGE IS 65 OR OLDER

MARK HEAD’S FATHER TYPE Z

IN HU, NOT FU

AGE AT DEATH WAS 55–64
MARK HEAD’S FATHER TYPE C

MARK HEAD’S FATHER TYPE D

AGE AT DEATH WAS 65 OR OLDER

MARK HEAD’S FATHER TYPE Z

MENTIONED ON P. 3: NEITHER
IN FU NOR HU AND LIVING

MARK HEAD’S FATHER TYPE Z

MARK HEAD’S FATHER TYPE E

MENTIONED ON P. 4: RECENTLY DECEASED

MARK HEAD’S FATHER TYPE Z

MARK HEAD’S FATHER TYPE Z

AGE IS UNDER 65 OR DK AGE

AGE IS 65 OR OLDER

MARK HEAD’S FATHER TYPE F

MARK HEAD’S FATHER TYPE Z

PRE2u. PARENT SUMMARY: SEE PP. 2–4 AND MARK GRAY DATA CARD FOR HEAD’S FATHER.
These are PARENT SUMMARY pages. One page for each parent. If there is no Wife/"Wife" in FU, skip pages 7-8. Check information recorded on Pages 2-4 to complete a PARENT SUMMARY page for each parent. Parents are categorized into seven different types (A,B,C,E,F,Z), according to their ages, FU status and whether they are still alive.

Type A and Type C parents are those who are 55-64 and are either currently in FU, moved out or died since the last interview.

Type B and Type D parents are those who are age 65 or older and are currently in FU or died since the last interview.

Type E parents are those who are age 65 and over but not currently in the FU, moved out or died since the last interview.

Type F parents are those who died since January 1, 1993 and was age 65 or older when he/she died.

Type Z parents are not eligible for questions in this supplement.

After classifying each parent into TYPES, transfer the "PARENT TYPE" information from these pages to Part D, Side 1 of the GRAY DATA CARD. Mark which type each parent is on the data card. This information is crucial, since it determines which question sequence you should ask about each parent.

As a general rule, Type Z parents are not eligible for any of the follow-up questions in each submodule. Only a very small subset of the questions -- whether received home-based care and nursing home care -- applies to TYPE A or TYPE C parents (those aged 55-64). A most extensive sequence of questions concerning medicare expenditures and help parent(s) received from the Head/Wife/"Wife" and others is asked about Type B and Type D parents (who are aged 65 or older and are living in the FU, moved out or died since the last interview).
Pre2y. INTERVIEWER CHECKPOINT

SEE GRAY DATA CARD, SIDE 1, PART D

ALL PARENTS ARE TYPE Z

TURN TO P. 112 OF QUESTIONNAIRE, H79

ANY PARENT IS TYPE B OR E

ALL OTHERS

GO TO Pre5

Pre3. Do any of your (or your [wife’s/"WIFE’S"]) parents need help with basic personal needs like dressing, eating or bathing?

1. YES
2. NO

GO TO Pre4

Pre3a. Which parent needs that help? [CHECK ALL THAT APPLY]

1. HEAD’S FATHER
2. HEAD’S MOTHER
3. WIFE’S/"WIFE’S" FATHER
4. WIFE’S/"WIFE’S" MOTHER

Pre4. Is there a problem with any of your (or your [wife’s/"WIFE’S"]) parents being left alone for an hour or more?

1. YES
2. NO

GO TO Pre5

Pre4a. For which parent is that a problem? [CHECK ALL THAT APPLY]

1. HEAD’S FATHER
2. HEAD’S MOTHER
3. WIFE’S/"WIFE’S" FATHER
4. WIFE’S/"WIFE’S" MOTHER

Pre5. INTERVIEWER CHECKPOINT

SEE ITEM 22e ON P. 4 OF COVERSHEET - PARENT STATUS

ITEM 22e=2 FOR HEAD
ITEM 22e=2 FOR WIFE/"WIFE"

ALL OTHERS

NEXT PAGE, P1

Pre6. When we spoke with you last year, did any of your (or your [wife’s/"WIFE’S"]) parents need help with basic needs (like dressing, eating or bathing?)

1. YES
2. NO

GO TO Pre7

Pre6a. Which parent needed that help? [CHECK ALL THAT APPLY]

1. HEAD’S FATHER
2. HEAD’S MOTHER
3. WIFE’S/"WIFE’S" FATHER
4. WIFE’S/"WIFE’S" MOTHER

Pre7. When we spoke with you last year, was there a problem with any of your (or your [wife’s/"WIFE’S"]) parents being left alone (for an hour or more)?

1. YES
2. NO

NEXT PAGE, P1

Pre7a. Which parent needed that help? [CHECK ALL THAT APPLY]

1. HEAD’S FATHER
2. HEAD’S MOTHER
3. WIFE’S/"WIFE’S" FATHER
4. WIFE’S/"WIFE’S" MOTHER
Pre2y  Check GRAY DATA CARD to see if all parents are marked TYPE Z. If this is the case, do not ask any more questions in this supplement. Turn back to the main questionnaire and proceed with question H79 on P.112.

Pre3-Pre4  These two questions are asked only about TYPE B or TYPE E parent(s), those who are 65 years or older and are still alive.
HOME-BASED CARE

In the following questions about health care costs, it is fine to estimate because we know it could be difficult to recall exact amounts. We sometimes ask about help covering expenses, please count help given to parents either by paying their costs directly or by giving them money to pay the costs.

P1. At any time during 1993 did any of your (or your wife’s) parents have a paid nurse or home health-care worker come to their homes to help them with health-related or personal needs?

1. YES  5. NO

P1a. Which parent received that care? [SEE GRAY DATA CARD, SIDE 1, PART D AND CHECK ALL THAT APPLY]

1. HEAD’S FATHER
   IF NOT TYPE Z
2. HEAD’S MOTHER
   IF NOT TYPE Z
3. WIFE’S/WIFE’S
   FATHER IF NOT TYPE Z
4. WIFE’S/WIFE’S
   MOTHER IF NOT TYPE Z

7. OTHER--PARENT MENTIONED IS TYPE Z

P1aa. INTERVIEWER CHECKPOINT

SEE GRAY DATA CARD, SIDE 1, PART D AND P1a ABOVE--WHETHER RECEIVED HOME HEALTH CARE

BOX 1 "HEAD’S FATHER" IS CHECKED AT P1a

HEAD’S FATHER IS TYPE B, D, E OR F

NEXT PAGE, P1b

HEAD’S FATHER IS TYPE A OR C

TURN TO P. 13, P1a

ALL OTHERS

TURN TO P. 13, P1aa
Here we are interested only in home-based health care services provided by someone for monetary reimbursement. Also include cases where no cash is received but the helper gets pay-in-kind or room and board regularly for helping. Do not include help here that is a relative or friend who provides the help but does not receive monetary reimbursement or pay-in-kind services. The care reported here must be only that received in 1993.

Refer to the data card to determine which eligible parents received the home-based care. If the parent(s) mentioned are TYPE Z parents (ineligible for the questions), record them as OTHER (code 7). For the eligible parent(s) checked, continue the follow-up questions in this module for each of them.

This is to find out whether a parent has been reported as receiving the home-based care. This same checkpoint is repeated for each parent throughout this supplement.
P1b. Now thinking of the cost of the home health care your (HEAD’S) father received in 1993, after Medicare, Medicaid and other insurance pay what they’re supposed to, does the remainder total $200 or more?

1. YES  5. NO —> TURN TO P. 13, Plaa

P1c. Did you (or your [wife/"WIFE"] ) help cover the cost of that care? [MARK GRAY DATA CARD, SIDE 2, PART J, ITEM 13 IF "YES" TO THIS QUESTION.]

1. YES  5. NO —> GO TO P1ff

P1d. About how much of the cost did you (and she) cover?

$ __________ —> 999998. DK

GO TO P1ff

P1e. Would you say about $1,000 or more?

1. YES  5. NO  8. DK —> GO TO P1ff

P1f. $5,000 or more?

1. YES  5. NO  8. DK

P1ff. INTERVIEWER CHECKPOINT

SEE GRAY DATA CARD, SIDE 1, PART D

HEAD’S FATHER IS TYPE B OR D

HEAD’S FATHER IS TYPE E OR F

NEXT PAGE, P1g

TURN TO P. 13, Plaa
This question gives us a rough estimate of the total non-reimbursed out-of-pocket costs for the care, including those covered by a relative or friend. Also include here any outstanding bills for services received in 1993, even if the bills have not been paid (same for P2b, P3, S1b, S2b, and S3). If this amount is less than $200, do not ask the follow-up questions (P1c-P1z) for this parent.

Mark the GRAY DATA CARD if the Head/Wife/"Wife" have helped cover the cost.

Refer to the GRAY DATA CARD. For TYPE B or TYPE D parents (who are in FU, moved out or died since the last interview), continue with more questions about the expenses for the home-based care.
Plg. Did anyone else help cover those costs?

1. YES  5. NO ——— GO TO Plv

Plh. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to your (HEAD’S) father? [PROBE FOR NAME AND RELATIONSHIP TO HEAD’S FATHER]

1ST PERSON’S NAME+RELATIONSHIP TO FATHER: ___________________ + ___________________

2ND PERSON’S NAME+RELATIONSHIP TO FATHER: ___________________ + ___________________

3RD PERSON’S NAME+RELATIONSHIP TO FATHER: ___________________ + ___________________

Plj. INTERVIEWER CHECKPOINT

SEE Plh ABOVE—WHETHER HEAD/WIFE/WIFE" LISTED

SOMEONE BESIDES HEAD/WIFE/WIFE" LISTED AT Plh  ALL OTHERS

GO TO Pls

Plp. About how much did the help from (1ST NAME + 2ND NAME + 3RD NAME) total?

$ ___________  999998. DK

GO TO Pls

Plq. Would you say about $1,000 or more?

1. YES  5. NO  8. DK

Plr. $5,000 or more?

1. YES  5. NO  8. DK

Pls. Did anyone else help cover those costs?

1. YES  5. NO ——— GO TO Plv

Plu. What is the relationship of each of those persons to your (HEAD’S) father?

1ST PERSON’S RELATIONSHIP TO FATHER: ___________________

2ND PERSON’S RELATIONSHIP TO FATHER: ___________________

3RD PERSON’S RELATIONSHIP TO FATHER: ___________________

Plv. About how much of the cost will your (HEAD’S) father end up paying? [MARK GRAY DATA CARD, SIDE 2, PART E, ITEM 1.]

$ ___________  999998. DK

NEXT PAGE, Plaa

Plw. Would you say about $1,000 or more?

1. YES  5. NO  8. DK

NEXT PAGE, Plaa

Plz. $5,000 or more?

1. YES  5. NO  8. DK
P1h

The purpose here is to try to identify the one person who helped the most. If the
respondent cannot isolate such a person, accept names for those who helped equally (up
to 3 persons). We ask names here so that we can match them to persons already in our
study. Accept whatever name R gives; do not probe for complete name. We want the
relationship to the person who was helped—not the relationship to Head, as in most other
places in the questionnaire. The person(s) reported here could be the Head/Wife/"Wife"
or others (same for P2h, P3h, and P5h).

P1p

Count the total amount of help from all persons reported in P1h, if more than one person
is reported (same for P2p and P3p).

P1u

For any others helped, record only the relationship to the parent who was helped but not
the names here.

P1v

This question is meant to find out how much the parent her/himself had to pay after
subtracting all the assistance from insurance and others reported in P1g-P1u (same for
P2v, P3v and P5v). Mark the GARY DATA CARD to record whether a nonzero amount
is reported.
NURSING HOME CARE

P2. Did any of your (or your [wife's/"WIFE'S"]) parents live in a nursing home at any time during 1993?

1. YES 5. NO

TURN TO P. 31, P3

P2a. Which parent received that care? [SEE GRAY DATA CARD, SIDE 1, PART D AND CHECK ALL THAT APPLY.]

1. HEAD'S FATHER IF NOT TYPE Z
2. HEAD'S MOTHER IF NOT TYPE Z
3. WIFE'S/"WIFE'S" FATHER IF NOT TYPE Z
4. WIFE'S/"WIFE'S" MOTHER IF NOT TYPE Z

7. OTHER--PARENT MENTIONED IS TYPE Z

P2aa. INTERVIEWER CHECKPOINT

SEE P2a ABOVE--WHETHER HEAD'S ELIGIBLE FATHER RECEIVED NURSING HOME CARE

BOX 1 "HEAD'S FATHER" IS CHECKED AT P2a

ALL OTHERS

TURN TO P. 22, P2aa

P2ab. Had your (HEAD'S) father lived in a nursing home at any time before January 1993?

1. YES 5. NO

P2ac. Now thinking only about the nursing home care in 1993, how many weeks or months in total did he receive that care?

WEEKS  OR  MONTHS  8. DK

P2ad. INTERVIEWER CHECKPOINT

SEE GRAY DATA CARD, SIDE 1, PART D

HEAD'S FATHER IS:

TYPE B OR D  TYPE E OR F  TYPE A OR C

NEXT PAGE, P2c  TURN TO P. 22, P2aa

P2b. For the cost of the nursing home care your (HEAD'S) father received in 1993, after Medicare, Medicaid and any other insurance pay what they're supposed to, does the remainder total $1,000 or more?

1. YES 5. NO

TURN TO P. 22, P2aa
Nursing homes are institutions primarily for people who need constant nursing supervision or are incapable of living independently. Nursing supervision must be provided on a continuous basis for the institution to qualify as a nursing home. Do not include stays in adult foster care facilities or other short-term stays in a hospital. However, do include stays in a nursing home that is part of a hospital. Some hospitals now provide nursing home facilities in a separate wing or floor of the building. The facilities are to be considered a nursing home and not part of the hospital.

The amount of time spent in the nursing home is to be counted separately from that in the hospital, even if the reason for the nursing home stay and the hospital stay were identical.

Please pay careful attention to the time reference in this question. It refers only to the length of nursing home stays in 1993, even though the previous question (P2ab) asks about nursing home stays before 1993.
OTHER HEALTH CARE

P3. INTERVIEWER CHECKPOINT

SEE GRAY DATA CARD, SIDE 1, PART D

ANY PARENT IS TYPE B, D, E OR F

ALL PARENTS ARE TYPE A, C OR Z

TURN TO P. 112 OF QUESTIONNAIRE, H79

P3. Now about other health-related costs such as prescription medications, doctor visits, special equipment or health-related changes to a house. Did those costs for any of (your/your [wife’s/"WIFE’S"] ) parents total $200 or more during 1993?

1. YES
5. NO

TURN P. 40, P4

DEFINITION: SPECIAL EQUIPMENT INCLUDES ATTACHMENTS TO THE BODY SUCH AS A LEG BRACE, BACK BRACE, OR ARTIFICIAL LIMB; OBJECTS SUCH AS A WHEELCHAIR OR HEARING AID; OR MODIFICATIONS TO APPLIANCES, VEHICLES, OR THE HOME SUCH AS RAISED TOILETS, RAMPS, AND HANDRAILS.

P3a. For which parent? [SEE GRAY DATA CARD, SIDE 1, PART D AND CHECK ALL THAT APPLY.]

1. HEAD’S FATHER
   IF TYPE B, D, E OR F

2. HEAD’S MOTHER
   IF TYPE B, D, E OR F

3. WIFE’S/"WIFE’S"
   FATHER IF TYPE B, D, E OR F

4. WIFE’S/"WIFE’S"
   MOTHER IF TYPE B, D, E OR F

7. OTHER--PARENT MENTIONED IS TYPE A, C OR Z

P3aa. INTERVIEWER CHECKPOINT

SEE P3a ABOVE AND GRAY DATA CARD, SIDE 1, PART D--WHETHER HIGH OTHER HEALTH COSTS

BOX 1 "HEAD’S FATHER" IS CHECKED AT P3a

HEAD’S FATHER
IS TYPE B OR D

HEAD’S FATHER
IS TYPE E OR F

NEXT PAGE, P3c

ALL OTHERS

TURN TO P. 34, P3aa

P3b. Did prescription medication make up all of those costs for your (HEAD’S) father, most of it, some of it, or none of it?

1. ALL
2. MOST
3. SOME
4. NONE
For cases which all parents of Head (and Wife/"Wife") are either TYPE A, C (age 55-64) or TYPE Z (not eligible), this is the end of this supplement. Go back to p.112 of the main questionnaire.
32 OTHER HEALTH CARE--Head's Father

P3c. Thinking about all those other health-related costs including prescription medications for your (HEAD’S) father, did you (or your [wife/"WIFE"])] help cover any of them? [MARK GRAY DATA CARD, SIDE 2, PART J, ITEM 15 IF "YES" TO THIS QUESTION.]

1. YES  5. NO ----> GO TO P3ff

P3d. About how much of those costs did you (and your [wife/"WIFE"]]) help cover?

$__________

GO TO P3ff

P3e. Would you say about $1,000 or more?

1. YES  5. NO  8. DK

P3f. $5,000 or more?

1. YES  5. NO  8. DK

P3ff. INTERVIEWER CHECKPOINT

SEE GRAY DATA CARD, SIDE 1, PART D

HEAD’S FATHER IS TYPE B OR D

HEAD’S FATHER IS TYPE E OR F

TURN TO P. 34, P3aa

P3g. Did anyone else help cover those costs?

1. YES  5. NO ----> NEXT PAGE, P3v

P3h. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to your (HEAD’S) father? [PROBE FOR NAME AND RELATIONSHIP TO HEAD’S FATHER]

1ST PERSON’S NAME+RELATIONSHIP TO FATHER: ______________ + ______________

2ND PERSON’S NAME+RELATIONSHIP TO FATHER: ______________ + ______________

3RD PERSON’S NAME+RELATIONSHIP TO FATHER: ______________ + ______________
Include all health-related costs here, including prescription medication.
SUMMARY AMOUNT--HEAD'S FATHER

P4. INTERVIEWER CHECKPOINT

SEE PART E, SIDE 2 OF GRAY DATA CARD--OUT-OF-POCKET HEALTH CARE COSTS FOR HEAD'S FATHER

ITEM 1, 2 OR 3 FROM PART E OF GRAY DATA CARD IS MARKED "HAS A DOLLAR AMOUNT OR IS MARKED 'DK'

ALL OTHERS

GO TO P4a

PSUM. You have told me that your (HEAD'S) father's health-related expenses in 1993 were substantial. Did he have to sell assets, withdraw money that normally would not be touched or do anything else special to find the money to pay for those expenses? [CHECK ALL THAT APPLY]

1. YES, SELL ASSETS
2. YES, WITHDRAW MONEY
5. DID NOT DO ANYTHING SPECIAL
7. YES, OTHER (SPECIFY)

SUMMARY AMOUNT--HEAD'S MOTHER

P4a. INTERVIEWER CHECKPOINT

SEE PART F, SIDE 2 OF GRAY DATA CARD--OUT-OF-POCKET HEALTH CARE COSTS FOR HEAD'S MOTHER

ITEM 4, 5 OR 6 FROM PART F OF GRAY DATA CARD IS MARKED "HAS A DOLLAR AMOUNT OR IS MARKED 'DK'

ALL OTHERS

NEXT PAGE, P4b

PSUM. You have told me that your (HEAD'S) mother's health-related expenses in 1993 were substantial. Did she have to sell assets, withdraw money that normally would not be touched or do anything else special to find the money to pay for those expenses? [CHECK ALL THAT APPLY]

1. YES, SELL ASSETS
2. YES, WITHDRAW MONEY
5. DID NOT DO ANYTHING SPECIAL
7. YES, OTHER (SPECIFY)

CATI Data Entry Staff:

In order to simplify the field interviewers' task, the P4 checkpoints above are oversimplified versions of the CATI path to PSUM, and so PSUM may be asked on paper for cases in which the PSUM screen does not appear. In that event, don't worry and don't try to code PSUM. For informational purposes, the true CATI path is detailed on the last page of this document.
Refer to the GRAY DATA CARD for each parents' out-of-pocket medical costs to complete this checkpoint. See part E for Head's Father's sequence, Part F for Head's Mother's sequence, Part G for Wife's/"Wife's" Father's sequence and Part H for Wife's/"Wife's" Mother's sequence. If any of the three items for a parent is marked as "HAS A DOLLAR AMOUNT OR IS MARKED DK", ask the question that follows (PSUM).

The emphasis here is whether the parent had to take any special measures to pay for any of the major medical expenses mentioned earlier.
P5c. Was that you (or your [wife/"WIFE"] or both) who spent time helping?
   1. HEAD ONLY → P5d1. How many hours did you (HEAD) spend?

   NEXT PAGE, P5ff
   P5d1. Was it 100 or more hours?
      1. YES  5. NO  8. DK

   NEXT PAGE, P5ff
   P5f1. 500 or more hours?
      1. YES  5. NO  8. DK

   2. WIFE/"WIFE" ONLY → P5d2. How many hours did she spend?

   NEXT PAGE, P5ff
   P5e2. Was it 100 or more hours?
      1. YES  5. NO  8. DK

   NEXT PAGE, P5ff
   P5f2. 500 or more hours?
      1. YES  5. NO  8. DK

   3. BOTH HEAD AND WIFE/"WIFE" → P5c3. Thinking only about the help from you (HEAD), how many hours did you spend?

   GO TO P5c4
   P5e3. Was it 100 or more hours?
      1. YES  5. NO  8. DK

   GO TO P5c4
   P5f3. 500 or more hours?
      1. YES  5. NO  8. DK

   P5c4. Thinking only about the help from your (wife/"WIFE"), how many hours did she spend?

   NEXT PAGE, P5ff
   P5e4. Was it 100 or more hours?
      1. YES  5. NO  8. DK

   NEXT PAGE, P5ff
   P5f4. 500 or more hours?
Find out how many hours Head/Wife/"Wife" helped the parents respectively. When both Head and Wife/"Wife" helped, obtain hours helped by each separately. (Same for P6c-P6f4.)
62 MONEY HELP: OTHER

P7. Now I have a question about giving any other money, property, or financial assistance. Not counting health-related help, any shared food, or shared housing, did you (and your [wife/"WIFE"]) give any of your (or her) parents $200 or more?

1. YES
5. NO


P7a. Which parent received that help? [SEE GRAY DATA CARD, SIDE 1, PART D AND CHECK ALL THAT APPLY.]

1. HEAD’S FATHER
   IF TYPE B, D, E OR F
2. HEAD’S MOTHER
   IF TYPE B, D, E OR F
3. WIFE’S/"WIFE’S"
   FATHER IF TYPE B, D, E OR F
4. WIFE’S/"WIFE’S"
   MOTHER IF TYPE B, D, E OR F

7. OTHER—PARENT MENTIONED IS TYPE A, C OR Z

P7aa. INTERVIEWER CHECKPOINT

SEE P7a ABOVE—WHETHER HEAD’S FATHER OR MOTHER IS CHECKED

BOX 1 "HEAD’S FATHER" OR
BOX 2 "HEAD’S MOTHER" IS CHECKED AT P7a
ALL OTHERS
GO TO P7aaa

P7d1. Thinking about such help to your (HEAD’S) parent(s)—about how much did that help total in money value?

$__________

GO TO P7aaa

999998. DK

P7e1. Would you say about $1,000 or more?

1. YES
5. NO
8. DK

GO TO P7aaa

P7f1. $5,000 or more?

1. YES
5. NO
8. DK

P7aaa. INTERVIEWER CHECKPOINT

SEE P7a ABOVE—WHETHER WIFE’S/"WIFE’S" FATHER OR MOTHER IS CHECKED

BOX 3 "WIFE’S/"WIFE’S" FATHER" OR
BOX 4 "WIFE’S/"WIFE’S" MOTHER IS CHECKED AT P7a
ALL OTHERS

TURN TO P. 64, P8

NEXT PAGE, P7d2
This is a family-specific question, asked once for Head’s parents and once for Wife’s/"Wife’s" parents. These loans, gifts, and support need not be actual cash. Household appliances, furniture, autos, etc. count here, as well as actual money. The total value of all these things must amount to at least $200.
P8. INTERVIEWER CHECKPOINT

SEE PART J, SIDE 2 OF GRAY DATA CARD--TIME AND MONEY HELP GIVEN TO PARENTS

ITEMS 13-17 FROM PART J
OF GRAY DATA CARD ARE NOT MARKED
AT ALL FOR ANY PARENT

GO TO P11

P9. Think about all the different types of help we have talked about that you (and your "WIFE") gave to a parent (or a parent in-law) in 1993. Did giving that help mean that you (HEAD) had to increase or decrease the hours you worked at a job for pay? [PROBE FOR INCREASE OR DECREASE]

1. YES, INCREASED HOURS WORKED
2. YES, DECREASED HOURS WORKED
5. DID NOT CHANGE HOURS WORKED

P9a. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVER SHEET)--WHETHER WIFE/"WIFE"

1. WIFE/"WIFE" IN FU
2. NO WIFE/"WIFE" IN FU

GO TO P11

P10. Did it mean that your (WIFE/"WIFE") had to increase or decrease the hours she worked at a job for pay? [PROBE FOR INCREASE OR DECREASE]

1. YES, INCREASED HOURS WORKED
2. YES, DECREASED HOURS WORKED
5. DID NOT CHANGE HOURS WORKED

P11. INTERVIEWER CHECKPOINT

SEE GRAY DATA CARD, SIDE 1, PART D

ANY PARENT IS TYPE B OR D

NEXT PAGE, P12

ALL OTHERS

TURN TO P. 112 OF QUESTIONNAIRE, H79
PS. Check items 13-17 on the DATA CARD to see if at least one item is marked. Having any of the items marked indicates that the Head/Wife/"Wife" have helped at least one of their parents in 1993 in ways that were discussed about in this supplement (be it financial help or time help). If these items are not marked at all, skip the questions that follow (P9-P10) about changes in work hours the Head/Wife/"Wife" had to make in order to provide these assistance to their parent(s).

P9

This question is an attempt to find out if the Head had to change her/his work hours in order to provide either time or money assistance to any of her/his elderly parents or parents-in-law.

P10

This is the equivalent question of P9 for the Wife/"Wife".
P12. Now I have a question about receiving any other money, property or financial assistance from others. Not counting health-related help, any shared food, or shared housing, in 1993 did you (and your family there) receive $200 or more from a friend or relative?

1. YES  
5. NO

TURN TO P. 112 OF QUESTIONNAIRE, H79


P12h. Thinking about everyone who provided the help, who helped the most? What is (his/her) relationship to you (HEAD)? [PROBE FOR NAME AND RELATIONSHIP TO HEAD]

1ST PERSON’S NAME+RELATIONSHIP TO HEAD: ______________ + ______________
2ND PERSON’S NAME+RELATIONSHIP TO HEAD: ______________ + ______________
3RD PERSON’S NAME+RELATIONSHIP TO HEAD: ______________ + ______________

P12p. About how much did that help total in money value?

$___________________
GO TO P12s

P12s. Was it $1,000 or more?

1. YES  
5. NO  
8. DK

GO TO P12s

P12r. $5,000 or more?

1. YES  
5. NO  
8. DK

P12s. Did anyone else give a total money value of $200 or more?

1. YES  
5. NO

TURN TO P. 112 OF QUESTIONNAIRE, H79

P12u. What is the relationship of each of those persons to you (HEAD)?

1ST PERSON’S RELATIONSHIP TO HEAD: ____________________________
2ND PERSON’S RELATIONSHIP TO HEAD: ____________________________
3RD PERSON’S RELATIONSHIP TO HEAD: ____________________________

TURN TO P. 112 OF QUESTIONNAIRE, H79
This question is the equivalence of S12 in the supplement for Head/Wife/"Wife". This is a family specific question, asked once for each family unit. It is asked here only if there are any parents 65 or older who are either currently in the FU, moved out or died since the last interview.
H79. INTERVIEWER CHECKPOINT: SEE LISTING, (P. 4) OF REINTERVIEW COVERSHEET

THIS IS A REINTERVIEW (IVORY) OR SPLITOFF (TAN) COVERSHEET RECONTACT (ROSE) COVERSHEET

H80. DOES ANY PRELISTED INDIVIDUAL HAVE "YES" IN COLUMN LABELED "MEDICARE PERMIT" (ITEM 22a)?

1. YES 5. NO

H81. PRINT NAMES OF ALL SUCH PERSONS BELOW:

NAME OF PERSON A: __________________________________________

NAME OF PERSON B: __________________________________________

H82. IS PERSON A PRESENT FOR THE INTERVIEW?

1. YES 5. NO

MED2. [ASK DIRECTLY OF PERSON A] Now I have a question about Medicare benefits. In previous years you gave us permission to obtain information about medical bills Medicare paid on your behalf. We want to continue this as part of our study of how medical care expenditures affect family budgets. May we have your permission to collect information regarding the bills they pay on your behalf for your medical expenses in 1994 and 1995?

1. YES 5. NO

H84. INTERVIEWER CHECKPOINT: SEE H81 ABOVE

H85. IS A PERSON B LISTED AT H81?

1. YES 5. NO

H86. IS PERSON B PRESENT FOR THE INTERVIEW?

1. YES 5. NO

MED3. [ASK DIRECTLY OF PERSON B] Now I (have a question about Medicare benefits/need to ask you the same question I just asked [PERSON A]). May we have your permission to collect information from Medicare regarding the bills they pay on your behalf for medical expenses in 1994 and 1995?

1. YES 5. NO
Part 13: Medicare Permission

H79 This is a checkpoint for Medicare Permission Requests. The RECONTACT cases do not go through this sequence since we will not be requesting permissions from them.

This sets of questions request permission from specified FU Members for the PSID to obtain information about Medicare expenditures made on their behalf by the Health Care Financing Administration (HCFA). The data received from HCFA will be summarized and made available under special contractual conditions to researchers interested in the inter-relationships among age, income, occupation, ethnicity, gender, health and disability status, and medical care expenditures.

Only a relatively small subset of persons (about 800) will be asked these questions: those who are eligible to receive Medicare benefits and who have given us permission to obtain this information during their 1990-1993 interviews. There may be up to two such persons in an FU.

H80-MED3 If you are working on a REINTERVIEW (ivory coversheet) or a SPLITOFF (tan coversheet), check item 23a?? on page 4 of the Coversheets (labeled as MEDICARE) to see if there is any "YES" marked in that column. A "YES" indicates that we need the permission from that individual. Print all names that has a "YES" indicated for them on H81.

The Medicare Permission questions must be asked directly to the persons regarding whose Medicare benefits we want to obtain information. Although in the great majority of cases this will be the Respondent, there will be some cases where you will need to ask for another person or persons to answer these questions.

Be sure to speak directly to the person for whom the permission is to be obtained whenever that person can possibly be spoken to. You may find that a Respondent will want to try to answer on behalf of their spouse, but this should not be accepted unless the person who should be asked directly is physically or mentally incapacitated. In such cases, you may ask the question and accept an answer from the person (usually a spouse or adult child) who has power of attorney for, or is guardian of, the person who is physically or mentally impaired. Write on the margin to explain the situation.

If the person who should be asked the Medicare Permission question is temporarily unavailable (e.g., out of the home on an errand), make an appointment and call back at another time to obtain the permission. Complete a PSID MEDICARE QUESTION FORM for use in later callbacks.
Hello, may I speak with (MEDICARE R’S NAME)?

If YES, go to 2. If NO, complete callnotes on reverse

Hello, I am (NAME) from the Study of Family Economics at the University of Michigan. In previous years, you gave us permission to obtain information from Medicare about medical bills Medicare paid on your behalf. We want to continue this as part of our study of how medical care expenditures affect family budgets. May we have your permission to collect information from Medicare regarding bills they pay on your behalf for your medical expenses in 1993 and 1994?

[YES] [NO]

Date Medicare Respondent Answered Question: ______________________
<table>
<thead>
<tr>
<th>Call #</th>
<th>Date</th>
<th>Time</th>
<th>IWer ID#</th>
<th># Dialed and Person Called</th>
<th>Description of Contact(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Part 14: Section J—Marriage and Children

Section J collects information on births, adoptions, and marriages for the Head, Wife/"Wife," and OFUMS. In this section, you must check the Family Listing carefully to see whether the FU contains a Wife (no quotes) or a "Wife".

You will be recording names of children and spouses throughout this section. Interviews conducted with paper questionnaires will be entered into CATI when they are received from the Field, then destroyed. All names will be removed from the data set during processing by the study staff. If needed, reassure Rs that we will protect their privacy. We are asking for names only to help us clarify family relationships among the family members. If R gives you only a first name, do not ask for a last name.

Section J contains a number of important checkpoints that require you to check the Family Listing label on page 4 of the Reinterview (Blue) Coversheet for changes in Head, Wife or "Wife" since last year's interview. The checkpoints exist so that we don’t repeat complete marriage and child histories already collected for these people. Changes in Head, Wife and "Wife" are determined by looking at preprinted relationships of Head, Wife and "Wife" at item 23b on the Family Listing label versus any updates you may have made.

You will need to use the OWN CHILDREN SUPPLEMENT (green) or ADOPTED CHILDREN SUPPLEMENT (gold) to record detailed information on each child. If there are OFUMs who have been married or had any children, you will need to complete a 1994 BOOKLET FOR MARRIAGE AND CHILDREN OF OTHER FU MEMBERS.

J2-J9 are child history updates for FUs in which the Head and Wife (not "Wife"!) are the same individuals as they were at the time of last year’s interview. They must be prelisted on the Family Listing label, p. 4 of the Coversheet, as Head and Wife. If this year’s Wife is prelisted as a "Wife" or girlfriend, do not complete this question sequence. When the Head and legal Wife haven’t changed, we don’t reask marriage history questions or any questions concerning children born or adopted before 1993. We are interested in getting information only on children born or adopted since January 1, 1993.

J12-J43 are marriage and child history sequences for a single Male Head (no Wife/"Wife") who was also Head last year; for this year’s Female Head who was also Head or Wife or "Wife" during last year’s interview; for a Head who has a "Wife"; and for a Head who has a New Wife.

J46-J66 are marriage and child history sequences for a New Head who was neither a Head, Wife nor "Wife" for last year’s interview.

J70-J99 are marriage and child history sequences for a Wife this year who was a Head or "Wife" for last year’s interview. If this year’s Wife is prelisted as a Wife but has a New Head, this sequence should be asked. For families with a Head and "Wife" now who were also Head and "Wife" last year, this sequence should be completed for the "Wife". We do this because we know the marital status of a Wife, but there is no way for us to update the marital status of a "Wife" unless we ask these questions. This sequence should also be asked of a "Wife" who was Head or Wife last year.
J102-J124 are marriage and child history sequences for a New Wife or New "Wife" who was not Head, Wife, or "Wife" last year.

J127-J133 identifies current OFUMS who are between the ages of 12 and 44. Complete the 1994 BOOKLET FOR MARRIAGE AND CHILDREN OF OTHER FU MEMBERS for all such OFUMs. Please list carefully, double-checking the ages of all current OFUMS.

Sometimes a Respondent gives you information that entirely contradicts our preprinted relationship to Head. For example, a prelisted Head and "Wife" turn out to be a Head and Wife who have been married for several years. This may occur while completing items 23b or 23n-23p on the Family Listing. In order to obtain information on this marriage, treat these people (and the checkpoint) just as if they had gotten married since last year's interview—the 1993 "Wife" has become the 1994 Wife. The reverse situation also occurs—the R tells you they have never been married to each other, but in previous interviews we've been given "married" as Head's marital status and a marriage date in Section J. Your prelisted Wife is now a "Wife" for 1994, and she is treated as if they had just divorced but had remained living together. We are not sure how these things happen—how Rs can change their minds about whether they got married in the past—but every year we come across a few cases with such problems. Just look at the prelisted relationships on the Family Listing label and at your updates to them when completing the checkpoints in this section.

When dealing with a Girlfriend who becomes a "Wife," couples who split up, and other changes, refer to Appendix I for clarification if necessary.
Blank page here
SECTION J: MARRIAGE AND CHILDREN

J0. Now I am going to ask you some questions about marriage and children.

J1. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF IVORY OR ROSE COVERSHEET) OR ITEM 9d, (P. 3 OF TAN COVERSHEET)

IVORY OR TAN COVERSHEET:

MALE HEAD IN 1994 WAS HEAD ON LAST YEAR’S COVERSHEET

3. FEMALE HEAD IN 1994 WAS HEAD OR WIFE OR "WIFE" ON LAST YEAR’S COVERSHEET

NEXT PAGE, J12

4. ALL OTHERS, INCLUDING ALL ROSE COVERSHEETS

WIFE IN 1994 WAS WIFE ON LAST YEAR’S COVERSHEET

5. "WIFE" IN FU NOW

NEXT PAGE, J12

5. ALL OTHERS

NEXT PAGE, J12

1. WIFE IS UNDER AGE 45

2. WIFE IS AGE 45 OR OLDER

TURN TO P. 127, J127

J2. We are updating the information we collected last year. Have you and your wife had any children since January 1993?

[DO NOT COUNT STILLBIRTHS, STEPCHILDREN, OR ADOPTED CHILDREN]

1. YES

5. NO → GO TO J7

J3. How many is that? __________________________

J4. What is the (first/next) child’s name? [IWER: WRITE NAME OF EACH CHILD AT OS0 OR OS6a ON GREEN OWN CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]

J7. Have you or your wife legally adopted any children since January 1993?

1. YES

5. NO → TURN TO P. 127, J127

J8. How many is that? __________________________

J9. What is the (first/next) child’s name? [IWER: WRITE NAME OF EACH CHILD AT AS0 OR AS6a ON GOLD ADOPTED CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]
J1. Although Wife and "Wife" have been treated identically in previous sections of the interview, this is not true for Section J. Different question sequences are asked, depending on whether the FU includes a (legal) Wife or "Wife" (in quotes), so it is very important to mark the appropriate box both at this checkpoint and at J69. As mentioned above, the marital status recorded at coversheet item 23n?? may be inconsistent with previous information and with the prelisted relationship at item 23b?? on the Family Listing label. For example, the Family Listing label includes a Head and "Wife", but at item 23n?? the Respondent claims they have been married for ten years. This year's FU now includes a Head and Wife, but remember that the Family Listing label prelists her as "Wife." Check ALL OTHERS here (box 5).

In order to mark this checkpoint correctly, you must first read the three boxes at the top of the page, from left to right. Select one of these three options, and follow the skip instruction given. If you choose MALE HEAD IN 1994 WAS HEAD ON LAST YEAR’S COVERSHEET, you will be guided to three more boxes, which you must again read from left to right. Select one of these options, and follow the skip instruction given. If we have prelisted a Wife whom you find is still the Wife (and the Head hasn’t changed), mark either WIFE IS UNDER AGE 45 or WIFE IS AGE 45 OR OLDER. If the Head has a "Wife" (in quotes) this year, then "WIFE" IN FU NOW will be checked. All others, that is male Heads with either a new Wife or no Wife/"Wife" at all, should be marked ALL OTHERS.

For female Heads on Reinterview (Ivory) or Splitoff (Tan) Coversheets who were Head, Wife or "Wife" last year, the appropriate box is FEMALE HEAD IN 1994 WAS HEAD OR WIFE OR "WIFE" ON LAST YEAR’S COVERSHEET. For New Heads this year on Reinterview (Ivory) Coversheets or for any Head on a Recontact (Rose) Coversheet, the correct choice is ALL OTHERS (box 4). This box also applies to most Splitoff (Tan) Coversheet Heads, but is not correct for a Splitoff Head (Tan Coversheet) who was Head, Wife, or "Wife" on another coversheet last year. For example, a Sample male Head splits off from his Sample Wife this year. Although he has a Splitoff (Tan) Coversheet, he is Head both this year and last; it’s just that last year he was a 1993 Reinterview Head and this year he’s a 1994 Splitoff Head. Again, the "trick" to this checkpoint, and to the checkpoint at J69, is to read the options from left to right across the page, moving downward to the next line only when instructed to do so.

J2. Both Head and Wife should be the natural parents of any children reported here. Although an OWN CHILDREN SUPPLEMENT was completed by the 1993 interviewer for children who were born in 1993 before last year’s interview was taken, the supplement should be filled out again this year.

J4. Write the names of any children born on or after January 1, 1993 at OSO or OS6a on the OWN CHILDREN SUPPLEMENT. Take down children’s names in whatever order the Respondent gives them—they don’t have to be in birthdate or age order. If R gives you a first name only, don’t ask for a last name. Use the OWN CHILDREN SUPPLEMENT (green) to ask about them.
**SECTION J: MARRIAGE AND CHILDREN**

J0. Now I am going to ask you some questions about marriage and children.

J1. INTERVIEWER CHECKPOINT

**IVORY OR TAN COVERSHEET:**

1. WIFE IS UNDER AGE 45

2. WIFE IS AGE 45 OR OLDER

3. FEMALE HEAD IN 1994 WAS HEAD OR WIFE OR "WIFE" ON LAST YEAR'S COVERSHEET

IVORY OR TAN COVERSHEET:

MALE HEAD IN 1994 WAS HEAD ON LAST YEAR'S COVERSHEET

5. "WIFE" IN FU NOW

5. ALL OTHERS

4. ALL OTHERS, INCLUDING ALL "ROSE" COVERSHEETS

J2. We are updating the information we collected last year. Have you and your wife had any children since January 1993?

[DO NOT COUNT STILLBIRTHS, STEPCHILDREN, OR ADOPTED CHILDREN]

1. YES

5. NO → GO TO J7

J3. How many is that? ________________

J4. What is the (first/next) child's name? [IWER: WRITE NAME OF EACH CHILD AT OS0 OR OS0a ON GREEN OWN CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]

J7. Have you or your wife legally adopted any children since January 1993?

1. YES

5. NO → TURN TO P. 127, J127

J8. How many is that? ________________

J9. What is the (first/next) child's name? [IWER: WRITE NAME OF EACH CHILD AT AS0 OR AS0a ON GOLD ADOPTED CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]
If any children have been adopted in 1993 or 1994, use the ADOPTED CHILDREN SUPPLEMENT (Gold). Write the names of these adopted children at AS0 or AS6a. Only children whose adoptions have been finalized should be included here. Sometimes Rs confuse adoptions with legal custody; usually this confusion happens when the Head or Wife are the "adoptee's" grandparents or other relatives. If necessary, probe such cases to find out if this is a real legal adoption.

Q-x-Q's for the supplements follow on the next few pages.
1994 OWN CHILDREN SUPPLEMENT

FOR OFFICE USE ONLY SUPPL. # __________

OWN CHILD # ________

Q'RE QUESTION

[CHECK ONE]:

J4  J38  J61  J94  J119

PRINT NAME OF CHILD: ______________________

OS1. Let’s talk about (NAME OF CHILD). (Was/Is) the baby a boy or a girl? 1. BOY 2. GIRL

OS2. In what month and year was (he/she) born?

MONTH: __________

YEAR: __________

OS3. How much did (he/she) weigh at birth? _______ POUNDS _______ OUNCES

OS4. Is (NAME OF CHILD) living with you (HEAD) now, away with (his/her) (father/mother), with some other relative, (has [he/she] set up a separate household), or what?

1. LIVING IN FU/HU NOW

GO TO OS6

2. LIVING WITH FATHER

GO TO OS6

3. LIVING WITH MOTHER

GO TO OS6

4. LIVING WITH OTHER RELATIVE

GO TO OS6

5. SET UP A SEPARATE HOUSEHOLD

GO TO OS6

6. DECEASED

GO TO OS6

7. OTHER (SPECIFY):

OS5. In what month and year did (he/she) die?

MONTH: __________

YEAR: __________

OS6. INTERVIEWER CHECKPOINT


A. ANOTHER CHILD LISTED ON REVERSE

TURN TO REVERSE SIDE

B. NO MORE CHILDREN ON GREEN SUPPLEMENTS

STOPPED AT Q'RE J4

GO TO J7, SAME PAGE (P. 113)

STOPPED AT Q'RE J38

GO TO J41, SAME PAGE (P. 117)

STOPPED AT Q'RE J61

GO TO J64, SAME PAGE (P. 120)

STOPPED AT Q'RE J94

GO TO J97, SAME PAGE (P. 124)

STOPPED AT Q'RE J119

GO TO J122, SAME PAGE (P. 127)
**Own Child Supplement**

The supplement at left may be invoked at several points in the questionnaire.

OS2. If the R doesn’t know the month of birth, probe for the season.

OS4. We want to know where this child resides now or whether he or she is deceased. Read these boxes carefully; if the child is living with his or her mother or father in the FU/HU, then box 1 has priority over box 2 or 3. The OTHER box is for children who are neither living in the FU, with another relative, in their own home, nor deceased—for example, children away at college, in the armed services, institutionalized. Please specify where these children are.

The reverse of the OWN CHILDREN SUPPLEMENT asks the same series of questions for the next such child. Use as many OWN CHILDREN SUPPLEMENTS as necessary. Number both sides of each supplement consecutively; i.e., the first side of the first supplement is OWN CHILD #1 and the second side is OWN CHILD #2, the first side of the second supplement is OWN CHILD #3, etc.
AS0. PRINT NAME OF CHILD: ____________________________

AS1. Let's talk about (NAME OF CHILD). (Was/Is) the child a boy or a girl? 1. BOY 2. GIRL

AS2. In what month and year was (he/she) born?

[ ] 1993
[ ] 1994
[ ] OTHER YEAR: ______

MONTH

AS3. What was (his/her) relationship to (you/your wife/your "WIFE") before the adoption?

[ ] 00. NONE  ____________________________ TO  HEAD  OR  WIFE/"WIFE"

RELATIONSHIP

AS4. Is (NAME OF CHILD) living with you (HEAD) now, away with (his/her) (father/mother), with some other relative, (has [he/she] set up a separate household), or what?

1. LIVING IN FU/HU NOW  GO TO AS6
2. LIVING WITH (ADOPTIVE) FATHER  GO TO AS6
3. LIVING WITH (ADOPTIVE) MOTHER  GO TO AS6
4. LIVING WITH OTHER RELATIVE  GO TO AS6
5. SET UP A SEPARATE HOUSEHOLD  GO TO AS6
6. DECEASED  7. OTHER (SPECIFY):  GO TO AS6

AS5. In what month and year did (he/she) die?

__________________________ MONTH
__________________________ YEAR

96. 1993 OR 1994, DK WHICH
97. BEFORE 1993, DK EXACT YEAR
98. DK YEAR AT ALL

AS6. INTERVIEWER CHECKPOINT


A. ANOTHER ADOPTED CHILD LISTED ON REVERSE

STOPPED AT Q'RE J9

TURN TO REVERSE SIDE

P. 127, J127

B. NO MORE CHILDREN ON GOLD SUPPLEMENTS

STOPPED AT Q'RE J9
STOPPED AT Q'RE J43
STOPPED AT Q'RE J66
STOPPED AT Q'RE J99
STOPPED AT Q'RE J124

TURN TO P. 121, J69
TURN TO P. 127, J127
**Adopted Child Supplement**

The supplement at left may be invoked at several points in the questionnaire.

AS2. If R doesn’t know the month of birth, probe for the season.

AS4. We want to know where this child resides now or whether he or she is deceased. If the child is living in the FU/HU, then box 1 takes priority over the other boxes here. The OTHER box is for children who are neither living in the FU, with another relative, in their own home, nor deceased—for example, children away at college, in the armed services, institutionalized. Please specify where these children are.

The reverse of the ADOPTED CHILDREN SUPPLEMENT asks the same series of questions for the next adopted child. Use as many of the ADOPTED CHILDREN SUPPLEMENTS as necessary, and number as per instructions on the previous page for the OWN CHILDREN SUPPLEMENTS.
J12. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)--HEAD'S MARITAL STATUS

<table>
<thead>
<tr>
<th>MARRIED</th>
<th>WIDOWED</th>
<th>DIVORCED; MARRIAGE ANNULLED</th>
<th>SEPARATED</th>
<th>NEVER MARRIED</th>
</tr>
</thead>
<tbody>
<tr>
<td>TURN TO P. 116, J26</td>
<td>TURN TO P. 116, J26</td>
<td>TURN TO P. 116, J26</td>
<td>TURN TO P. 116, J26</td>
<td>TURN TO P. 116, J26</td>
</tr>
</tbody>
</table>

J13. In what month and year did you (last) get married?

<table>
<thead>
<tr>
<th>MONTH</th>
<th>YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>1993</td>
<td></td>
</tr>
<tr>
<td>1994</td>
<td></td>
</tr>
<tr>
<td>OTHER</td>
<td></td>
</tr>
<tr>
<td>96. 1993 OR 1994, DK WHICH</td>
<td></td>
</tr>
<tr>
<td>97. BEFORE 1993, DK EXACT YEAR</td>
<td></td>
</tr>
<tr>
<td>98. DK YEAR AT ALL</td>
<td></td>
</tr>
<tr>
<td>TURN TO P. 117, J35</td>
<td>TURN TO P. 117, J35</td>
</tr>
</tbody>
</table>

J14. What (is/was) your (husband's/wife's) name? [ACCEPT ANY NAME--DO NOT PROBE.]

J15. Before that, were you widowed, divorced, separated, or had you never been married?

<table>
<thead>
<tr>
<th>1. WIDOWED</th>
<th>2. NEVER MARRIED</th>
<th>3. DIVORCED; MARRIAGE ANNULLED</th>
<th>OTHER (SPECIFY):</th>
</tr>
</thead>
<tbody>
<tr>
<td>TURN TO P. 117, J35</td>
<td>TURN TO P. 117, J35</td>
<td>TURN TO P. 117, J35</td>
<td>TURN TO P. 117, J35</td>
</tr>
</tbody>
</table>

J16. In what month and year were you widowed?

<table>
<thead>
<tr>
<th>MONTH</th>
<th>YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>97. BEFORE 1901</td>
<td></td>
</tr>
<tr>
<td>98. DK YEAR AT ALL</td>
<td></td>
</tr>
<tr>
<td>TURN TO P. 117, J35</td>
<td></td>
</tr>
</tbody>
</table>

J17. In what month and year did your (divorce/annulment) become final?

<table>
<thead>
<tr>
<th>MONTH</th>
<th>YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>97. BEFORE 1901</td>
<td></td>
</tr>
<tr>
<td>98. DK YEAR AT ALL</td>
<td></td>
</tr>
</tbody>
</table>

J18. In what month and year did you stop living together?

<table>
<thead>
<tr>
<th>MONTH</th>
<th>YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>97. BEFORE 1901</td>
<td></td>
</tr>
<tr>
<td>98. DK YEAR AT ALL</td>
<td></td>
</tr>
<tr>
<td>TURN TO P. 117, J35</td>
<td></td>
</tr>
</tbody>
</table>
J12. Be sure to mark this checkpoint identically with the response at coversheet item 23n??.

J14. You need ask this question only if you did not obtain Head’s spouse’s name at coversheet item 23p??.
J35. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

1. HEAD IS MALE

HEAD IS FEMALE AND:

2. AGE IS UNDER 45

3. AGE IS 45 OR OLDER

TURN TO P. 127, J127

J36. Have you (fathered/given birth to) any children since January 1993? [DO NOT COUNT STILLBIRTHS, STEPCHILDREN, OR ADOPTED CHILDREN.]

1. YES

5. NO → GO TO J41

J37. How many is that?

J38. What is the (first/next) child's name? [IWER: WRITE NAME OF EACH CHILD AT OS0 OR OS6a ON GREEN OWN CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]

J41. Have you legally adopted any children since January 1993?

1. YES

5. NO → TURN TO P. 121, J69

J42. How many is that?

J43. What is the (first/next) child's name? [IWER: WRITE NAME OF EACH CHILD AT AS0 OR AS6a ON GOLD ADOPTED CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]
J35. Check a female Head's current age on p. 4 of the coversheet against this checkpoint. Remember that a person turns 45 years old on her birthday, so if that birthday hasn't yet occurred, AGE IS UNDER 45 should be checked.
J69. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF IVORY OR ROSE COVERSHEET) OR ITEM 9d, (P. 3 OF TAN COVERSHEET)

1. WAS HEAD OR WIFE OR "WIFE" ON LAST YEAR'S COVERSHEET AND THIS IS IVORY OR TAN COVERSHEET

5. ALL OTHERS, INCLUDING ALL ROSE COVERSHEETS

WIFE IN FU NOW

"WIFE" IN FU NOW

3. NO WIFE/"WIFE" IN FU NOW

TURN TO P. 127, J127

TURN TO P. 125, J102

NEXT PAGE, J75

J70. Please tell me again, in what month and year did you and your wife last get married?

1993 1994 OTHER YEAR:

TURN TO P. 124, J91

96. 1993 OR 1994, DK WHICH

97. BEFORE 1993, DK EXACT YEAR AT ALL

TURN TO P. 124, J91

J71. Before that, was she widowed, divorced, separated, or had she never been married?

3. WIDOWED

4. DIVORCED; MARRIAGE ANNULLED

2. NEVER MARRIED

OTHER (SPECIFY):

TURN TO P. 124, J91

J72. In what month and year was she widowed?

MONTH YEAR

97. BEFORE 1901

98. DK YEAR AT ALL

TURN TO P. 124, J91

J73. In what month and year did her (divorce/annulment) become final?

MONTH YEAR

97. BEFORE 1901

98. DK YEAR AT ALL

J74. In what month and year did they stop living together?

MONTH YEAR

97. BEFORE 1901

98. DK YEAR AT ALL

TURN TO P. 124, J91
J69. Please note the difference between a legal Wife and a "Wife" (in quotes) here. See the introduction to Section J and the instructions for the J1 checkpoint for further perils to be avoided.
J91. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

1. WIFE OR "WIFE" IS UNDER AGE 45
2. WIFE OR "WIFE" IS AGE 45 OR OLDER

TURN TO P. 127, J127

J92. Has your (wife/"WIFE") given birth to any children since January 1993? [DO NOT COUNT STILLBIRTHS, STEPCHILDREN, OR CHILDREN WIFE/"WIFE" HAS ADOPTED]

1. YES

5. NO  GO TO J97

J93. How many is that? ____________________________

J94. What is the (first/next) child's name? [IWER: WRITE NAME OF EACH CHILD AT AS0 OR AS6a ON GREEN OWN CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]

J97. Has your (wife/"WIFE") legally adopted any children since January 1993?

1. YES

5. NO  TURN TO P. 127, J127

J98. How many is that? ____________________________

J99. What is the (first/next) child's name? [IWER: WRITE NAME OF EACH CHILD AT AS0 OR AS6a ON GOLD ADOPTED CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]
J91. See J35 instructions.
J102. Now I'd like to ask about your (wife's/WIFE'S) family history.

J103. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

1. HEAD HAS WIFE IN FU NOW
   GO TO J105

2. HEAD HAS "WIFE" IN FU NOW

J104. Is your ("WIFE") married, widowed, divorced, separated, or has she never been married?

1. MARRIED 3. WIDOWED 4. DIVORCED, ANNULMNT 5. SEPARATED
   2. NEVER MARRIED
   TURN TO P. 127, J118

J105. Altogether, how many times has your (wife/"WIFE") been married?

1. ONCE
   NEXT PAGE, J112

2. TWICE

WIFE'S/"WIFE'S FIRST MARRIAGE

J106. In what month and year did she get married for the first time?

MONTH YEAR

97. BEFORE 1901 98. DK YEAR AT ALL

J107. What was her first husband's name? [ACCEPT ANY NAME--DO NOT PROBE.]

J108. Did that marriage end in widowhood, divorce, or what?

3. WIDOWHOOD 4. DIVORCE, ANNULMENT 5. OTHER (SPECIFY):

J109. In what month and year was she widowed?

MONTH YEAR

97. BEFORE 1901 98. DK YEAR AT ALL
   NEXT PAGE, J112

J110. In what month and year did her (divorce/annulment) become final?

MONTH YEAR

97. BEFORE 1901 98. DK YEAR AT ALL

J111. In what month and year did they stop living together?

MONTH YEAR

97. BEFORE 1901 98. DK YEAR AT ALL
J103. Again, be very careful to note the difference between a legal Wife and a "Wife" (in quotes). Otherwise, we could miss the marital status of the "Wife".

J104. If the "Wife" has never been married, follow the skip instruction to page 127, J118. If, however, the "Wife" is now or ever has been married, J105 must be asked.
J118. Now, we would like to ask about births of children. How many children has your wife/"WIFE") ever given birth to?

[DO NOT COUNT STILLBIRTHS, STEPCHILDREN OR CHILDREN WIFE/"WIFE" HAS ADOPTED]

00. NONE

GO TO J122

J119. What is the (first/next) child's name? [IWER: WRITE NAME OF EACH CHILD AT OS60 OR OS6a ON GREEN OWN CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]

J122. Has your (wife/"WIFE") ever legally adopted any children?

1. YES

5. NO — GO TO J127

J123. How many altogether?

J124. What is the (first/next) child's name? [IWER: WRITE NAME OF EACH CHILD AT AS60 OR AS6a ON GOLD ADOPTED CHILDREN SUPPLEMENT, ONE NAME PER EACH SIDE OF SUPPLEMENT.]

J127. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

1. SOMEONE IN FU NOW IS AGE 12 THROUGH 44 (OTHER THAN HEAD AND WIFE/"WIFE")

5. ALL OTHERS

NEXT PAGE, SECTION K

J127a. INTERVIEWER: LIST ALL QUALIFIED OFUMS BELOW:

NAME

RELATIONSHIP TO HEAD

NAME

RELATIONSHIP TO HEAD

NAME

RELATIONSHIP TO HEAD

J128. Now let's talk about other family members living there who are age 12 through 44.

J133. INTERVIEWER CHECKPOINT

SEE J127a LIST ABOVE

A. SOMEONE IS LISTED IN J127a

COMPLETE A BOOKLET FOR MARRIAGE HISTORY AND CHILDREN OF OTHER FU MEMBERS FOR EACH PERSON LISTED

B. ALL OTHERS

NEXT PAGE, SECTION K
J127. Be very careful when marking this checkpoint. Note ages of all current OFUMS, including Head’s girlfriend or boyfriend, by referring to items 23k, 23m, 24h, and 24i on p. 4 of the coversheet. This checkpoint is based solely on the ages of the OFUMS, regardless of whether or not they have had children or been married. If any OFUMS age 12 through 44 are presently living in the FU, then SOMEONE IN FU NOW IS AGE 12-44 (OTHER THAN HEAD AND WIFE/"WIFE") should be marked and J127a completed.

We want complete marriage and child histories for all current OFUMS, even if they have been OFUMS in other years and we already have this information. These questions are not just updates.

J127a List the name and relationship of all current OFUMs age 12-44. Again, review page 4 of the coversheet very carefully to include all eligible OFUMs.

J133. Complete a Booklet for Marriage and Children of Other FU Members for each person listed at J127a. A booklet should be completed for each OFUM age 12-44, regardless of whether or not they have ever been married or had children.
1994 BOOKLET FOR MARRIAGE AND CHILDREN OF OTHER FU MEMBERS
P. 331/338/339/139/140/141 (457728/457651)

FOR OFFICE USE ONLY

YOUR IW. #__________

INTERVIEWER’S LABEL OR

BOOKLET #__________

TF INTERVIEWER ID: 0 ______

SAMPLE ID # __________ __

OTHER FU MEMBER LETTER ______ FROM J127a LIST, (P. 127 OF QUESTIONNAIRE)

J134. RELATIONSHIP TO HEAD __________________________ NAME ___________________________ AGE ___

*J135. Is (INDIVIDUAL) married, widowed, divorced, separated, or has (he/she) never been married?

1. MARRIED  2. NEVER MARRIED

3. WIDOWED  4. DIVORCED

5. SEPARATED  5. ANNULMENT

*J136. Altogether, how many times has (he/she) been married?

1. ONCE

2. TWICE

OTHER (NUMBER OF TIMES):

NEXT PAGE, J143

OTHER FU MEMBER’S FIRST MARRIAGE

*J137. In what month and year did (he/she) get married for the first time?

MONTH __________ YEAR __________

97. BEFORE 1901  98. DK YEAR AT ALL

*J138. What was (his first wife’s/ her first husband’s) name? [ACCEPT ANY NAME--DO NOT PROBE.]

__________________________________________________________

*J139. Did that marriage end in widowhood, divorce, or what?

3. WIDOWHOOD

4. DIVORCE, ANNULMENT

OTHER (SPECIFY):

__________________________________________________________

*J140. In what month and year was (he/she) widowed?

MONTH __________ YEAR __________

97. BEFORE 1901  98. DK YEAR AT ALL

NEXT PAGE, J143

*J141. In what month and year did (his/her) (divorce/annulment) become final?

MONTH __________ YEAR __________

97. BEFORE 1901  98. DK YEAR AT ALL

*J142. In what month and year did (he and his wife/she and her husband) stop living together?

MONTH __________ YEAR __________

97. BEFORE 1901  98. DK YEAR AT ALL
Note: Ignore the first line in the front page of the Booklet about OTHER FU MEMBER LETTER. You do not have to fill in a letter there.

J135 Note that this question asks for the same information as coversheet item 23n???. If you obtained the OFUM's marital status on the coversheet, simply verify it here. If, for some reason, this information was not collected on the coversheet, be sure to ask this question.

We haven't given any Q-x-Qs for the booklet because the questions are identical to many throughout Section J.
SECTION K: BACKGROUND AND EDUCATION OF NEW WIFE/"WIFE"

K1. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

IVORY COVERSHEET AND:

1. NEW WIFE OR "WIFE" IN FU ON THIS YEAR'S COVERSHEET
2. 6-8 GRADES; "GRADE SCHOOL"
3. 9-11 GRADES; "JUNIOR HIGH"
4. 12 GRADES; "HIGH SCHOOL"
5. 12 GRADES PLUS NON-ACADEMIC TRAINING
6. SOME COLLEGE; ASSOCIATE'S DEGREE
7. COLLEGE; BACHELOR'S DEGREE
8. COLLEGE, GRADUATE WORK; PH.D.; PROFESSIONAL DEGREE

TAN OR ROSE COVERSHEET AND:

1. WIFE OR "WIFE" IN FU
2. 6-8 GRADES; "GRADE SCHOOL"
3. 9-11 GRADES; "JUNIOR HIGH"
4. 12 GRADES; "HIGH SCHOOL"
5. 12 GRADES PLUS NON-ACADEMIC TRAINING
6. SOME COLLEGE; ASSOCIATE'S DEGREE
7. COLLEGE; BACHELOR'S DEGREE
8. COLLEGE, GRADUATE WORK; PH.D.; PROFESSIONAL DEGREE

TURN TO P. 135, SECTION L

K2. Now I have some questions about your (wife’s/"WIFE’S") family and past experiences. How much education did her father have? [ACCEPT FATHER SUBSTITUTE]

1. 0-5 GRADES
2. 6-8 GRADES; "GRADE SCHOOL"
3. 9-11 GRADES; "JUNIOR HIGH"
4. 12 GRADES; "HIGH SCHOOL"
5. 12 GRADES PLUS NON-ACADEMIC TRAINING
6. SOME COLLEGE; ASSOCIATE'S DEGREE
7. COLLEGE; BACHELOR'S DEGREE
8. COLLEGE, GRADUATE WORK; PH.D.; PROFESSIONAL DEGREE

GO TO K4

K3. Could he read and write?

1. YES
5. NO

K4. How much education did her mother have? [ACCEPT MOTHER SUBSTITUTE]

1. 0-5 GRADES
2. 6-8 GRADES; "GRADE SCHOOL"
3. 9-11 GRADES; "JUNIOR HIGH"
4. 12 GRADES; "HIGH SCHOOL"
5. 12 GRADES PLUS NON-ACADEMIC TRAINING
6. SOME COLLEGE; ASSOCIATE'S DEGREE
7. COLLEGE; BACHELOR'S DEGREE
8. COLLEGE, GRADUATE WORK; PH.D.; PROFESSIONAL DEGREE

NEXT PAGE, K6

K5. Could she read and write?

1. YES
5. NO
Part 15: Section K—Background and Education of New Wife/"Wife"

K1. Check the Ivory Reinterview Coversheet to see whether the FU has a New Wife/"Wife". A New Wife/"Wife" is anyone who is Wife/"Wife" now but who wasn't prelisted as Wife/"Wife" on the Family Listing label, page 4 of the coversheet. If last year's prelisted "Wife" is now a (legal) Wife, she is not considered a New Wife/"Wife" and you need not ask this section for her. BUT DO ASK THIS SECTION FOR ANY WIFE/"WIFE" WHO IS NEW THIS YEAR EVEN IF SHE HAS BEEN A WIFE/"WIFE" AT SOME TIME IN THE PAST. For instance--a 1968 Wife became a widow (and New Head) in 1993. This year she remarried--her new spouse is New Head, and she is New Wife.

THIS SECTION MUST BE ASKED FOR ALL SPLITOFF (TAN) AND RECONTACT (ROSE) COVERSHEET FAMILIES IN WHICH A WIFE/"WIFE" IS LISTED.

K2-5. If Wife/"Wife" did not have a father, ask these questions about the male who was stepfather or guardian, if any.

If she had numerous guardians other than parents while growing up, ask about those with whom she lived longest. ACCEPT MOTHER/FATHER SUBSTITUTE means if she was raised by anyone in place of a parent, ask these questions about the man and/or woman who raised her, and explain the situation in a margin note.
K6. Now I have some questions about brothers and sisters. Did your (wife/"WIFE") have any brothers? [INCLUDE NATURAL SIBLINGS ONLY]

1. YES 5. NO → GO TO K12

K7. How many brothers was that?

01

K8. Is he still living?

1. YES 5. NO

K8a. Was he older than your (wife/"WIFE")?

1. YES 5. NO

GO TO K12

K9. Is he older than your (wife/"WIFE")?

1. YES 5. NO

GO TO K12

K10. How many of them are still living?

96. ALL 00. NONE

K10a. Were any of your (wife’s/"WIFE’S") brothers older than she is?

1. YES 5. NO

GO TO K12

K11. Are any of your (wife’s/"WIFE’S") brothers older than she is?

1. YES 5. NO

K12. Did she have any sisters? [INCLUDE NATURAL SIBLINGS ONLY]

1. YES 5. NO → NEXT PAGE, K18

K13. How many sisters was that?

01

K14. Is her sister still living?

1. YES 5. NO

K14a. Was she older than your (wife/"WIFE")?

1. YES 5. NO

NEXT PAGE, K18

K15. Is she older than your (wife/"WIFE")?

1. YES 5. NO

NEXT PAGE, K18

K16. How many of them are still living?

96. ALL 00. NONE

K16a. Were any of your (wife’s/"WIFE’S") sisters older than she is?

1. YES 5. NO

NEXT PAGE, K18

K17. Are any of your (wife’s/"WIFE’S") sisters older than she is?

1. YES 5. NO
K6-17. We're asking about Wife's/"Wife's" natural brothers and sisters, including half-brothers or half-sisters, but not brother/sister substitutes (like cousins or adopted/step sisters/brothers).
K18. In order to get an idea of the different races and ethnic groups that participate in the study, I would like to ask you about your (wife's/"WIFE'S") ethnic origin. Is she of Spanish or Hispanic descent, that is, Mexican, Mexican American, Chicano, Puerto Rican, Cuban, or other Spanish? [IF NECESSARY: Which one?] [CHECK ALL THAT APPLY]

<table>
<thead>
<tr>
<th>1. MEXICAN</th>
<th>2. MEXICAN AMERICAN</th>
<th>3. CHICANO</th>
<th>4. PUERTO RICAN</th>
<th>5. CUBAN</th>
<th>0. IS NOT SPANISH/HISPANIC</th>
</tr>
</thead>
</table>

7. OTHER SPANISH (SPECIFY): __________________________________________

K19. And, is she white, black, American Indian, Aleut, Eskimo, Asian, Pacific Islander, or another race? [CHECK ALL THAT APPLY]

<table>
<thead>
<tr>
<th>1. WHITE</th>
<th>2. BLACK</th>
<th>3. AMERICAN INDIAN, ALEUT, ESKIMO</th>
<th>4. ASIAN, PACIFIC ISLANDER</th>
<th>5. LATINO ORIGIN OR DESCENT</th>
</tr>
</thead>
</table>

6. COLOR OTHER THAN BLACK OR WHITE

7. OTHER (SPECIFY): __________________________________________

K20. Has she ever been in the United States military service?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
</tr>
</thead>
</table>
K18. We are interested in whether she has any Hispanic origins, but primarily in the groups named in the first five answer categories. If any of these are mentioned, be sure to mark them. The OTHER SPANISH box should be used for a Hispanic group other than these five, an unspecified Hispanic or "Latino" answer, or a combination of one or more Hispanic groups.

If R says YES and doesn't specify a Hispanic group, ask "Is your (wife/"WIFE")..." and read the list of Hispanic groups again. If R says OTHER SPANISH, ask "Which Hispanic group is that?"

K19. Take whatever race R names here and do not question it. If R says ANOTHER RACE, ask "What race is that?" and record the complete response. Our Latino sample finds this question particularly lacking, but we are stuck with a classic U.S. Census question here. Examples of answers you can expect from Latinos are:

5. Latino origin or descent repeated (Cubano, Chicano, La Raza, Puertorriqueño, Mestizo, Latino, Pocho, Mexicano, Cholo, Mexican Indian or other Latino Indian, etc.)

6. Color other than black or white (Brown, Tan, Moreno, Mulatto, Olive, Trigueño, Yellow, Aperlado, light-skinned, dark or light, Mezclado or Mixed, etc.)
K21. Now I would like to talk about the education your (wife/"WIFE") has received. Did she graduate from high school, get a GED, or neither?

1. GRADUATED FROM HIGH SCHOOL
2. GOT A GED
3. NEITHER

GO TO K26

K22. In what year did she graduate?

97. BEFORE 1901
98. DK YEAR AT ALL

YEAR NEXT PAGE, K28

K23. How many grades of school did she finish prior to getting her GED? [IWER: RECORD LAST GRADE FINISHED PRIOR TO RECEIVING GED]

00. NONE
01. FIRST GRADE
02. SECOND GRADE
03. THIRD GRADE
04. FOURTH GRADE
05. FIFTH GRADE

GO TO K25

06. SIXTH GRADE
07. SEVENTH GRADE
08. EIGHTH GRADE
09. NINTH GRADE
10. TENTH GRADE
11. ELEVENTH GRADE

K24. In what year did she last attend (GRADE IN K23)?

97. BEFORE 1901
98. DK YEAR AT ALL

YEAR

K25. In what year did she receive her GED?

97. BEFORE 1901
98. DK YEAR AT ALL

YEAR NEXT PAGE, K28

K26. How many grades of school did she finish? [IWER: RECORD LAST GRADE FINISHED]

00. NONE
01. FIRST GRADE
02. SECOND GRADE
03. THIRD GRADE
04. FOURTH GRADE
05. FIFTH GRADE

NEXT PAGE, K28

06. SIXTH GRADE
07. SEVENTH GRADE
08. EIGHTH GRADE
09. NINTH GRADE
10. TENTH GRADE
11. ELEVENTH GRADE

K27. In what year did she last attend (GRADE IN K26)?

97. BEFORE 1901
98. DK YEAR AT ALL

YEAR
K21. A GED means Wife/"Wife" took an exam to earn a diploma that is the equivalent of a high school diploma. "GED" stands for General Equivalency Diploma.

K22-27. If older Rs have problems remembering the year the Wife/"Wife" finished school, probe for as much as he/she can remember (around what year or decade was it?), and record responses in the margin.

K23,26. Record the number of grades Wife/"Wife" finished.
K28. Did she attend college?

1. YES  5. NO  \rightarrow NEXT PAGE, K36

K29. In what year did she last attend college?

96. STILL IN SCHOOL  97. BEFORE 1901  98. DK YEAR AT ALL

K30. What is the highest year of college she has completed?

0. LESS THAN ONE YEAR \rightarrow NEXT PAGE, K36  1  2  3  4  5+

K31. Did she receive a college degree?

1. YES  5. NO  \rightarrow NEXT PAGE, K36

K32. What is the highest college degree she has received?

01. ASSOCIATE’S/AA  02. BACHELOR’S/BA/BS  03. MASTER’S/MA/MS/MBA

04. DOCTORATE/PHD  05. LLB, JD (LAW DEGREES)  06. MD, DDS, DVM, DO (MEDICAL DEGREES)  08. HONORARY DEGREE

97. OTHER (SPECIFY): ________________________________

K33. What was the name of the college? ________________________________

K34. Where was it located? __________ CITY __________ STATE

K35. In what year did she receive that degree?

97. BEFORE 1901  98. DK YEAR AT ALL

YEAR
K30. This is the highest year of college completed.

K31-35. This information is used to compare how people with different kinds of degrees from various types of higher education institutions do economically. "College" includes junior/community colleges, universities and graduate school. At K33-35, we want the institution where Wife/"Wife" received her highest degree, which may not be the same as the last college attended.
K36. Did your (wife/"WIFE") receive any other degree or a certificate through a vocational school, a training school, or an apprenticeship program?

1. YES  5. NO  → NEXT PAGE, K42

K37. What type of degree or certificate was that?

1. DEGREE  2. CERTIFICATE  3. LICENSE  4. DIPLOMA (NOT HIGH SCHOOL)  7. OTHER (SPECIFY):

K38. In what field was that?

K39. From what type of institution or organization was that?

01. VOCATIONAL/TRADE SCHOOL  02. COMMUNITY/JUNIOR COLLEGE  03. BUSINESS/SECRETARIAL SCHOOL  04. ARMED FORCES  05. HIGH SCHOOL

06. HOSPITAL/HEALTH CARE FACILITY OR SCHOOL  07. COSMETOLOGY/BEAUTY/BARBER SCHOOL  08. POLICE/FIREFIGHTER TRAINING PROGRAM

09. JOB TRAINING THROUGH GOV'T, EXCEPT CODE 08  10. TRAINING BY PRIVATE EMPLOYER  11. RELIGIOUS INSTITUTION, BIBLE COLLEGE/SCHOOL  97. OTHER (SPECIFY):

K40. In what year did she receive that degree or certificate?

97. BEFORE  98. DK YEAR

YEAR

1901 AT ALL

K41. Did she receive any other training degree or certificate?

1. YES  5. NO  → NEXT PAGE, K42

ASK K37-K41 AGAIN
K36-41. We want such training here as trade school, secretarial school, cosmetology school, ministry training, professional apprenticeships, etc., that result in skills and certification for jobs. We do not want mini-courses in cooking, skiing, or other one-time, self-improvement courses. Also, we do not want other college degrees "lower" than the one reported at K32-35. But, if R insists upon giving it, verify (i.e., probe) that the degree at K32-35 is the highest and record the other here.
K42. Is your (wife's/WIFE's) religious preference Protestant, Catholic, or Jewish, or what?

00. NONE 01. CATHOLIC 02. JEWISH 10. NON-CHRISTIAN/MUSLIM/BUDDHIST 13. GREEK/ RUSSIAN/EASTERN ORTHODOX...

GO TO K44

08. PROTESTANT 97. OTHER (SPECIFY): ________________

GO TO K44

K43. What denomination is that?

03. BAPTIST 04. LUTHERAN 05. METHODIST/AFRICAN METHODIST 06. PRESBYTERIAN

07. EPISCOPALIAN 08. PROTESTANT UNSPECIFIED 12. JEHOVAH'S WITNESSES


K44. How many years altogether has your (wife/WIFE) worked for money since she was 18?

_________ YEARS

00. NONE -> NEXT PAGE, SECTION L

K45. How many of these years did she work full-time for most or all of the year?

_________YEARS
K42-43. This is Wife's/"Wife's" religious preference--NONE is an acceptable answer, and if Respondent prefers not to answer, don't probe.

If you mark 08. PROTESTANT, K43 is a follow-up question asking for the specific denomination. If the R gives the specific Protestant denomination at K42, please mark 08. PROTESTANT at K42 (do not mark 97. OTHER) and mark the specific denomination at K43.

K44. This means the number of years in which any work was done, not total work time. For instance, if New Wife/"Wife" worked two months in 1982 and six months in 1993, that amounts to two years worked for the purposes of this question. Count time in the armed services as work years.

K45. Thirty-five hours or more per week is full-time.
SECTION L: BACKGROUND AND EDUCATION OF NEW HEAD

L1. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET)

IVORY COVERSHEET AND:

1. NEW HEAD IN FU IN 1994
5. SAME HEAD IN FU

TURN TO P. 143, SECTION M

L2. Now I have some questions about your (HEAD'S) family and past experiences. Where did your father grow up? [MOST OF THE YEARS FROM AGES 6 TO 16—ACCEPT FATHER SUBSTITUTE]

NAME OF STATE; COUNTY, IF FOREIGN OR TOWN

L3. Where did your mother grow up? [ACCEPT MOTHER SUBSTITUTE]

NAME OF STATE; COUNTY, IF FOREIGN OR TOWN

L4. What was your father's usual occupation when you were growing up? [ACCEPT FATHER SUBSTITUTE]

L5. Thinking of your (HEAD's) first full-time regular job, what did you do?

0. NEVER WORKED

GO TO L7

L6. Have you had a number of different kinds of jobs, or have you mostly worked in the same occupation you started in, or what?

1. NUMBER OF DIFFERENT KINDS OF JOBS
3. BOTH; NUMBER OF DIFFERENT JOBS BUT SAME OCCUPATION
5. MOSTLY THE SAME OCCUPATION
7. OTHER (SPECIFY):

L7. Did you (HEAD) grow up on a farm, in a small town, in a large city, or what?

1. FARM; COUNTRY
2. SMALL TOWN; SUBURB
3. LARGE CITY
4. OTHER (SPECIFY): ________________________________
Part 16: Section L—Background and Education of New Head

This section applies to anyone who is Head this year but is not prelisted as Head on page 4 of a Ivory Reinterview Coversheet. All Splitoff (Tan Coversheet) Heads are New Heads and must be asked this section, even if the (Splitoff) Head was Head of another FU in 1993. Similarly, interviews for all Heads from Recontact (Rose) Coversheets must have this section completed.

L1. If your Ivory reinterview Coversheet has the same Head as the person prelisted as Head, this is the end of the questionnaire. Record the end time and go to page 7 of the coversheet.

L2-3. If New Head had numerous guardians other than parents while growing up, ask about those with whom New Head lived longest. Note that we want the state and county where New Head’s mother and father (or guardian) grew up. ACCEPT MOTHER/FATHER SUBSTITUTE means if Head was raised by anyone in place of a parent, ask these questions about the man and/or woman who raised Head, and explain the situation in a margin note.

L4-5. Probe to get as clear a picture as possible of the occupation (see objectives for B9-B10 regarding collection of occupation information).

L6. We are interested in the similarity of occupations the New Head has had. That is, has New Head mostly worked in the same profession (construction, teacher, clerical, sales, etc.) or held a number of diverse professions? These occupations should include things at which the New Head worked full time, not part-time jobs held during high school, etc. This question applies regardless of whether or not New Head was in the labor force continuously.

L7. "Grow up" refers roughly to the ages of 6 to 16. If R mentions several places, say we want the place where the most years between ages 6 and 16 were spent.
L8. In what state and county was that? [EXAMPLE: ILLINOIS, COOK COUNTY] [IF DK COUNTY, ASK: What was the name of the nearest town?]

<table>
<thead>
<tr>
<th>NAME OF STATE; COUNTRY, IF FOREIGN</th>
<th>OR</th>
<th>TOWN</th>
</tr>
</thead>
</table>

L10. Have you lived in any other states or countries other than where you are currently living and where you grew up, including time spent abroad while in the armed forces? ["LIVED IN" MEANS STAYED AT LEAST 3 MONTHS.]

1. YES 5. NO

L11. Have you (HEAD) ever moved out of a community where you were living in order to take a job somewhere else?

1. YES → GO TO L13 5. NO

L12. Have you (HEAD) ever turned down a job because you did not want to move?

1. YES 5. NO

L13. Were your parents poor when you were growing up, pretty well off, or what?

1. POOR 3. AVERAGE; "IT VARIED" 5. PRETTY WELL OFF

L14. How much education did your (HEAD'S) father have? [ACCEPT FATHER SUBSTITUTE]

1. 0-5 GRADES
2. 6-8 GRADES; "GRADE SCHOOL"
3. 9-11 GRADES; "JUNIOR HIGH"
4. 12 GRADES; "HIGH SCHOOL"
5. 12 GRADES PLUS NON-ACADEMIC TRAINING

GO TO L16

6. SOME COLLEGE; ASSOCIATE'S DEGREE
7. COLLEGE; BACHELOR'S DEGREE
8. COLLEGE, GRADUATE WORK; PH.D.; PROFESSIONAL DEGREE

GO TO L16

L15. Could he read and write?

1. YES 5. NO

L16. How much education did your (HEAD'S) mother have? [ACCEPT MOTHER SUBSTITUTE]

1. 0-5 GRADES
2. 6-8 GRADES; "GRADE SCHOOL"
3. 9-11 GRADES; "JUNIOR HIGH"
4. 12 GRADES; "HIGH SCHOOL"
5. 12 GRADES PLUS NON-ACADEMIC TRAINING

NEXT PAGE, L18

6. SOME COLLEGE; ASSOCIATE'S DEGREE
7. COLLEGE; BACHELOR'S DEGREE
8. COLLEGE, GRADUATE WORK; PH.D.; PROFESSIONAL DEGREE

NEXT PAGE, L18

L17. Could she read and write?

1. YES 5. NO
L8-9. We want the name of the county, but if R doesn’t know it, ask for the nearest town; be sure to find out the name of the state in any case. If New Head grew up outside the U.S., ask for the name of the country.

L11-12. There are two key phrases here. "Moved out of a community where you were living" means moving far enough to be out of easy contact. This may be a move to the other side of a city or across the country.

"In order to take a job somewhere else" means just that. We are not interested in moves made if New Head did not change jobs at the same time. Moving to take a different position with the same company does count.

L13. We want R’s subjective estimate. If the New Head was not living with parents, then the question applies to the family in which he/she grew up. "Poor," "average," etc. are acceptable answers.

L14-58. From this point to the end of the New Head section, the questions duplicate Section K for New Wife/"Wife." See Section K for instructions on specific questions, but remember that here we’re gathering the information about a New Head.
SECTION M: HEAD'S MILITARY SERVICE

M1. INTERVIEWER CHECKPOINT

SEE FU LISTING, (P. 4 OF COVERSHEET) --SEX OF HEAD

HEAD IS MALE  HEAD IS FEMALE

TURN TO P. 146, SECTION N

M2. Were you (HEAD) ever on active duty in the military service?

YES  NO

TURN TO P. 146, SECTION N

M3. Now we have some questions about military service and combat experience. In what month and year did you first enter the service?

MONTH AND YEAR

M4. How many years and months altogether did you serve on active duty in the military?

YEARS AND MONTHS

M5. How much time altogether did you serve overseas in a foreign country?

YEARS AND MONTHS  NEVER SERVED IN FOREIGN COUNTRY
M1. Section M contains questions about active military service that male Heads may have had. Please note that we are only collecting information on male Heads.

M2. We are interested only in active service for this section.

M3. If R cannot remember the month, probe for season and record it in the margin.

M4. R should include only active service here, not any time spent in the Reserves.

M5. R should include only active service here, not any time spent in the Reserves.
M6. And what was the month and year of your (HEAD'S) final discharge?

MONTH AND YEAR

CURRENTLY SERVING

M9. What is your branch of service? (Are you in the Army, Navy, Coast Guard, Marines, Air Force or what? [CHECK ALL THAT APPLY]

1. ARMY 2. NAVY 3. COAST GUARD 4. MARINES

5. AIR FORCE 7. OTHER (SPECIFY): NEXT PAGE, M10

M7. If you attended college, did the beginning of your military service come before, in the middle of, or after your undergraduate college education?

1. DID NOT ATTEND COLLEGE 2. SERVICE CAME BEFORE COLLEGE

3. SERVICE INTERRUPTED COLLEGE 4. SERVICE CAME AFTER COLLEGE

M8. What was your branch of service? ( Were you in the Army, Navy, Coast Guard, Marines, Air Force or what?) [CHECK ALL THAT APPLY]

1. ARMY 2. NAVY 3. COAST GUARD 4. MARINES

5. AIR FORCE 7. OTHER (SPECIFY):
M6. If R cannot remember the month, probe for season and record it in the margin.

M8-9. If R served in the armed forces of a foreign country, use OTHER to specify the county and branch.
SECTION N: EXPECTATIONS

N1a. INTERVIEWER CHECKPOINT

SEE ITEM 7, (FRONT PAGE)--WHO IS R?

R IS HEAD/WIFE/"WIFE" ALL OTHERS

TIME END: ____________________________

TURN TO P. 7 OF COVERSHEET

N1. Now I would like to ask you about the chance of various events happening to you. Please answer the questions in terms of percent chance. Percent chance must be a number from 0 to 100, where "0" means that there is absolutely no chance and "100" means that it is absolutely certain. For instance, phrases like ...

"not much chance" may be around 15 or 20 percent,
"an even chance" may be around 45 or 55 percent, and
"almost certain" may be around 95 or 98 percent.

N2. Let's start with the weather. What do you think the chances are that it will be sunny tomorrow? ("0" means a zero chance of sunny weather, "100" means a 100 percent chance, and you may say any number from 0 to 100.)

______ PERCENT CHANCE

N3. What is the percent chance that you will move in the next two years?

______ PERCENT CHANCE

N4. INTERVIEWER CHECKPOINT

SEE P. 4 OF COVERSHEET--R'S AGE

R’S AGE IS UNDER 65 R’S AGE IS 65-74 R’S AGE IS 75-84 R’S AGE IS 85 OR ABOVE

GO TO N6 GO TO N7

N5. What is the percent chance that you will live to be 75 or more?

______ PERCENT CHANCE

N6a. What is the percent chance that you will live to be 95 or more?

______ PERCENT CHANCE

GO TO N7

N6. What is the percent chance that you will live to be 85 or more?

______ PERCENT CHANCE

N7. (Using a number from 0 to 100) what are the chances that you (or your [wife/"WIFE"])) will give financial help totalling $5,000 or more to grown children, relatives or friends over the next ten years? [INCLUDE COLLEGE TUITION PAYMENT BUT NOT SHARED HOUSING OR FOOD]

______ PERCENT CHANCE

N8. What are the chances that you (or your [wife/"WIFE"])) will receive financial help totalling $5,000 or more from your children, relatives or friends over the next ten years?

______ PERCENT CHANCE
Part 18: Section N—Expectations

The purpose of this set of questions is to come up with an answer which is more analytically useful than what is traditionally seen in the expectation questions. We want R to give us a value from 0 to 100 rather than the usual response codes of how likely it is that something may happen to them. Probe all "Don't Know" responses for R’s best estimate.

Please note that we only ask these questions if the Respondent is Head or Wife/"Wife". If the R is another FU member, or a Proxy, N1a.is the last question of the interview.

N1. This question sets the response framework for the entire section—be sure to read the question slowly and clearly.

N5. Note that this question is asked only of those Rs who are under age 65.

N6. Note that this question is asked only of those Rs who are under age 75.

N6a. Note that this question is asked only of those Rs who are aged 75 to 84.